

Preliminary Statistical Data on Filing Applications and Requests

Created on November 15, 2016

From April 2016 through September 2016

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

Year/Month	2016 4	5	6	7	8	9	2016 1 - 9	2016/4 -9 Cum Sum of FY2016	2015 1 - 9	2015/4 - 2016/3 Total of FY2015
Patents & Utility Models	24,243 (▲ 2.2)	22,566 (1.0)	28,207 (2.0)	24,584 (▲ 5.4)	24,844 (4.6)	31,195 (▲ 3.0)	245,164 (▲ 0.9)	155,639 (▲ 0.7)	247,376 (▲ 1.8)	324,425 (▲ 2.6)
Patents	23,741 (▲ 1.8)	22,039 (1.1)	27,566 (2.0)	24,021 (▲ 5.4)	24,274 (4.5)	30,677 (▲ 2.8)	240,238 (▲ 0.8)	152,318 (▲ 0.6)	242,199 (▲ 1.8)	317,653 (▲ 2.6)
Utility Models	502 (▲ 18.4)	527 (▲ 3.7)	641 (4.7)	563 (▲ 4.9)	570 (8.6)	518 (▲ 12.6)	4,926 (▲ 4.8)	3,321 (▲ 4.7)	5,177 (▲ 4.0)	6,772 (▲ 3.1)
Designs	2,449 (▲ 3.4)	2,250 (▲ 2.2)	2,513 (3.2)	2,534 (▲ 5.9)	2,533 (19.2)	2,854 (17.6)	23,047 (5.2)	15,133 (4.3)	21,911 (▲ 0.0)	30,419 (1.8)
National Applications	2,261 (▲ 10.8)	2,106 (▲ 8.5)	2,374 (▲ 1.8)	2,351 (▲ 11.8)	2,369 (16.1)	2,604 (9.9)	21,465 (▲ 1.2)	14,065 (▲ 1.9)	21,729 (▲ 0.8)	29,453 (▲ 1.4)
Trademarks	12,362 (▲ 9.9)	10,739 (4.7)	12,999 (8.5)	11,566 (▲ 12.8)	14,772 (29.9)	12,427 (3.6)	116,858 (9.6)	74,865 (3.1)	106,610 (15.4)	155,273 (19.7)
National Applications	11,801 (▲ 5.3)	10,215 (12.2)	12,103 (11.9)	10,650 (▲ 8.4)	13,779 (35.5)	10,920 (0.6)	107,544 (12.4)	69,468 (6.8)	95,687 (14.6)	138,711 (18.9)
Total Number	39,054 (▲ 4.9)	35,555 (1.9)	43,719 (3.9)	38,684 (▲ 7.8)	42,149 (13.1)	46,476 (▲ 0.2)	385,069 (2.4)	245,637 (0.7)	375,897 (2.6)	510,117 (3.6)
Trademarks Class Counts	28,083 (▲ 4.8)	23,454 (13.4)	26,549 (16.7)	20,571 (▲ 37.1)	44,946 (85.5)	25,803 (5.2)	279,898 (21.9)	169,406 (9.7)	229,669 (45.9)	348,571 (44.0)

