

# Preliminary Statistical Data on Filing Applications and Requests

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From October 2015 through March 2016

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month	2015 10	11	12	2016 1	2	3	2016/1 - 3	2015/4 - 2016/3 Total of FY2015	2015/1 - 3 (year-on-year)	2014/4 - 2015/3 Total of FY2014
Patents & Utility Models	25,092 (▲ 6.7)	24,010 (0.5)	29,103 (▲ 3.9)	21,815 (▲ 6.1)	26,784 (0.7)	40,880 (0.1)	89,479 (▲ 1.3)	324,379 (▲ 2.6)	90,681 (▲ 0.1)	332,967 (▲ 1.0)
Patents	24,501 (▲ 6.9)	23,487 (0.5)	28,534 (▲ 4.0)	21,320 (▲ 6.1)	26,277 (0.7)	40,284 (0.2)	87,881 (▲ 1.2)	317,614 (▲ 2.6)	88,988 (▲ 0.0)	325,979 (▲ 0.9)
Utility Models	591 (▲ 1.8)	523 (▲ 2.6)	569 (0.7)	495 (▲ 5.9)	507 (▲ 1.0)	596 (▲ 9.0)	1,598 (▲ 5.6)	6,765 (▲ 3.2)	1,693 (▲ 5.9)	6,988 (▲ 7.3)
Designs	2,870 (5.9)	2,451 (11.2)	2,671 (▲ 8.2)	2,223 (10.0)	2,691 (9.6)	2,976 (1.8)	7,890 (6.7)	30,395 (1.8)	7,398 (1.8)	29,868 (▲ 3.5)
National Applications	2,790 (2.9)	2,372 (7.6)	2,560 (▲ 12.0)	2,077 (2.8)	2,515 (2.4)	2,784 (▲ 4.8)	7,376 (▲ 0.3)	29,429 (▲ 1.5)	7,398 (1.8)	29,868 (▲ 3.5)
Trademarks	12,752 (14.7)	13,157 (36.1)	14,764 (31.2)	12,370 (13.5)	12,624 (20.7)	16,992 (34.5)	41,986 (23.5)	155,266 (19.7)	34,003 (18.3)	129,707 (9.4)
National Applications	11,134 (12.6)	11,769 (37.5)	12,709 (29.1)	11,401 (17.4)	11,249 (20.7)	15,417 (32.6)	38,067 (24.1)	138,702 (18.9)	30,664 (18.8)	116,614 (10.5)
Total Number	40,714 (▲ 0.1)	39,618 (10.8)	46,538 (4.7)	36,408 (0.7)	42,099 (6.5)	60,848 (7.9)	139,355 (5.5)	510,040 (3.6)	132,082 (4.2)	492,542 (1.4)
Trademarks Class Counts	26,347 (42.1)	24,098 (43.1)	33,228 (64.3)	35,588 (25.5)	27,669 (29.0)	40,706 (60.0)	103,963 (38.1)	342,042 (41.3)	75,263 (62.9)	242,052 (32.3)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2015 10	11	12	2016 1	2	3	2016/1 - 3	2015/4 - 2016/3 Total of FY2015	2015/1 - 3 (year-on-	2014/4 - 2015/3 Total of FY2014
Patents	RO	3,461 (0.8)	3,280 (10.4)	3,453 (▲ 4.6)	3,327 (2.8)	3,914 (0.6)	5,533 (8.8)	12,774 (4.6)	43,661 (4.0)	12,210 (5.8)	41,964 (▲ 1.4)
	DO	4,639 (▲ 9.8)	4,486 (0.6)	5,064 (▲ 3.0)	4,377 (▲ 3.4)	4,588 (4.4)	4,992 (▲ 3.5)	13,957 (▲ 1.0)	60,451 (2.8)	14,097 (2.3)	58,776 (6.2)
Designs	OO	3 (0.0)	4 (0.0)	7 (0.0)	2 (0.0)	3 (0.0)	3 (0.0)	8 (0.0)	29 (0.0)	-	-
	DO	80 (0.0)	79 (0.0)	111 (0.0)	146 (0.0)	176 (0.0)	192 (0.0)	514 (0.0)	966 (0.0)	-	-
Trademarks	OO	182 (▲ 2.2)	190 (3.8)	195 (5.4)	143 (5.1)	200 (25.8)	206 (▲ 12.3)	549 (3.6)	2,176 (4.1)	530 (21.0)	2,091 (12.1)
	DO	1,618 (31.5)	1,388 (25.5)	2,055 (46.0)	969 (▲ 18.6)	1,375 (20.6)	1,575 (56.1)	3,919 (17.4)	16,564 (26.5)	3,339 (14.4)	13,093 (0.6)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

