

## APPENDICES

### 付 錄 Appendices

page 094

#### 特許審査に関する 品質ポリシー

Quality Policy on Patent Examination

page 096

#### 意匠審査に関する 品質ポリシー

Quality Policy on Design Examination

page 098

#### 商標審査に関する 品質ポリシー

Quality Policy on Trademark Examination

page 100

#### 組織図

Organizational Chart

# APPENDIXES

## 特許審査に関する品質ポリシー

### Quality Policy on Patent Examination

国際的に信頼される質の高い特許権は、円滑かつグローバルな事業展開を支援し、イノベーションを促進する上で重要です。この品質ポリシーは、こうした質の高い特許権の設定に向けた特許審査<sup>\*1</sup>の品質管理の基本原則を示したもので、特許庁は、この品質ポリシーに基づいて、世界最高品質の特許審査の実現に取り組みます。特許審査に関わる全ての職員は、幹部のリーダーシップ及び参画の下、強い責任感と意欲を持ち、以下の基本原則に従って審査業務を遂行します。

#### **強く・広く・役に立つ特許権を設定します：**

特許庁は、グローバルな知的財産保護を支援すべく、後に無効にならない強さと発明の技術レベルや開示の程度に見合う権利範囲の広さを備え、世界に通用する有用な特許権を設定します。

#### **幅広いニーズや期待に応えます：**

特許庁は、我が国社会の利益及び特許制度に関わる方々の満足に資するよう、特許審査に対する幅広いニーズや期待を把握し尊重していきます。特許審査に関わる全ての職員は、出願人・代理人等との意思疎通を積極的に図りつつ、条約・法令及び審査基準等の指針に従い、公平性、透明性及び一貫性のある特許審査を行います。

#### **全ての職員が、関係者とも協力しつつ質の向上に取り組みます：**

特許庁は、特許審査に関わる全ての職員の質の向上に対する意識を高め、職員が参画する日々の特許審査の質の向上に向けた取組を推進します。また、特許制度に関わる方々と協力関係を確保し、協働して特許審査の質の維持・向上を図ります。

#### **国際的な特許審査の質の向上に貢献します：**

特許庁は、特許審査に関する国際的な取組を積極的に推進するとともに、有用な審査情報を海外の特許庁に提供することにより、国際的な特許審査の質の向上に貢献します。

#### **継続的に業務を改善します：**

特許庁は、世界最高品質の特許審査を継続的に提供するために、現状把握に努めつつ柔軟に各種施策を企画・立案し、業務を改善していきます。

#### **職員の知識・能力を向上させます：**

特許庁は、日常業務や研修を通じて人材の育成を図るとともに、特許審査に関わる全ての職員の自主的な研さんを奨励し、職員の知識・能力の向上を図ります。

特許庁は、この品質ポリシーを定期的にレビューし、その合理性と実効性を常に維持・向上していきます。

平成26年3月 特許庁

\*1 発明の審査(PCT国際出願に関する国際調査及び国際予備審査を含む。)及び実用新案技術評価書の作成を意味する

Globally reliable patents of high quality are important for supporting smooth business expansion worldwide and promoting innovation.

This quality policy outlines the fundamental principles of quality management in patent examination<sup>1</sup> in order to grant the aforementioned high-quality patents. Based on this quality policy, the Japan Patent Office (JPO) is committed to achieving patent examination that is the utmost quality in the world. Under the leadership and participation of the top management, all staff involved in patent examination perform their work in compliance with the following fundamental principles, demonstrating a strong sense of responsibility and motivation.

### **We grant robust, broad and valuable patents:**

---

In order to enhance intellectual property protection worldwide, the JPO grants robust patents that will not be invalidated afterward; broad patents that have coverage matching the extent of the technical levels of inventions and their disclosure; and valuable patents that are recognized around the world.

### **We meet wide-ranging needs and expectations:**

---

The JPO understands and respects broad-ranging needs of and expectations for patent examination so that it may contribute to the benefit of Japanese society and the satisfaction of people connected with the patent system. All staff involved in patent examination perform patent examination with fairness, transparency, and consistency in accordance with principles such as treaties, laws, regulations and guidelines, communicating with applicants, patent attorneys and other persons concerned in a positive manner.