▪ The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2016 4	5	6	7	8	9	2016 1 - 9	2016/4 -9 Cum Sum of FY2016	2015 1 - 9	2015/4 - 2016/3 Total of FY2015
Patents	RO	3,002 (▲ 4.8)	2,956 (▲ 4.6)	3,990 (5.7)	3,273 (▲ 7.9)	3,588 (3.7)	4,059 (11.2)	33,642 (2.2)	20,868 (0.8)	32,903 (5.2)	43,661 (4.0)
	DO	5,306 (3.9)	4,572 (0.4)	5,957 (3.8)	4,299 (▲ 7.4)	4,769 (3.4)	5,779 (▲ 24.4)	44,639 (▲ 3.8)	30,682 (▲ 5.0)	46,402 (6.3)	60,451 (2.8)
Designs	OO	7 (0.0)	3 (50.0)	1 (0.0)	3 (0.0)	2 (▲ 33.3)	3 (200.0)	27 (285.7)	19 (171.4)	7 (0.0)	29 (0.0)
	DO	188 (0.0)	144 (0.0)	139 (717.6)	183 (632.0)	164 (95.2)	250 (346.4)	1,582 (769.2)	1,068 (486.8)	182 (0.0)	966 (0.0)
Trademarks	OO	167 (▲ 1.8)	169 (20.7)	212 (35.0)	185 (▲ 14.4)	212 (26.2)	235 (12.4)	1,729 (8.7)	1,180 (11.3)	1,590 (10.0)	2,176 (4.1)
	DO	561 (▲ 55.6)	524 (▲ 54.7)	896 (▲ 23.2)	916 (▲ 44.4)	993 (▲ 17.7)	1,507 (31.8)	9,314 (▲ 14.7)	5,397 (▲ 28.8)	10,923 (22.3)	16,562 (26.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 4	5	6	7	8	9	2016 1 - 9	2016/4 -9 Cum Sum of FY2016	2015 1 - 9	2015/4 - 2016/3 Total of FY2015
Request for Patent Examination		17,783 (▲ 4.5)	16,832 (▲ 3.7)	20,411 (5.1)	19,584 (▲ 1.3)	18,990 (1.9)	22,047 (4.6)	183,523 (0.1)	115,647 (0.5)	183,362 (▲ 1.4)	240,991 (▲ 2.2)
	Year-on-Year	17,873 (▲ 4.9)	18,145 (▲ 2.3)	20,044 (2.7)	19,498 (0.9)	20,361 (▲ 0.2)	22,476 (6.3)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		26 (▲ 31.6)	24 (▲ 36.8)	29 (▲ 23.7)	35 (▲ 20.5)	31 (0.0)	25 (▲ 26.5)	266 (▲ 20.8)	170 (▲ 23.8)	336 (15.5)	405 (▲ 4.3)

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2016 4	5	6	7	8	9	2016 1 - 9	2016/4-9 Cum Sum of FY2016	2015 1 - 9	2015/4 - 2016/3 Total of FY2015
Patents	Appeals against Examiner's Decision of Refusal	1,658 (▲ 21.0)	1,466 (▲ 22.0)	1,822 (▲ 16.5)	1,547 (▲ 22.1)	1,539 (5.1)	1,572 (▲ 3.8)	14,119 (▲ 16.6)	9,604 (▲ 14.6)	16,920 (▲ 10.0)	20,697 (▲ 19.9)
	Trials for Invalidation	11 (▲ 42.1)	17 (88.9)	12 (▲ 7.7)	14 (0.0)	15 (36.4)	9 (▲ 50.0)	115 (▲ 36.8)	78 (▲ 7.1)	182 (12.3)	166 (▲ 36.9)
	Trials for Cancellation	14 (7.7)	10 (11.1)	16 (▲ 20.0)	13 (▲ 23.5)	16 (100.0)	8 (▲ 46.7)	124 (12.7)	77 (▲ 6.1)	110 (▲ 22.5)	169 (▲ 10.6)
	Hantei (Advisory Opinion)	3 (0.0)	10 (233.3)	6 (500.0)	1 (▲ 75.0)	7 (600.0)	2 (▲ 60.0)	36 (50.0)	29 (70.6)	24 (▲ 4.0)	28 (▲ 33.3)
	Oppositions	118 (11700.0)	121 (0.0)	93 (9200.0)	77 (1000.0)	164 (2242.9)	163 (352.8)	1,031 (1882.7)	736 (1315.4)	52 (0.0)	684 (0.0)
	Total	1,804 (▲ 15.5)	1,624 (▲ 14.6)	1,949 (▲ 12.0)	1,652 (▲ 18.5)	1,741 (16.7)	1,754 (2.7)	15,425 (▲ 10.8)	10,524 (▲ 8.3)	17,288 (▲ 9.6)	21,744 (▲ 17.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (0.0)	2 (0.0)	5 (150.0)	1 (▲ 50.0)	0 (0.0)	1 (0.0)	10 (100.0)	9 (80.0)	5 (▲ 37.5)	9 (28.6)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)
	Total	0 (0.0)	2 (0.0)	5 (150.0)	1 (▲ 50.0)	0 (0.0)	1 (0.0)	10 (100.0)	9 (80.0)	5 (▲ 37.5)	10 (25.0)
Designs	Appeals against Examiner's Decision of Refusal	43 (13.2)	31 (29.2)	25 (4.2)	25 (▲ 16.7)	39 (▲ 13.3)	27 (▲ 34.1)	286 (0.0)	190 (▲ 5.9)	286 (5.5)	403 (3.6)
	Trials for Invalidation	1 (0.0)	4 (100.0)	4 (0.0)	2 (0.0)	0 (▲ 100.0)	1 (▲ 50.0)	18 (63.6)	12 (71.4)	11 (▲ 21.4)	13 (▲ 35.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (▲ 100.0)	2 (0.0)	1 (0.0)	2 (100.0)	0 (▲ 100.0)	6 (50.0)	5 (66.7)	4 (▲ 69.2)	6 (▲ 50.0)
	Total	44 (15.8)	35 (29.6)	31 (29.2)	28 (▲ 12.5)	4 41 (▲ 12.8)	28 (▲ 36.4)	310 (3.0)	207 (▲ 2.4)	301 (1.0)	422 (0.2)

