

Preliminary Statistical Data on Filing Applications and Requests

Created on December 20, 2016

From May 2016 through October 2016

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

| Year/Month | 2016 5 | 6 | 7 | 8 | 9 | 10 | 2016 1 - 10 | 2016/4 -10 Cum Sum of FY2016 | 2015 1 - 10 | 2015/4 - 2016/3 Total of FY2015 |
|--------------------------|------------------|------------------|--------------------|------------------|-------------------|-------------------|--------------------|------------------------------------|--------------------|------------------------------------|
| Patents & Utility Models | 22,566 (1.0) | 28,207 (2.0) | 24,587 (▲ 5.4) | 24,844 (4.6) | 31,306 (▲ 2.7) | 23,721 (▲ 5.5) | 268,999 (▲ 1.3) | 179,474 (▲ 1.3) | 272,468 (▲ 2.3) | 324,425 (▲ 2.6) |
| Patents | 22,039 (1.1) | 27,566 (2.0) | 24,024 (▲ 5.4) | 24,274 (4.5) | 30,761 (▲ 2.6) | 23,257 (▲ 5.1) | 263,582 (▲ 1.2) | 175,662 (▲ 1.2) | 266,700 (▲ 2.3) | 317,653 (▲ 2.6) |
| Utility Models | 527 (▲ 3.7) | 641 (4.7) | 563 (▲ 4.9) | 570 (8.6) | 545 (▲ 8.1) | 464 (▲ 21.5) | 5,417 (▲ 6.1) | 3,812 (▲ 6.5) | 5,768 (▲ 3.8) | 6,772 (▲ 3.1) |
| Designs | 2,250 (▲ 2.2) | 2,513 (3.2) | 2,534 (▲ 5.9) | 2,533 (19.2) | 2,893 (19.2) | 2,506 (▲ 12.7) | 25,592 (3.3) | 17,678 (1.7) | 24,781 (0.6) | 30,419 (1.8) |
| National Applications | 2,106 (▲ 8.5) | 2,374 (▲ 1.8) | 2,351 (▲ 11.8) | 2,369 (16.1) | 2,643 (11.5) | 2,340 (▲ 16.1) | 23,844 (▲ 2.8) | 16,444 (▲ 4.0) | 24,519 (▲ 0.4) | 29,453 (▲ 1.4) |
| Trademarks | 10,739 (4.7) | 12,999 (8.5) | 11,566 (▲ 12.8) | 14,772 (29.9) | 12,507 (4.2) | 16,136 (26.5) | 133,074 (11.5) | 91,081 (6.7) | 119,362 (15.3) | 155,273 (19.7) |
| National Applications | 10,215 (12.2) | 12,103 (11.9) | 10,650 (▲ 8.4) | 13,779 (35.5) | 11,000 (1.3) | 14,682 (31.9) | 122,306 (14.5) | 84,230 (10.6) | 106,821 (14.4) | 138,711 (18.9) |
| Total Number | 35,555 (1.9) | 43,719 (3.9) | 38,687 (▲ 7.8) | 42,149 (13.1) | 46,706 (0.3) | 42,363 (4.1) | 427,665 (2.7) | 288,233 (1.3) | 416,611 (2.3) | 510,117 (3.6) |
| Trademarks Class Counts | 23,454 (13.4) | 26,549 (16.7) | 20,571 (▲ 37.1) | 44,946 (85.5) | 25,803 (5.2) | 49,091 (86.3) | 328,989 (28.5) | 218,497 (20.9) | 256,016 (45.5) | 348,571 (44.0) |