### **We all dedicate ourselves to improving quality, cooperating with concerned persons and parties:**

---

The JPO raises awareness on improving the quality of patent examination in all staff involved in patent examination and promotes initiatives that improve quality, in which all staff play an active role. Furthermore, the JPO maintains cooperative relationships with all persons and parties connected with the patent system, collaborating with them to uphold and improve the quality of patent examination.

### **We contribute to improving the quality of patent examination globally:**

---

The JPO contributes to the improvement of quality in terms of patent examination globally through actively promoting international initiatives on patent examination and providing foreign patent offices with useful information on patent examination conducted at the JPO.

### **We continually improve operations:**

---

In order to continually provide patent examination of the utmost quality in the world, the JPO improves operations by staying aware of current situations and taking a flexible approach to planning and proposing various measures.

### **We raise the knowledge and capabilities of our staff:**

---

The JPO raises the level of knowledge and capabilities of all staff involved in patent examination not only through cultivating human resources, by having all staff conduct their daily work and participate in training, but also through encouraging them to learn on their own.

The JPO periodically reviews this policy on quality, so as to always maintain and even further improve its rationale and effectiveness.

March 2014  
Japan Patent Office

\*1 Patent examination means examination of inventions (including international searches and international preliminary examinations) and establishment of Reports of Utility Model Technical Opinion.

# APPENDICES

## 意匠審査に関する品質ポリシー

Quality Policy on Design Examination

国際的に信頼される質の高い意匠権は、円滑かつグローバルな事業展開を支援し、イノベーションを促進する上で重要です。

この品質ポリシーは、こうした質の高い意匠権の設定に向けた意匠審査の品質管理の基本原則を示したもので、特許庁は、この品質ポリシーに基づいて、世界最高品質の意匠審査の実現に取り組みます。意匠審査に関わる全ての職員は、幹部のリーダーシップ及び参画の下、強い責任感と意欲を持ち、以下の基本原則に従って審査業務を遂行します。

### **強く・広く・役に立つ意匠権を設定します：**

特許庁は、グローバルな知的財産保護を支援すべく、後に無効にならない強さと意匠の創作レベルに見合う権利範囲の広さを備え、世界に通用する有用な意匠権を設定します。

### **幅広いニーズや期待に応えます：**

特許庁は、我が国社会の利益及び意匠制度に関わる方々の満足に資するよう、意匠審査に対する幅広いニーズや期待を把握し尊重していきます。意匠審査に関わる全ての職員は、出願人・代理人等との意思疎通を積極的に図りつつ、条約・法令及び審査基準等の指針に従い、公平性、透明性及び一貫性のある意匠審査を行います。

### **全ての職員が、関係者とも協力しつつ質の向上に取り組みます：**

特許庁は、意匠審査に関わる全ての職員の質の向上に対する意識を高め、職員が参画する日々の意匠審査の質の向上に向けた取組を推進します。また、意匠制度に関わる方々と協力関係を確保し、協働して意匠審査の質の維持・向上を図ります。

### **国際的な意匠審査の質の向上に貢献します：**

特許庁は、意匠審査に関する国際的な取組を積極的に推進するとともに、有用な審査情報を海外の特許庁に提供することにより、国際的な意匠審査の質の向上に貢献します。

### **継続的に業務を改善します：**

特許庁は、世界最高品質の意匠審査を継続的に提供するために、現状把握に努めつつ柔軟に各種施策を企画・立案し、業務を改善していきます。

### **職員の知識・能力を向上させます：**

特許庁は、日常業務や研修を通じて人材の育成を図るとともに、意匠審査に関わる全ての職員の自主的な研さんを奨励し、職員の知識・能力の向上を図ります。

特許庁は、この品質ポリシーを定期的にレビューし、その合理性と実効性を常に維持・向上していきます。

平成26年8月 特許庁

Globally reliable design rights of high quality are important for supporting smooth business expansion worldwide and promoting innovation.