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2016 4	5	6	7	8	9	2016 1 - 9	2016/4 -9 Cum Sum of FY2016	2015 1 - 9	2015/4 - 2016/3 Total of FY2015
Trademarks	Appeals against Examiner's Decision of Refusal	40 (▲ 40.3)	11 (▲ 85.3)	30 (▲ 75.0)	57 (▲ 36.7)	57 (▲ 32.1)	34 (▲ 54.1)	372 (▲ 46.4)	229 (▲ 55.1)	694 (9.3)	812 (3.3)
	Trials for Invalidation	3 (▲ 76.9)	8 (▲ 42.9)	4 (▲ 42.9)	6 (▲ 14.3)	5 (▲ 16.7)	6 (▲ 25.0)	59 (▲ 24.4)	32 (▲ 41.8)	78 (11.4)	104 (▲ 8.8)
	Trials for Cancellation	76 (▲ 15.6)	84 (▲ 3.4)	103 (17.0)	58 (▲ 40.2)	95 (39.7)	70 (25.0)	710 (▲ 1.0)	486 (0.0)	717 (▲ 12.2)	957 (▲ 11.4)
	Hantei (Advisory Opinion)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	3 (0.0)	5 (150.0)	4 (300.0)	2 (▲ 81.8)	2 (▲ 71.4)
	Oppositions	32 (▲ 3.0)	31 (▲ 24.4)	31 (▲ 43.6)	47 (9.3)	68 (183.3)	45 (40.6)	341 (▲ 2.6)	254 (11.4)	350 (16.3)	426 (2.7)
	Total	151 (▲ 26.0)	134 (▲ 38.2)	168 (▲ 37.8)	168 (▲ 29.1)	226 (24.2)	158 (▲ 7.1)	1,487 (▲ 19.2)	1,005 (▲ 21.5)	1,841 (0.4)	2,301 (▲ 4.2)
Total	Appeals against Examiner's Decision of Refusal	1,741 (▲ 21.0)	1,508 (▲ 23.8)	1,877 (▲ 19.3)	1,629 (▲ 22.6)	1,635 (2.6)	1,633 (▲ 6.6)	14,777 (▲ 17.4)	10,023 (▲ 16.2)	17,900 (▲ 9.1)	21,912 (▲ 18.8)
	Trials for Invalidation	15 (▲ 53.1)	31 (24.0)	25 (13.6)	23 (▲ 8.0)	20 (11.1)	17 (▲ 41.4)	202 (▲ 26.8)	131 (▲ 13.2)	276 (8.7)	292 (▲ 27.7)
	Hantei (Advisory Opinion)	3 (▲ 25.0)	10 (150.0)	8 (700.0)	2 (▲ 50.0)	10 (400.0)	5 (▲ 16.7)	47 (56.7)	38 (81.0)	30 (▲ 38.8)	37 (▲ 40.3)
	Trials for Correction (Patents & Utility Models)	14 (7.7)	10 (11.1)	16 (▲ 20.0)	13 (▲ 23.5)	16 (100.0)	8 (▲ 46.7)	124 (12.7)	77 (▲ 6.1)	110 (▲ 22.5)	169 (▲ 10.6)
	Trials for Cancellation (Trademarks)	76 (▲ 15.6)	84 (▲ 3.4)	103 (17.0)	58 (▲ 40.2)	95 (39.7)	70 (25.0)	710 (▲ 1.0)	486 (0.0)	717 (▲ 12.2)	957 (▲ 11.4)
	Oppositions (Trademarks)	150 (341.2)	152 (270.7)	124 (121.4)	124 (148.0)	232 (648.4)	208 (205.9)	1,372 (241.3)	990 (253.6)	402 (33.6)	1,110 (167.5)
	Total	1,999 (▲ 15.9)	1,795 (▲ 16.3)	2,153 (▲ 14.3)	1,849 (▲ 19.5)	2,008 (16.7)	1,941 (0.9)	17,232 (▲ 11.3)	11,745 (▲ 9.5)	19,435 (▲ 8.6)	24,477 (▲ 16.0)