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

| | | 2016 5 | 6 | 7 | 8 | 9 | 10 | 2016 1 - 10 | 2016/4 -10 Cum Sum of FY2016 | 2015 1 - 10 | 2015/4 - 2016/3 Total of FY2015 |
|------------|----|------------------|-----------------|------------------|-----------------|-------------------|-------------------|--------------------|------------------------------------|------------------|------------------------------------|
| Patents | RO | 2,956 (▲ 4.6) | 3,990 (5.7) | 3,273 (▲ 7.9) | 3,588 (3.7) | 4,059 (11.2) | 3,508 (1.4) | 37,150 (2.2) | 24,376 (0.9) | 36,364 (4.8) | 43,661 (4.0) |
| | DO | 4,572 (0.4) | 5,957 (3.8) | 4,299 (▲ 7.4) | 4,769 (3.4) | 5,781 (▲ 24.4) | 4,708 (1.5) | 49,349 (▲ 3.3) | 35,392 (▲ 4.2) | 51,041 (4.6) | 60,451 (2.8) |
| Designs | OO | 3 (50.0) | 1 (0.0) | 3 (0.0) | 2 (▲ 33.3) | 5 (400.0) | 7 (133.3) | 36 (260.0) | 28 (180.0) | 10 (0.0) | 29 (0.0) |
| | DO | 144 (0.0) | 139 (717.6) | 183 (632.0) | 164 (95.2) | 250 (346.4) | 166 (107.5) | 1,748 (567.2) | 1,234 (371.0) | 262 (0.0) | 966 (0.0) |
| Trademarks | OO | 169 (20.7) | 212 (35.0) | 185 (▲ 14.4) | 212 (26.2) | 235 (12.4) | 203 (11.5) | 1,932 (9.0) | 1,383 (11.4) | 1,772 (8.6) | 2,176 (4.1) |
| | DO | 524 (▲ 54.7) | 896 (▲ 23.2) | 916 (▲ 44.4) | 993 (▲ 17.7) | 1,507 (31.8) | 1,454 (▲ 10.1) | 10,768 (▲ 14.1) | 6,851 (▲ 25.5) | 12,541 (23.5) | 16,562 (26.5) |

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models. The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs. The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

| Year/Month | | 2016 5 | 6 | 7 | 8 | 9 | 10 | 2016 1 - 10 | 2016/4 -10 Cum Sum of FY2016 | 2015 1 - 10 | 2015/4 - 2016/3 Total of FY2015 |
|---|--------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|--------------------|------------------------------------|--------------------|------------------------------------|
| Request for Patent Examination | | 16,832 (▲ 3.7) | 20,411 (5.1) | 19,581 (▲ 1.3) | 18,988 (1.9) | 22,069 (4.7) | 18,029 (▲ 4.4) | 201,569 (▲ 0.3) | 133,693 (▲ 0.2) | 202,229 (▲ 2.3) | 240,991 (▲ 2.2) |
| | Year-on-Year | 17,479 (▲ 3.7) | 19,426 (▲ 3.1) | 19,836 (1.7) | 18,633 (▲ 8.5) | 21,074 (▲ 6.2) | 18,867 (▲ 10.8) | - | - | - | - |
| Report of Utility Model Technical Opinion | | 24 (▲ 36.8) | 29 (▲ 23.7) | 35 (▲ 20.5) | 31 (0.0) | 26 (▲ 23.5) | 27 (28.6) | 294 (▲ 17.6) | 198 (▲ 18.9) | 357 (3.2) | 405 (▲ 4.3) |

