

# Preliminary Statistical Data on Filing Applications and Requests

Created on November, 2015

From April 2015 through September 2015

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

Year/Month	2015						2015 1 - 9	2015/4 - 9 Cum Sum of	2014 1 - 9	2014/4 - 2015/3 Total of FY2014	
	4	5	6	7	8	9					
Patents & Utility Models	24,796 (0.0)	22,349 (▲ 9.8)	27,638 (▲ 1.8)	25,986 (▲ 3.7)	23,755 (▲ 3.1)	32,010 (0.1)	247,221 (▲ 1.9)	156,534 (▲ 2.9)	251,997 (▲ 0.1)	332,973 (▲ 1.0)	
	Patents	24,181 (▲ 0.2)	21,802 (▲ 9.8)	27,026 (▲ 1.9)	25,394 (▲ 3.5)	23,231 (▲ 3.1)	31,445 (0.3)	242,073 (▲ 1.8)	153,079 (▲ 2.9)	246,606 (0.1)	325,985 (▲ 0.9)
	Utility Models	615 (9.4)	547 (▲ 12.3)	612 (3.2)	592 (▲ 10.2)	524 (▲ 3.5)	565 (▲ 7.4)	5,148 (▲ 4.5)	3,455 (▲ 3.8)	5,391 (▲ 6.7)	6,988 (▲ 7.3)
Designs	2,534 (7.7)	2,301 (▲ 0.9)	2,418 (▲ 7.2)	2,667 (13.8)	2,041 (▲ 11.8)	2,310 (▲ 14.7)	21,669 (▲ 1.1)	14,271 (▲ 2.6)	21,913 (▲ 4.8)	29,868 (▲ 3.5)	
Trademarks	13,722 (28.4)	10,258 (▲ 5.5)	11,985 (6.9)	13,270 (17.0)	11,375 (21.7)	11,853 (15.9)	106,467 (15.2)	72,463 (13.8)	92,403 (5.6)	129,708 (9.4)	
	National Applications	12,458 (28.9)	9,101 (▲ 5.6)	10,819 (4.3)	11,622 (15.0)	10,169 (21.9)	10,710 (12.3)	95,544 (14.5)	64,879 (12.5)	83,475 (8.1)	116,615 (10.5)
Total Number	41,052 (8.5)	34,908 (▲ 8.1)	42,041 (0.2)	41,923 (3.1)	37,171 (2.7)	46,173 (2.8)	375,357 (2.5)	243,268 (1.6)	366,313 (1.0)	492,549 (1.4)	
Trademarks Class Counts	29,492 (75.7)	20,673 (13.1)	22,758 (3.3)	32,725 (63.7)	24,235 (56.0)	19,862 (7.1)	225,008 (43.0)	149,745 (34.7)	157,371 (21.0)	242,052 (32.3)	

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class counts.

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2015						2015 1 - 9	2015/4 - 9 Cum Sum of	2014 1 - 9	2014/4 - 2015/3 Total of FY2014
		4	5	6	7	8	9				
Patents	RO	3,155 (8.8)	3,100 (1.7)	3,776 (7.8)	3,552 (5.4)	3,461 (15.8)	3,649 (▲ 6.9)	32,903 (5.2)	20,693 (4.9)	31,268 (▲ 3.7)	41,964 (▲ 1.4)
	DO	5,107 (4.2)	4,557 (▲ 6.2)	5,740 (1.0)	4,646 (1.9)	4,614 (2.2)	7,647 (43.4)	46,423 (6.4)	32,311 (8.2)	43,640 (8.9)	58,791 (6.3)
Designs	OO	- -	2 (0.0)	1 (0.0)	0 (0.0)	3 (0.0)	1 (0.0)	7 (0.0)	7 (0.0)	- -	- -
	DO	- -	0 (0.0)	17 (0.0)	25 (0.0)	84 (0.0)	56 (0.0)	182 (0.0)	182 (0.0)	- -	- -
Trademarks	OO	170 (2.4)	140 (6.1)	157 (▲ 17.4)	216 (19.3)	168 (5.7)	209 (16.8)	1,590 (10.0)	1,060 (5.3)	1,445 (5.3)	2,091 (12.1)
	DO	1,264 (23.1)	1,157 (▲ 5.0)	1,166 (39.6)	1,648 (33.4)	1,206 (20.0)	1,143 (65.7)	10,923 (22.3)	7,584 (26.2)	8,928 (▲ 13.3)	13,093 (0.6)

