

Preliminary Statistical Data on Filing Applications and Requests

Created on October 18, 2016

From March 2016 through August 2016

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

Year/Month	2016 3	4	5	6	7	8	2016 1 - 8	2016/4 -8 Cum Sum of FY2016	2015 1 - 8	2015/4 - 2016/3 Total of FY2015
Patents & Utility Models	40,926 (0.2)	24,243 (▲ 2.2)	22,566 (1.0)	28,209 (2.0)	24,581 (▲ 5.4)	24,772 (4.3)	213,896 (▲ 0.6)	124,371 (▲ 0.1)	215,215 (▲ 2.2)	324,425 (▲ 2.6)
Patents	40,323 (0.3)	23,741 (▲ 1.8)	22,039 (1.1)	27,568 (2.0)	24,019 (▲ 5.4)	24,217 (4.2)	209,504 (▲ 0.5)	121,584 (▲ 0.0)	210,631 (▲ 2.1)	317,653 (▲ 2.6)
Utility Models	603 (▲ 7.9)	502 (▲ 18.4)	527 (▲ 3.7)	641 (4.7)	562 (▲ 5.1)	555 (5.7)	4,392 (▲ 4.2)	2,787 (▲ 3.6)	4,584 (▲ 4.1)	6,772 (▲ 3.1)
Designs	3,000 (2.6)	2,449 (▲ 3.4)	2,250 (▲ 2.2)	2,513 (3.2)	2,534 (▲ 5.9)	2,515 (18.4)	20,175 (3.5)	12,261 (1.4)	19,485 (1.5)	30,419 (1.8)
National Applications	2,808 (▲ 3.9)	2,261 (▲ 10.8)	2,106 (▲ 8.5)	2,374 (▲ 1.8)	2,351 (▲ 11.8)	2,351 (15.2)	18,843 (▲ 2.7)	11,443 (▲ 4.3)	19,359 (0.8)	29,453 (▲ 1.4)
Trademarks	17,001 (34.5)	12,362 (▲ 9.9)	10,739 (4.7)	12,999 (8.5)	11,566 (▲ 12.8)	14,755 (29.7)	104,415 (10.4)	62,421 (3.0)	94,612 (15.1)	155,274 (19.7)
National Applications	15,427 (32.7)	11,801 (▲ 5.3)	10,215 (12.2)	12,103 (11.9)	10,650 (▲ 8.4)	13,762 (35.4)	96,607 (13.9)	58,531 (8.1)	84,832 (14.7)	138,711 (18.9)
Total Number	60,927 (8.0)	39,054 (▲ 4.9)	35,555 (1.9)	43,721 (3.9)	38,681 (▲ 7.8)	42,042 (12.8)	338,486 (2.8)	199,053 (0.9)	329,312 (2.5)	510,118 (3.6)
Trademarks Class Counts	47,236 (85.6)	28,083 (▲ 4.8)	23,454 (13.4)	26,549 (16.7)	20,561 (▲ 37.2)	40,957 (69.0)	250,096 (21.9)	139,604 (7.5)	205,151 (47.8)	348,571 (44.0)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2016 3	4	5	6	7	8	2016 1 - 8	2016/4 -8 Cum Sum of FY2016	2015 1 - 8	2015/4 - 2016/3 Total of FY2015
Patents	RO	5,533 (8.8)	3,002 (▲ 4.8)	2,956 (▲ 4.6)	3,990 (5.7)	3,273 (▲ 7.9)	3,588 (3.7)	29,583 (1.1)	16,809 (▲ 1.4)	29,254 (7.0)	43,661 (4.0)
	DO	4,992 (▲ 3.5)	5,306 (3.9)	4,572 (0.4)	5,959 (3.8)	4,299 (▲ 7.4)	4,768 (3.4)	38,861 (0.3)	24,904 (1.0)	38,755 (1.2)	60,451 (2.8)
Designs	OO	3 (0.0)	7 (0.0)	3 (50.0)	1 (0.0)	3 (0.0)	2 (▲ 33.3)	24 (300.0)	16 (166.7)	6 (0.0)	29 (0.0)
	DO	192 (0.0)	188 (0.0)	144 (0.0)	139 (717.6)	183 (632.0)	164 (95.2)	1,332 (957.1)	818 (549.2)	126 (0.0)	966 (0.0)
Trademarks	OO	206 (▲ 12.3)	167 (▲ 1.8)	169 (20.7)	212 (35.0)	185 (▲ 14.4)	212 (26.2)	1,494 (8.2)	945 (11.0)	1,381 (9.1)	2,176 (4.1)
	DO	1,574 (56.0)	561 (▲ 55.6)	524 (▲ 54.7)	896 (▲ 23.2)	916 (▲ 44.4)	993 (▲ 17.7)	7,808 (▲ 20.2)	3,890 (▲ 39.6)	9,780 (18.7)	16,563 (26.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 3	4	5	6	7	8	2016 1 - 8	2016/4 -8 Cum Sum of FY2016	2015 1 - 8	2015/4 - 2016/3 Total of FY2015
Request for Patent Examination		25,440 (▲ 1.1)	17,783 (▲ 4.5)	16,832 (▲ 3.7)	20,412 (5.1)	19,584 (▲ 1.3)	18,997 (2.0)	161,484 (▲ 0.5)	93,608 (▲ 0.4)	162,288 (▲ 0.7)	240,991 (▲ 2.2)
	Year-on-Year	25,729 (▲ 0.4)	18,617 (▲ 4.9)	17,479 (▲ 2.3)	19,426 (2.7)	19,836 (0.9)	18,633 (▲ 0.2)	-	-	-	-
Report of Utility Model Technical Opinion		34 (▲ 47.7)	26 (▲ 31.6)	24 (▲ 36.8)	29 (▲ 23.7)	35 (▲ 20.5)	25 (▲ 19.4)	235 (▲ 22.2)	139 (▲ 26.5)	302 (14.0)	405 (▲ 4.3)