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

| Year/Month | | 2016 5 | 6 | 7 | 8 | 9 | 10 | 2016 1 - 10 | 2016/4 -10 Cum Sum of FY2016 | 2015 1 - 10 | 2015/4 - 2016/3 Total of FY2015 |
|----------------|---|---------------------------|---------------------------|---------------------------|-------------------------|------------------------------|---------------------------|----------------------------|------------------------------------|----------------------------|------------------------------------|
| Patents | Appeals against Examiner's Decision of Refusal | 1,466 (▲ 22.0) | 1,822 (▲ 16.5) | 1,547 (▲ 22.1) | 1,539 (5.1) | 1,572 (▲ 3.8) | 1,457 (▲ 16.1) | 15,576 (▲ 16.5) | 11,061 (▲ 14.8) | 18,656 (▲ 12.5) | 20,697 (▲ 19.9) |
| | Trials for Invalidation | 17 (88.9) | 12 (▲ 7.7) | 14 (0.0) | 15 (36.4) | 9 (▲ 50.0) | 9 (▲ 35.7) | 124 (▲ 36.7) | 87 (▲ 11.2) | 196 (13.3) | 166 (▲ 36.9) |
| | Trials for Cancellation | 10 (11.1) | 16 (▲ 20.0) | 13 (▲ 23.5) | 16 (100.0) | 8 (▲ 46.7) | 17 (142.9) | 141 (20.5) | 94 (5.6) | 117 (▲ 27.8) | 169 (▲ 10.6) |
| | Hantei (Advisory Opinion) | 10 (233.3) | 6 (500.0) | 1 (▲ 75.0) | 7 (600.0) | 2 (▲ 60.0) | 1 (▲ 50.0) | 37 (42.3) | 30 (57.9) | 26 (▲ 13.3) | 28 (▲ 33.3) |
| | Oppositions | 121 (0.0) | 93 (9200.0) | 77 (1000.0) | 164 (2242.9) | 163 (352.8) | 99 (▲ 1.0) | 1,130 (643.4) | 835 (449.3) | 152 (0.0) | 684 (0.0) |
| | Total | 1,624 (▲ 14.6) | 1,949 (▲ 12.0) | 1,652 (▲ 18.5) | 1,741 (16.7) | 1,754 (2.7) | 1,583 (▲ 14.8) | 17,008 (▲ 11.2) | 12,107 (▲ 9.2) | 19,147 (▲ 11.7) | 21,744 (▲ 17.4) |
| Utility Models | Appeals against Examiner's Decision of Refusal | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | Trials for Invalidation | 2 (0.0) | 5 (150.0) | 1 (▲ 50.0) | 0 (0.0) | 1 (0.0) | 0 (▲ 100.0) | 10 (66.7) | 9 (50.0) | 6 (▲ 25.0) | 9 (28.6) |
| | Trials for Correction | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | Hantei (Advisory Opinion) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (0.0) |
| | Total | 2 (0.0) | 5 (150.0) | 1 (▲ 50.0) | 0 (0.0) | 1 (0.0) | 0 (▲ 100.0) | 10 (66.7) | 9 (50.0) | 6 (▲ 25.0) | 10 (25.0) |
| Designs | Appeals against Examiner's Decision of Refusal | 31 (29.2) | 25 (4.2) | 25 (▲ 16.7) | 39 (▲ 13.3) | 27 (▲ 34.1) | 34 (25.9) | 320 (2.2) | 224 (▲ 2.2) | 313 (▲ 0.6) | 403 (3.6) |
| | Trials for Invalidation | 4 (100.0) | 4 (0.0) | 2 (0.0) | 0 (▲ 100.0) | 1 (▲ 50.0) | 5 (0.0) | 23 (109.1) | 17 (142.9) | 11 (▲ 35.3) | 13 (▲ 35.0) |
| | Hantei (Advisory Opinion) | 0 (▲ 100.0) | 2 (0.0) | 1 (0.0) | 2 (100.0) | 0 (▲ 100.0) | 1 (0.0) | 7 (75.0) | 6 (100.0) | 4 (▲ 69.2) | 6 (▲ 50.0) |
| | Total | 35 (29.6) | 31 (29.2) | 28 (▲ 12.5) | 41 (▲ 12.8) | 4 28 (▲ 36.4) | 40 (48.1) | 350 (6.7) | 247 (3.3) | 328 (▲ 4.9) | 422 (0.2) |