The number of PCT international applications filed with the JPO as a designated office <sup>2</sup> is also included in the number of applications for patents and utility models.  
The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

**(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion**

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month	2015 4	5	6	7	8	9	2015 1 - 9	2015/4 - 9 Cum Sum of FY2015	2014 1 - 9	2014/4 - 2015/3 Total of FY2014
Request for Patent Examination	18,581 (4.0)	17,447 (▲ 3.8)	19,417 (▲ 3.1)	19,779 (1.4)	18,598 (▲ 8.7)	21,003 (▲ 6.6)	183,061 (▲ 1.5)	114,825 (▲ 3.0)	185,937 (2.5)	246,231 (1.0)
Year-on-Year	17,873 (▲ 4.9)	18,145 (▲ 2.3)	20,044 (2.7)	19,498 (0.9)	20,361 (▲ 0.2)	22,476 (6.3)	- -	- -	- -	- -
Report of Utility Model Technical	38 (31.0)	38 (2.7)	40 (11.1)	44 (2.3)	30 (3.4)	27 (3.8)	331 (13.7)	217 (8.5)	291 (▲ 14.7)	424 (2.4)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2015 4	5	6	7	8	9	2015 1 - 9	Cum Sum of FY2015	2014 1 - 9	2014/4 - 2015/3 Total of FY2014
Patents	Appeals against Examiner's Decision of Refusal	2,099 (0.3)	1,880 (▲ 9.0)	2,181 (▲ 4.6)	1,985 (▲ 19.2)	1,465 (▲ 29.0)	1,634 (▲ 28.1)	16,920 (▲ 10.0)	11,244 (▲ 15.0)	18,795 (4.4)	25,825 (4.8)
	Trials for Invalidation	19 (11.8)	9 (▲ 57.1)	13 (▲ 35.0)	14 (▲ 26.3)	11 (▲ 21.4)	18 (▲ 14.3)	182 (12.3)	84 (▲ 25.0)	162 (▲ 14.3)	263 (7.8)
	Trials for Cancellation	13 (30.0)	9 (▲ 30.8)	20 (17.6)	17 (183.3)	8 (▲ 72.4)	15 (▲ 16.7)	110 (▲ 22.5)	82 (▲ 11.8)	142 (▲ 3.4)	189 (▲ 21.3)
	Hantei (Advisory Opinion)	3 (0.0)	3 (▲ 25.0)	1 (▲ 50.0)	4 (33.3)	1 (▲ 80.0)	5 (25.0)	24 (▲ 4.0)	17 (▲ 19.0)	25 (66.7)	42 (44.8)
	Oppositions	1 (0.0)	0 (0.0)	1 (0.0)	7 (0.0)	7 (0.0)	36 (0.0)	52 (0.0)	52 (0.0)	- -	- -
	Total	2,135 (0.6)	1,901 (▲ 9.6)	2,216 (▲ 4.6)	2,027 (▲ 18.4)	1,492 (▲ 29.3)	1,708 (▲ 26.2)	17,288 (▲ 9.6)	11,479 (▲ 14.7)	19,124 (4.2)	26,319 (4.6)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (▲ 100.0)	0 (▲ 100.0)	2 (100.0)	2 (0.0)	0 (0.0)	0 (▲ 100.0)	4 (▲ 50.0)	4 (▲ 33.3)	8 (100.0)	7 (16.7)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)
	Total	0 (▲ 100.0)	0 (▲ 100.0)	2 (100.0)	2 (0.0)	0 (0.0)	0 (▲ 100.0)	4 (▲ 50.0)	4 (▲ 33.3)	8 (100.0)	8 (33.3)
	Designs	Appeals against Examiner's Decision of Refusal	38 (90.0)	24 (▲ 4.0)	24 (▲ 48.9)	30 (25.0)	45 (55.2)	41 (▲ 2.4)	286 (5.5)	202 (8.0)	271 (8.4)
Trials for Invalidation		0 (▲ 100.0)	2 (0.0)	0 (▲ 100.0)	2 (▲ 50.0)	1 (0.0)	2 (100.0)	11 (▲ 21.4)	7 (▲ 36.4)	14 (▲ 12.5)	20 (53.8)
Hantei (Advisory Opinion)		0 (▲ 100.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (▲ 50.0)	1 (▲ 50.0)	4 (▲ 69.2)	3 (▲ 70.0)	13 (44.4)	12 (▲ 20.0)
Total		38 (65.2)	27 (▲ 3.6)	24 (▲ 52.0)	32 (6.7)	47 (46.9)	44 (▲ 2.2)	301 (1.0)	212 (1.9)	298 (8.4)	421 (2.7)