## (3) The Number of Requests for Patent Examination

### and Report of Utility Model Technical Opinion

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2015 10	11	12	2016 1	2	3	2016/1 - 3	2015/4 - 2016/3 Total of FY2015	2015/1 - 3 (year-on-	2014/4 - 2015/3 Total of FY2014
Request for Patent Examination		18,867 (▲ 10.8)	18,858 (▲ 1.2)	20,325 (5.0)	18,714 (▲ 8.1)	23,710 (6.7)	25,444 (▲ 1.1)	67,868 (▲ 0.6)	240,983 (▲ 2.2)	68,297 (1.1)	246,292 (1.0)
	Year-on-Year	21,150 (5.9)	19,095 (▲ 2.4)	19,353 (5.2)	20,466 (5.5)	23,554 (11.5)	23,520 (▲ 0.4)	-	-	-	-
Report of Utility Model Technical Opinion		21 (▲ 61.8)	39 (62.5)	26 (▲ 16.1)	27 (80.0)	35 (6.1)	33 (▲ 49.2)	95 (▲ 15.9)	404 (▲ 4.5)	113 (24.2)	423 (2.2)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

the figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2015 10	11	12	2016 1	2	3	2016/1 - 3	2015/4 - 2016/3 Total of FY2015	2015/1 - 3 (year-on-	2014/4 - 2015/3 Total of FY2014
Patents	Appeals against Examiner's Decision of Refusal	1,736 (▲ 31.0)	1,504 (▲ 26.9)	1,698 (▲ 27.5)	1,369 (▲ 27.1)	1,571 (▲ 16.5)	1,575 (▲ 17.8)	4,515 (▲ 20.5)	20,697 (▲ 19.9)	5,676 (2.1)	25,825 (4.8)
	Trials for Invalidation	14 (27.3)	19 (▲ 17.4)	12 (▲ 36.8)	10 (▲ 37.5)	17 (▲ 37.0)	10 (▲ 81.8)	37 (▲ 62.2)	166 (▲ 36.9)	98 (96.0)	263 (7.8)
	Trials for Cancellation	7 (▲ 65.0)	13 (▲ 45.8)	20 (▲ 16.7)	14 (16.7)	15 (114.3)	18 (100.0)	47 (67.9)	169 (▲ 10.6)	28 (▲ 42.9)	189 (▲ 21.3)
	Hantei (Advisory Opinion)	2 (▲ 60.0)	2 (0.0)	0 (▲ 100.0)	2 (0.0)	3 (0.0)	2 (0.0)	7 (0.0)	28 (▲ 33.3)	7 (75.0)	42 (44.8)
	Oppositions	100 (0.0)	110 (0.0)	127 (0.0)	87 (0.0)	111 (0.0)	97 (0.0)	295 (0.0)	684 (0.0)	- -	- -
	Total	1,859 (▲ 27.1)	1,648 (▲ 21.7)	1,857 (▲ 22.4)	1,482 (▲ 22.3)	1,717 (▲ 10.5)	1,702 (▲ 14.2)	4,901 (▲ 15.6)	21,744 (▲ 17.4)	5,809 (2.6)	26,319 (4.6)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	1 (0.0)	1 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	9 (28.6)	0 (▲ 100.0)	7 (16.7)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)
	Total	1 (0.0)	2 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	10 (25.0)	0 (▲ 100.0)	8 (33.3)
Designs	Appeals against Examiner's Decision of Refusal	27 (▲ 38.6)	52 (85.7)	26 (▲ 43.5)	29 (93.3)	42 (10.5)	25 (▲ 19.4)	96 (14.3)	403 (3.6)	84 (0.0)	389 (1.8)
	Trials for Invalidation	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	6 (500.0)	6 (50.0)	13 (▲ 35.0)	4 (33.3)	20 (53.8)
	Hantei (Advisory Opinion)	0 (0.0)	1 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	6 (▲ 50.0)	1 (▲ 66.7)	12 (▲ 20.0)
	Total	27 (▲ 42.6)	53 (82.8)	27 (▲ 43.8)	29 (93.3)	43 (2.4)	31 (▲ 3.1)	103 (15.7)	422 (0.2)	89 (▲ 1.1)	421 (2.7)