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2016 3	4	5	6	7	8	2016 1 - 8	2016/4 - 8 Cum Sum of FY2016	2015 1 - 8	2015/4 - 2016/3 Total of FY2015
Patents	Appeals against Examiner's Decision of Refusal	1,575 (▲ 17.8)	1,658 (▲ 21.0)	1,466 (▲ 22.0)	1,822 (▲ 16.5)	1,547 (▲ 22.1)	1,539 (5.1)	12,547 (▲ 17.9)	8,032 (▲ 16.4)	15,286 (▲ 7.5)	20,697 (▲ 19.9)
	Trials for Invalidation	10 (▲ 81.8)	11 (▲ 42.1)	17 (88.9)	12 (▲ 7.7)	14 (0.0)	15 (36.4)	106 (▲ 35.4)	69 (4.5)	164 (16.3)	166 (▲ 36.9)
	Trials for Cancellation	18 (100.0)	14 (7.7)	10 (11.1)	16 (▲ 20.0)	13 (▲ 23.5)	16 (100.0)	116 (22.1)	69 (3.0)	95 (▲ 23.4)	169 (▲ 10.6)
	Hantei (Advisory Opinion)	2 (0.0)	3 (0.0)	10 (233.3)	6 (500.0)	1 (▲ 75.0)	7 (600.0)	34 (78.9)	27 (125.0)	19 (▲ 9.5)	28 (▲ 33.3)
	Oppositions	97 (0.0)	118 (11700.0)	121 (0.0)	93 (9200.0)	77 (1000.0)	164 (2242.9)	868 (5325.0)	573 (3481.3)	16 (0.0)	684 (0.0)
	Total	1,702 (▲ 14.2)	1,804 (▲ 15.5)	1,624 (▲ 14.6)	1,949 (▲ 12.0)	1,652 (▲ 18.5)	1,741 (16.7)	13,671 (▲ 12.3)	8,770 (▲ 10.2)	15,580 (▲ 7.3)	21,744 (▲ 17.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (0.0)	0 (0.0)	2 (0.0)	5 (150.0)	1 (▲ 50.0)	0 (0.0)	9 (125.0)	8 (100.0)	4 (▲ 42.9)	9 (28.6)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)
	Total	0 (0.0)	0 (0.0)	2 (0.0)	5 (150.0)	1 (▲ 50.0)	0 (0.0)	9 (125.0)	8 (100.0)	4 (▲ 42.9)	10 (25.0)
Designs	Appeals against Examiner's Decision of Refusal	25 (▲ 19.4)	43 (13.2)	31 (29.2)	25 (4.2)	25 (▲ 16.7)	39 (▲ 13.3)	259 (5.7)	163 (1.2)	245 (7.0)	403 (3.6)
	Trials for Invalidation	6 (500.0)	1 (0.0)	4 (100.0)	4 (0.0)	2 (0.0)	0 (▲ 100.0)	17 (88.9)	11 (120.0)	9 (▲ 30.8)	13 (▲ 35.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	2 (0.0)	1 (0.0)	2 (100.0)	6 (100.0)	5 (150.0)	3 (▲ 72.7)	6 (▲ 50.0)
	Total	31 (▲ 3.1)	44 (15.8)	35 (29.6)	31 (29.2)	4 28 (▲ 12.5)	41 (▲ 12.8)	282 (9.7)	179 (6.5)	257 (1.6)	422 (0.2)