This quality policy outlines the fundamental principles of quality management in design examination in order to grant the aforementioned high-quality design rights. Based on this quality policy, the Japan Patent Office (JPO) is committed to achieving design examination that is the utmost quality in the world. Under the leadership and participation of the top management, all staff involved in design examination perform their work in compliance with the following fundamental principles, demonstrating a strong sense of responsibility and motivation.

#### **We grant robust, broad and valuable design rights:**

In order to enhance intellectual property protection worldwide, the JPO grants robust design rights that will not be invalidated afterward; broad design rights that have coverage matching the extent of the creative levels of designs; and valuable design rights that are recognized around the world.

#### **We meet wide-ranging needs and expectations:**

The JPO understands and respects broad-ranging needs of and expectations for design examination so that it may contribute to the benefit of Japanese society and the satisfaction of people connected with the design registration system. All staff involved in design examination perform design examination with fairness, transparency, and consistency in accordance with principles such as treaties, laws, regulations and guidelines, communicating with applicants, patent attorneys and other persons concerned in a positive manner.

#### **We all dedicate ourselves to improving quality, cooperating with concerned persons and parties:**

The JPO raises awareness on improving the quality of design examination in all staff involved in design examination and promotes initiatives that improve quality, in which all staff play an active role. Furthermore, the JPO maintains cooperative relationships with all persons and parties connected with the design registration system, collaborating with them to uphold and improve the quality of design examination.

#### **We contribute to improving the quality of design examination globally:**

The JPO contributes to the improvement of quality in terms of design examination globally through actively promoting international initiatives on design examination and providing foreign intellectual property offices with useful information on design examination conducted at the JPO.

#### **We continually improve operations:**

In order to continually provide design examination of the utmost quality in the world, the JPO improves operations by staying aware of current situations and taking a flexible approach to planning and proposing various measures.

#### **We raise the knowledge and capabilities of our staff:**

The JPO raises the level of knowledge and capabilities of all staff involved in design examination not only through cultivating human resources, by having all staff conduct their daily work and participate in training, but also through encouraging them to learn on their own.

The JPO periodically reviews this policy on quality, so as to always maintain and even further improve its rationale and effectiveness.

August 2014  
Japan Patent Office

# APPENDIXES

## 商標審査に関する品質ポリシー

Quality Policy on Trademark Examination

商標は、商取引において、自己の商品・役務を他人の商品・役務と識別するための標識であり、商品・役務の一定の品質又は質を保証し、また、商品・役務の広告宣伝の役割を果たすものです。

事業者の商標権の活用を通じてその商標が役割を發揮し、消費者が商標を信頼して消費活動を円滑に行うことができるようになるためには、商標審査において、法令及び商標審査基準の下、出願された商標の識別性や類似性等に関して、商取引の実態を十分に調査し、一貫性及び客観性を有する審査を行うことが必要です。

この品質ポリシーは、現在、商標審査が直面している課題を解決し、ブランドの保護育成及び消費活動の円滑化への貢献に向けて、商標審査の質を維持・向上するための品質管理の基本原則を示したもので

特許庁における商標審査に関わる職員一人一人が、幹部のリーダーシップ及び参画の下、強い責任感と意欲を持ち、以下の基本原則にのっとって審査業務を遂行します。

### **ブランドの保護育成及び消費活動の円滑化に貢献します：**

特許庁は、適切な審査及び権利付与を行うことにより、商標権の適切な活用を通じた商標の役割の発揮、ひいては、ブランドの保護育成及び消費者の消費活動の円滑化に貢献します。

### **一貫性及び客観性を有する審査を行います：**

特許庁は、条約、法令及び商標審査基準等の指針に従い、識別性や類似性等に関して、商取引の実態を十分に調査し、一貫性及び客観性を有する商標審査を行います。

### **出願人等とのコミュニケーションを深め、商標制度の利用促進を図ります：**

特許庁は、商標審査の透明性及び予見可能性を高めるため、恒常的に商標審査基準等の指針の見直しを検討します。また、審査官一人一人は、審査内容に関する説明責任を果たすべく、論理的で説得力を有した、かつ、権利取得を支援する、分かりやすい拒絶理由通知書等を作成します。これらを通じ、出願人・代理人等とのコミュニケーションを深め、商標制度の利用促進を図ります。