### (5) The Number of Requests for Trials and Appeals (Trademarks, To

The figures in the brackets indicate the increase/decrease from

Year/Month		2015 10	11	12	2016 1	2	3	2016/1 - 3	2015/4 - 2016/3 Total of FY2015	2015/1 - 3 (year-on-year)
Trademarks	Appeals against Examiner's Decision of Refusal	57 (▲ 31.3)	52 (10.6)	50 (▲ 37.5)	40 (▲ 35.5)	67 (▲ 9.5)	45 (▲ 6.3)	152 (▲ 17.4)	821 (4.5)	184 (▲ 24.3)
	Trials for Invalidation	10 (▲ 28.6)	9 (▲ 25.0)	3 (▲ 84.2)	5 (▲ 50.0)	11 (120.0)	11 (37.5)	27 (17.4)	104 (▲ 8.8)	23 (▲ 4.2)
	Trials for Cancellation	91 (▲ 12.5)	80 (▲ 9.1)	76 (▲ 15.6)	55 (▲ 3.5)	91 (13.8)	81 (▲ 13.8)	227 (▲ 1.7)	960 (▲ 11.1)	231 (▲ 7.6)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	1 (0.0)	2 (▲ 71.4)	1 (▲ 80.0)
	Oppositions	41 (41.4)	18 (▲ 30.8)	52 (40.5)	26 (▲ 44.7)	33 (▲ 8.3)	29 (▲ 25.6)	88 (▲ 27.9)	427 (2.9)	122 (22.0)
	<b>Total</b>	<b>199 (▲ 13.5)</b>	<b>159 (▲ 8.1)</b>	<b>181 (▲ 19.9)</b>	<b>126 (▲ 28.4)</b>	<b>202 (3.6)</b>	<b>167 (▲ 12.1)</b>	<b>495 (▲ 11.8)</b>	<b>2,314 (▲ 3.7)</b>	<b>561 (▲ 9.8)</b>
Total	Appeals against Examiner's Decision of Refusal	1,820 (▲ 31.1)	1,608 (▲ 24.6)	1,774 (▲ 28.1)	1,438 (▲ 26.4)	1,680 (▲ 15.7)	1,645 (▲ 17.6)	4,763 (▲ 19.9)	21,921 (▲ 18.8)	5,944 (1.0)
	Trials for Invalidation	25 (▲ 10.7)	29 (▲ 19.4)	16 (▲ 60.0)	15 (▲ 42.3)	29 (▲ 17.1)	27 (▲ 57.8)	71 (▲ 43.2)	292 (▲ 27.7)	125 (58.2)
	Hantei (Advisory Opinion)	2 (▲ 60.0)	4 (0.0)	1 (▲ 85.7)	2 (0.0)	4 (0.0)	3 (0.0)	9 (0.0)	37 (▲ 40.3)	9 (▲ 25.0)
	Trials for Correction (Patents & Utility Models)	7 (▲ 65.0)	13 (▲ 45.8)	20 (▲ 16.7)	14 (16.7)	15 (114.3)	18 (100.0)	47 (67.9)	169 (▲ 10.6)	28 (▲ 42.9)
	Trials for Cancellation (Trademarks)	91 (▲ 12.5)	80 (▲ 9.1)	76 (▲ 15.6)	55 (▲ 3.5)	91 (13.8)	81 (▲ 13.8)	227 (▲ 1.7)	960 (▲ 11.1)	231 (▲ 7.6)
	Oppositions (Trademarks)	141 (386.2)	128 (392.3)	179 (383.8)	113 (140.4)	144 (300.0)	126 (223.1)	383 (213.9)	1,111 (167.7)	122 (22.0)
	<b>Total</b>	<b>2,086 (▲ 26.2)</b>	<b>1,862 (▲ 19.4)</b>	<b>2,066 (▲ 22.5)</b>	<b>1,637 (▲ 22.0)</b>	<b>1,963 (▲ 8.9)</b>	<b>1,900 (▲ 13.8)</b>	<b>5,500 (▲ 14.8)</b>	<b>24,490 (▲ 16.0)</b>	<b>6,459 (1.3)</b>