### (5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2015 4	5	6	7	8	9	2015 1 - 9	2015/4 - 9 Cum Sum of FY2015	2014 1 - 9
Trademarks	Appeals against Examiner's Decision of Refusal	67 (▲ 5.6)	75 (50.0)	120 (76.5)	90 (36.4)	84 (21.7)	74 (8.8)	694 (9.3)	510 (30.1)	635 (▲ 16.2)
	Trials for Invalidation	13 (116.7)	14 (▲ 6.7)	7 (▲ 36.4)	7 (75.0)	6 (100.0)	8 (14.3)	78 (11.4)	55 (19.6)	70 (▲ 2.8)
	Trials for Cancellation	90 (13.9)	87 (▲ 5.4)	88 (8.6)	97 (▲ 4.9)	68 (▲ 17.1)	56 (▲ 57.3)	717 (▲ 12.2)	486 (▲ 14.3)	817 (▲ 3.2)
	Hantei (Advisory Opinion)	1 (0.0)	1 (▲ 75.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (0.0)	3 (▲ 72.7)	2 (▲ 66.7)	11 (83.3)
	Oppositions	33 (6.5)	41 (0.0)	55 (89.7)	43 (65.4)	24 (▲ 27.3)	32 (▲ 22.0)	350 (16.3)	228 (13.4)	301 (▲ 15.9)
	<b>Total</b>	<b>204 (8.5)</b>	<b>218 (7.9)</b>	<b>270 (42.1)</b>	<b>237 (19.7)</b>	<b>182 (▲ 2.7)</b>	<b>170 (▲ 31.2)</b>	<b>1,842 (0.4)</b>	<b>1,281 (5.7)</b>	<b>1,834 (▲ 10.0)</b>
Total	Appeals against Examiner's Decision of Refusal	2,204 (1.0)	1,979 (▲ 7.6)	2,325 (▲ 3.1)	2,105 (▲ 17.4)	1,594 (▲ 26.2)	1,749 (▲ 26.6)	17,900 (▲ 9.1)	11,956 (▲ 13.4)	19,701 (3.7)
	Trials for Invalidation	32 (18.5)	25 (▲ 35.9)	22 (▲ 35.3)	25 (▲ 7.4)	18 (0.0)	28 (▲ 6.7)	275 (8.3)	150 (▲ 14.3)	254 (▲ 9.6)
	Hantei (Advisory Opinion)	4 (▲ 33.3)	5 (▲ 44.4)	1 (▲ 75.0)	4 (▲ 20.0)	2 (▲ 71.4)	6 (0.0)	31 (▲ 36.7)	22 (▲ 40.5)	49 (63.3)
	Trials for Correction (Patents & Utility Models)	13 (30.0)	9 (▲ 30.8)	20 (17.6)	17 (183.3)	8 (▲ 72.4)	15 (▲ 16.7)	110 (▲ 22.5)	82 (▲ 11.8)	142 (▲ 3.4)
	Trials for Cancellation (Trademarks)	90 (13.9)	87 (▲ 5.4)	88 (8.6)	97 (▲ 4.9)	68 (▲ 17.1)	56 (▲ 57.3)	717 (▲ 12.2)	486 (▲ 14.3)	817 (▲ 3.2)
	Oppositions (Trademarks)	34 (9.7)	41 (0.0)	56 (93.1)	50 (92.3)	31 (▲ 6.1)	68 (65.9)	402 (33.6)	280 (39.3)	301 (▲ 15.9)
	<b>Total</b>	<b>2,377 (1.8)</b>	<b>2,146 (▲ 8.1)</b>	<b>2,512 (▲ 2.1)</b>	<b>2,298 (▲ 15.3)</b>	<b>1,721 (▲ 26.1)</b>	<b>1,922 (▲ 26.3)</b>	<b>19,435 (▲ 8.6)</b>	<b>12,976 (▲ 12.8)</b>	<b>21,264 (2.9)</b>