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2016 3	4	5	6	7	8	2016 1 - 8	2016/4 -8 Cum Sum of FY2016	2015 1 - 8	2015/4 - 2016/3 Total of FY2015
Trademarks	Appeals against Examiner's Decision of Refusal	37 (▲ 22.9)	40 (▲ 40.3)	11 (▲ 85.3)	30 (▲ 75.0)	57 (▲ 36.7)	57 (▲ 32.1)	338 (▲ 45.5)	195 (▲ 55.3)	620 (9.3)	812 (3.3)
	Trials for Invalidation	12 (50.0)	3 (▲ 76.9)	8 (▲ 42.9)	4 (▲ 42.9)	6 (▲ 14.3)	5 (▲ 16.7)	53 (▲ 24.3)	26 (▲ 44.7)	70 (11.1)	104 (▲ 8.8)
	Trials for Cancellation	78 (▲ 17.0)	76 (▲ 15.6)	84 (▲ 3.4)	103 (17.0)	58 (▲ 40.2)	95 (39.7)	640 (▲ 3.2)	416 (▲ 3.3)	661 (▲ 3.6)	957 (▲ 11.4)
	Hantei (Advisory Opinion)	1 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	2 (0.0)	1 (0.0)	2 (▲ 81.8)	2 (▲ 71.4)
	Oppositions	30 (▲ 23.1)	32 (▲ 3.0)	31 (▲ 24.4)	31 (▲ 43.6)	47 (9.3)	68 (183.3)	296 (▲ 6.9)	209 (6.6)	318 (22.3)	426 (2.7)
	Total	158 (▲ 16.8)	151 (▲ 26.0)	134 (▲ 38.2)	168 (▲ 37.8)	168 (▲ 29.1)	226 (24.2)	1,329 (▲ 20.5)	847 (▲ 23.7)	1,671 (5.3)	2,301 (▲ 4.2)
Total	Appeals against Examiner's Decision of Refusal	1,637 (▲ 18.0)	1,741 (▲ 21.0)	1,508 (▲ 23.8)	1,877 (▲ 19.3)	1,629 (▲ 22.6)	1,635 (2.6)	13,144 (▲ 18.6)	8,390 (▲ 17.8)	16,151 (▲ 6.7)	21,912 (▲ 18.8)
	Trials for Invalidation	28 (▲ 56.3)	15 (▲ 53.1)	31 (24.0)	25 (13.6)	23 (▲ 8.0)	20 (11.1)	185 (▲ 25.1)	114 (▲ 6.6)	247 (10.3)	292 (▲ 27.7)
	Hantei (Advisory Opinion)	3 (0.0)	3 (▲ 25.0)	10 (150.0)	8 (700.0)	2 (▲ 50.0)	10 (400.0)	42 (75.0)	33 (120.0)	24 (▲ 44.2)	37 (▲ 40.3)
	Trials for Correction (Patents & Utility Models)	18 (100.0)	14 (7.7)	10 (11.1)	16 (▲ 20.0)	13 (▲ 23.5)	16 (100.0)	116 (22.1)	69 (3.0)	95 (▲ 23.4)	169 (▲ 10.6)
	Trials for Cancellation (Trademarks)	78 (▲ 17.0)	76 (▲ 15.6)	84 (▲ 3.4)	103 (17.0)	58 (▲ 40.2)	95 (39.7)	640 (▲ 3.2)	416 (▲ 3.3)	661 (▲ 3.6)	957 (▲ 11.4)
	Oppositions (Trademarks)	127 (225.6)	150 (341.2)	152 (270.7)	124 (121.4)	124 (148.0)	232 (648.4)	1,164 (248.5)	782 (268.9)	334 (28.5)	1,110 (167.5)
	Total	1,891 (▲ 14.2)	1,999 (▲ 15.9)	1,795 (▲ 16.3)	2,153 (▲ 14.3)	1,849 (▲ 19.5)	2,008 (16.7)	15,291 (▲ 12.7)	9,804 (▲ 11.3)	17,512 (▲ 6.1)	24,477 (▲ 16.0)