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 4	5	6	7	8	9	2016 1 - 9	2016/4 -9 Cum Sum of FY2016	2015 1 - 9	2015/4 - 2016/3 Total of FY2015
The Number of Registrations	Patents	21,007 (26.9)	14,692 (▲ 15.7)	15,566 (9.3)	20,788 (16.1)	15,622 (19.4)	20,755 (87.7)	153,772 (11.0)	108,430 (20.1)	138,588 (▲ 21.5)	186,384 (▲ 11.5)
	Utility Models	417 (▲ 29.0)	521 (▲ 2.4)	562 (11.5)	522 (▲ 28.2)	686 (32.9)	498 (▲ 22.9)	4,921 (▲ 3.6)	3,206 (▲ 8.8)	5,106 (▲ 2.6)	6,818 (▲ 1.6)
	Designs	2,646 (8.7)	1,736 (▲ 32.7)	2,003 (▲ 5.1)	2,631 (0.7)	2,133 (1.8)	2,488 (48.5)	19,154 (▲ 2.3)	13,637 (1.0)	19,609 (▲ 5.6)	25,710 (▲ 3.4)
	Trademarks	11,622 (53.6)	7,778 (▲ 5.8)	8,333 (11.0)	10,688 (12.7)	7,503 (6.4)	9,999 (73.6)	78,496 (7.4)	55,923 (22.6)	73,086 (1.0)	93,204 (▲ 11.5)
	National Applications	11,100 (65.9)	7,062 (▲ 0.8)	7,508 (10.9)	9,029 (8.4)	6,626 (5.8)	8,604 (68.0)	69,740 (8.1)	49,929 (23.9)	64,539 (▲ 1.6)	82,156 (▲ 12.9)
	International Applications	522 (▲ 40.3)	716 (▲ 37.5)	825 (11.8)	1,659 (42.9)	877 (11.6)	1,395 (118.0)	8,756 (2.4)	5,994 (12.2)	8,547 (25.5)	11,048 (0.7)
	Total	35,692 (31.5)	24,727 (▲ 14.2)	26,464 (8.6)	34,629 (12.7)	25,944 (14.0)	33,740 (76.3)	256,343 (8.4)	181,196 (18.5)	236,389 (▲ 14.0)	312,116 (▲ 10.7)
Class Counts of Trademark Registration	19,278 (50.4)	13,134 (1.9)	13,585 (12.8)	15,724 (3.9)	12,190 (6.5)	15,895 (71.2)	123,136 (6.4)	89,806 (22.0)	115,773 (0.0)	146,081 (▲ 12.5)	
Trademark Application for Renewal	13,576 (78.2)	11,642 (85.8)	9,377 (2.3)	8,186 (13.1)	9,890 (46.6)	7,891 (5.6)	78,972 (16.7)	60,562 (36.1)	67,687 (2.8)	85,218 (▲ 0.8)	