## (6) The Number of Registrations

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2015 4	5	6	7	8	9	2015 1 - 9	2015/4 - 9 Cum Sum of FY2015	2014 1 - 9	2014/4 - 2015/3 Total of FY2014
The Number of Registrations	Patents	16,550 (▲ 24.0)	17,433 (▲ 18.6)	14,241 (▲ 11.7)	17,903 (10.9)	13,086 (▲ 33.8)	11,059 (▲ 32.8)	138,588 (▲ 21.5)	90,272 (▲ 19.2)	176,552 (▲ 14.8)	210,579 (▲ 23.8)
	Utility Models	587 (▲ 12.0)	534 (▲ 12.3)	504 (4.3)	727 (3.7)	516 (▲ 8.0)	646 (19.2)	5,106 (▲ 2.6)	3,514 (▲ 1.4)	5,242 (▲ 5.9)	6,930 (▲ 2.9)
	Designs	2,434 (▲ 13.1)	2,578 (▲ 4.3)	2,110 (6.2)	2,612 (16.9)	2,096 (▲ 7.4)	1,675 (▲ 15.7)	19,609 (▲ 5.6)	13,505 (▲ 3.3)	20,773 (▲ 4.9)	26,603 (▲ 4.4)
	Trademarks	7,564 (6.4)	8,261 (▲ 0.1)	7,509 (▲ 8.8)	9,487 (13.6)	7,051 (▲ 32.4)	5,760 (▲ 27.3)	73,086 (1.0)	45,632 (▲ 9.3)	72,377 (▲ 8.3)	105,291 (5.6)
	National Applications	6,690 (3.8)	7,116 (▲ 5.2)	6,771 (▲ 10.5)	8,326 (11.7)	6,265 (▲ 33.7)	5,120 (▲ 28.5)	64,539 (▲ 1.6)	40,288 (▲ 11.6)	65,564 (▲ 8.6)	94,321 (4.7)
	International Applications	874 (31.2)	1,145 (50.5)	738 (11.5)	1,161 (29.3)	786 (▲ 19.9)	640 (▲ 16.1)	8,547 (25.5)	5,344 (13.0)	6,813 (▲ 5.0)	10,970 (13.2)
	Total	27,135 (▲ 16.1)	28,806 (▲ 12.7)	24,364 (▲ 9.2)	30,729 (12.0)	22,749 (▲ 31.1)	19,140 (▲ 28.9)	236,389 (▲ 14.0)	152,923 (▲ 14.8)	274,944 (▲ 12.3)	349,403 (▲ 15.0)
Class Counts of Trademark Registration	12,815 (13.0)	12,887 (▲ 6.9)	12,039 (▲ 7.0)	15,136 (15.1)	11,442 (▲ 31.4)	9,286 (▲ 28.5)	115,773 (0.0)	73,605 (▲ 9.0)	115,739 (▲ 6.4)	166,891 (6.2)	
Trademark Application for Renewal	7,618 (▲ 22.1)	6,265 (4.8)	9,167 (32.0)	7,239 (▲ 14.5)	6,747 (19.7)	7,473 (9.5)	67,687 (2.8)	44,509 (2.0)	65,824 (0.7)	85,930 (▲ 2.6)	