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 3	4	5	6	7	8	2016 1 - 8	2016/4 -8 Cum Sum of FY2016	2015 1 - 8	2015/4 - 2016/3 Total of FY2015
The Number of Registrations	Patents	15,024 (▲ 9.2)	21,007 (26.9)	14,692 (▲ 15.7)	15,566 (9.3)	20,788 (16.1)	15,622 (19.4)	133,017 (4.3)	87,675 (10.7)	127,529 (▲ 20.3)	186,384 (▲ 11.5)
	Utility Models	666 (28.6)	417 (▲ 29.0)	521 (▲ 2.4)	562 (11.5)	522 (▲ 28.2)	686 (32.9)	4,423 (▲ 0.8)	2,708 (▲ 5.6)	4,460 (▲ 5.1)	6,818 (▲ 1.6)
	Designs	1,646 (▲ 25.6)	2,646 (8.7)	1,736 (▲ 32.7)	2,003 (▲ 5.1)	2,631 (0.7)	2,133 (1.8)	16,666 (▲ 7.1)	11,149 (▲ 5.8)	17,934 (▲ 4.5)	25,710 (▲ 3.4)
	Trademarks	6,774 (▲ 31.0)	11,622 (53.6)	7,778 (▲ 5.8)	8,333 (11.0)	10,688 (12.7)	7,503 (6.4)	68,497 (1.7)	45,924 (15.2)	67,326 (4.5)	93,204 (▲ 11.5)
	National Applications	5,827 (▲ 33.6)	11,100 (65.9)	7,062 (▲ 0.8)	7,508 (10.9)	9,029 (8.4)	6,626 (5.8)	61,136 (2.9)	41,325 (17.5)	59,419 (1.7)	82,156 (▲ 12.9)
	International Applications	947 (▲ 10.0)	522 (▲ 40.3)	716 (▲ 37.5)	825 (11.8)	1,659 (42.9)	877 (11.6)	7,361 (▲ 6.9)	4,599 (▲ 2.2)	7,907 (30.7)	11,048 (0.7)
	Total	24,110 (▲ 17.2)	35,692 (31.5)	24,727 (▲ 14.2)	26,464 (8.6)	34,629 (12.7)	25,944 (14.0)	222,603 (2.5)	147,456 (10.2)	217,249 (▲ 12.4)	312,116 (▲ 10.7)
Class Counts of Trademark Registration	9,309 (▲ 38.1)	19,278 (50.4)	13,134 (1.9)	13,585 (12.8)	15,724 (3.9)	12,190 (6.5)	107,241 (0.7)	73,911 (14.9)	106,487 (3.6)	146,081 (▲ 12.5)	
Trademark Application for Renewal	5,422 (▲ 42.4)	13,576 (78.2)	11,642 (85.8)	9,377 (2.3)	8,186 (13.1)	9,890 (46.6)	71,081 (18.0)	52,671 (42.2)	60,214 (2.1)	85,218 (▲ 0.8)	