### **国内外の関係者と積極的に情報を共有し、審査の質の向上に取り組みます：**

特許庁は、商標制度に関わる方々や海外商標庁等と情報を共有し、それらの情報を積極的に取り入れ、商標審査の質を向上するための施策に取り組みます。

### **継続的に業務を改善します：**

商標審査に関わる職員一人一人は、日々の審査業務や審査マネジメント業務に関して、現状に満足することなく、常に検討・評価し、業務の改善を実践します。

### **職員の知識・能力を向上させます：**

特許庁は、日々の業務や研修を通じて人材の育成を図るとともに、商標審査に関わる職員一人一人は、自主的な研さんを行うことにより、業務遂行に必要となる知識及び能力の向上を図ります。

特許庁は、この品質ポリシーを定期的にレビューし、その合理性と実効性を常に維持・向上していきます。

平成26年8月 特許庁

A trademark is a mark to distinguish one's goods and services from any other persons' goods and services. It guarantees a certain level of quality of goods and services and serves as an advertisement for goods and services. It is imperative for the JPO to conduct trademark examination to determine the existence or not of distinctive features, similarity of filed trademarks and so on, with consistency and objectivity in accordance with laws, regulations and the Trademark Examination Guidelines. The JPO also must conduct trademark examination by sufficiently researching the actual status of the commercial transactions related to such trademarks. Consequently, trademarks are able to fulfil their roles based on business operators utilizing their trademark rights, and doing so makes smooth consumption by consumers possible based on trust in the trademarks.

This quality policy outlines the fundamental principles of quality management in terms of maintaining and upgrading the quality of trademark examination and contributing to the protection and enhancement of brands and the smooth consumption of goods and services.

Under the leadership and participation of top management, each and every staff member involved in trademark examination in the JPO is to perform work in compliance with the following fundamental principles, demonstrating a strong sense of responsibility and motivation.

#### **We contribute to the protection and enhancement of brands and the smooth consumption of goods and services:**

The JPO performs appropriate trademark examination and grants trademark rights, enabling trademarks to fulfil their role based on the adequate utilization of trademark rights by their rights holders and contributing to the protection and enhancement of brands and the smooth consumption of goods and services by consumers.

#### **We conduct consistent and objective trademark examination:**

The JPO conducts trademark examination to determine the existence or not of distinctive features, similarities and so on, with consistency and objectivity in conformity to treaties, laws, regulations and the Trademark Examination Guidelines; and by sufficiently researching the actual status of commercial transactions.

#### **We promote the utilization of the trademark system by closely communicating with applicants:**

In order to enhance the transparency and predictability of trademark examination, the JPO constantly reviews the guiding principles of the Trademark Examination Guidelines. Individual examiners formulate logical and persuasive written notifications that anyone can easily understand, supporting the acquisition of rights. Based on these points, the JPO enhances close communication with applicants, etc. and promotes the utilization of the trademark system.

#### **We actively share information with relevant persons inside and outside Japan in order to improve the quality of trademark examination:**

The JPO shares information with people connected with the trademark system and works to implement initiatives for improving the quality of trademark examination by putting such information to practical use.

#### **We consistently improve operations:**

Each and every staff member involved in trademark examination continually deliberates and evaluates the operations of trademark examination and examination management to improve our operations, never feeling satisfied with the status quo.

#### **We raise the knowledge and capabilities of our staff:**

The JPO cultivates human resources involved in trademark examination by having them conduct their daily work and attend training programs. At the same time, each and every staff member involved in trademark examination is to raise the level of knowledge on and capabilities in trademark examination by initiating his/her own voluntary efforts.

The JPO periodically reviews this policy on quality, so as to always maintain and even further improve its rationale and effectiveness.

August 2014  
Japan Patent Office

# APPENDICES

## 組織図 Organizational Chart

### 組織図

#### Organizational Chart