## (6) The Number of Registrations

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2015 10	11	12	2016 1	2	3	2016/1 - 3	2015/4 - 2016/3 Total of FY2015	2015/1 - 3 (year-on-	2014/4 - 2015/3 Total of FY2014
The Number of Registrations	Patents	20,385 (6.7)	14,745 (▲ 1.7)	15,640 (▲ 5.1)	16,268 (▲ 3.3)	14,050 (▲ 6.0)	15,024 (▲ 9.2)	45,342 (▲ 6.2)	186,384 (▲ 11.5)	48,316 (▲ 25.5)	210,579 (▲ 23.8)
	Utility Models	548 (▲ 26.2)	478 (▲ 5.3)	563 (6.8)	577 (▲ 8.8)	472 (7.0)	666 (28.6)	1,715 (7.7)	6,818 (▲ 1.6)	1,592 (▲ 5.2)	6,930 (▲ 2.9)
	Designs	2,936 (2.3)	1,784 (5.4)	1,968 (▲ 0.1)	1,830 (▲ 1.9)	2,041 (0.7)	1,646 (▲ 25.6)	5,517 (▲ 9.6)	25,710 (▲ 3.4)	6,104 (▲ 10.3)	26,603 (▲ 4.4)
	Trademarks	10,416 (▲ 2.7)	6,862 (▲ 14.9)	7,721 (▲ 11.8)	7,669 (▲ 11.7)	8,130 (▲ 9.1)	6,774 (▲ 31.0)	22,573 (▲ 17.8)	93,204 (▲ 11.5)	27,454 (24.5)	105,291 (5.6)
	National Applications	9,120 (▲ 4.6)	6,150 (▲ 14.5)	6,787 (▲ 12.1)	6,753 (▲ 11.4)	7,231 (▲ 8.0)	5,827 (▲ 33.6)	19,811 (▲ 18.3)	82,156 (▲ 12.9)	24,251 (21.4)	94,321 (4.7)
	International Applications	1,296 (13.6)	712 (▲ 18.0)	934 (▲ 9.1)	916 (▲ 14.0)	899 (▲ 17.2)	947 (▲ 10.0)	2,762 (▲ 13.8)	11,048 (0.7)	3,203 (53.8)	10,970 (13.2)
	Total	34,285 (2.6)	23,869 (▲ 5.5)	25,892 (▲ 6.6)	26,344 (▲ 5.9)	24,693 (▲ 6.3)	24,110 (▲ 17.2)	75,147 (▲ 10.0)	312,116 (▲ 10.7)	83,466 (▲ 12.5)	349,403 (▲ 15.0)
Class Counts of Trademark Registration	16,203 (▲ 2.4)	11,060 (▲ 15.9)	11,883 (▲ 15.4)	11,756 (▲ 11.3)	12,265 (▲ 11.6)	9,309 (▲ 38.1)	33,330 (▲ 21.0)	146,081 (▲ 12.5)	42,168 (21.1)	166,891 (6.2)	
Trademark Application for Renewal	8,437 (24.7)	6,756 (12.6)	7,106 (12.0)	7,138 (3.7)	5,850 (▲ 15.0)	5,422 (▲ 42.4)	18,410 (▲ 20.6)	85,218 (▲ 0.8)	23,178 (4.5)	85,930 (▲ 2.6)	