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

| Year/Month | | 2016 5 | 6 | 7 | 8 | 9 | 10 | 2016 1 - 10 | 2016/4 - 10 Cum Sum of FY2016 | 2015 1 - 10 | 2015/4 - 2016/3 Total of FY2015 |
|------------|---|---------------------------|---------------------------|---------------------------|-------------------------|------------------------|---------------------------|----------------------------|-------------------------------------|----------------------------|------------------------------------|
| Trademarks | Appeals against Examiner's Decision of Refusal | 11 (▲ 85.3) | 30 (▲ 75.0) | 57 (▲ 36.7) | 57 (▲ 32.1) | 36 (▲ 51.4) | 50 (▲ 12.3) | 424 (▲ 43.5) | 281 (▲ 50.4) | 751 (4.6) | 812 (3.3) |
| | Trials for Invalidation | 8 (▲ 42.9) | 4 (▲ 42.9) | 6 (▲ 14.3) | 5 (▲ 16.7) | 6 (▲ 25.0) | 7 (▲ 30.0) | 66 (▲ 25.0) | 39 (▲ 40.0) | 88 (4.8) | 104 (▲ 8.8) |
| | Trials for Cancellation | 84 (▲ 3.4) | 103 (17.0) | 58 (▲ 40.2) | 95 (39.7) | 64 (14.3) | 104 (14.3) | 808 (0.0) | 584 (1.2) | 808 (▲ 12.3) | 957 (▲ 11.4) |
| | Hantei (Advisory Opinion) | 0 (0.0) | 0 (0.0) | 0 (0.0) | 1 (0.0) | 3 (0.0) | 0 (0.0) | 5 (150.0) | 4 (300.0) | 2 (▲ 81.8) | 2 (▲ 71.4) |
| | Oppositions | 31 (▲ 24.4) | 31 (▲ 43.6) | 47 (9.3) | 68 (183.3) | 45 (40.6) | 37 (▲ 9.8) | 378 (▲ 3.3) | 291 (8.2) | 391 (18.5) | 426 (2.7) |
| | Total | 134 (▲ 38.2) | 168 (▲ 37.8) | 168 (▲ 29.1) | 226 (24.2) | 154 (▲ 9.4) | 198 (▲ 0.5) | 1,681 (▲ 17.6) | 1,199 (▲ 18.9) | 2,040 (▲ 1.2) | 2,301 (▲ 4.2) |
| Total | Appeals against Examiner's Decision of Refusal | 1,508 (▲ 23.8) | 1,877 (▲ 19.3) | 1,629 (▲ 22.6) | 1,635 (2.6) | 1,635 (▲ 6.5) | 1,541 (▲ 15.3) | 16,320 (▲ 17.2) | 11,566 (▲ 16.0) | 19,720 (▲ 11.7) | 21,912 (▲ 18.8) |
| | Trials for Invalidation | 31 (24.0) | 25 (13.6) | 23 (▲ 8.0) | 20 (11.1) | 17 (▲ 41.4) | 21 (▲ 16.0) | 223 (▲ 25.9) | 152 (▲ 13.6) | 301 (6.7) | 292 (▲ 27.7) |
| | Hantei (Advisory Opinion) | 10 (150.0) | 8 (700.0) | 2 (▲ 50.0) | 10 (400.0) | 5 (▲ 16.7) | 2 (0.0) | 49 (53.1) | 40 (73.9) | 32 (▲ 40.7) | 37 (▲ 40.3) |
| | Trials for Correction (Patents & Utility Models) | 10 (11.1) | 16 (▲ 20.0) | 13 (▲ 23.5) | 16 (100.0) | 8 (▲ 46.7) | 17 (142.9) | 141 (20.5) | 94 (5.6) | 117 (▲ 27.8) | 169 (▲ 10.6) |
| | Trials for Cancellation (Trademarks) | 84 (▲ 3.4) | 103 (17.0) | 58 (▲ 40.2) | 95 (39.7) | 64 (14.3) | 104 (14.3) | 808 (0.0) | 584 (1.2) | 808 (▲ 12.3) | 957 (▲ 11.4) |
| | Oppositions (Trademarks) | 152 (270.7) | 124 (121.4) | 124 (148.0) | 232 (648.4) | 208 (205.9) | 136 (▲ 3.5) | 1,508 (177.7) | 1,126 (167.5) | 543 (64.5) | 1,110 (167.5) |
| | Total | 1,795 (▲ 16.3) | 2,153 (▲ 14.3) | 1,849 (▲ 19.5) | 2,008 (16.7) | 1,937 (0.7) | 1,821 (▲ 12.7) | 19,049 (▲ 11.5) | 13,562 (▲ 10.0) | 21,521 (▲ 10.7) | 24,477 (▲ 16.0) |

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

| Year/Month | | 2016 5 | 6 | 7 | 8 | 9 | 10 | 2016 1 - 10 | 2016/4 -10 Cum Sum of FY2016 | 2015 1 - 10 | 2015/4 - 2016/3 Total of FY2015 |
|--|----------------------------|--------------------|------------------|------------------|------------------|--------------------|--------------------|-------------------|------------------------------------|---------------------|------------------------------------|
| The Number of Registrations | Patents | 14,692 (▲ 15.7) | 15,566 (9.3) | 20,788 (16.1) | 15,622 (19.4) | 20,755 (87.7) | 16,842 (▲ 17.4) | 170,614 (7.3) | 125,272 (13.2) | 158,973 (▲ 18.7) | 186,384 (▲ 11.5) |
| | Utility Models | 521 (▲ 2.4) | 562 (11.5) | 522 (▲ 28.2) | 686 (32.9) | 498 (▲ 22.9) | 489 (▲ 10.8) | 5,410 (▲ 4.3) | 3,695 (▲ 9.0) | 5,654 (▲ 5.5) | 6,818 (▲ 1.6) |
| | Designs | 1,736 (▲ 32.7) | 2,003 (▲ 5.1) | 2,631 (0.7) | 2,133 (1.8) | 2,488 (48.5) | 2,324 (▲ 20.8) | 21,478 (▲ 4.7) | 15,961 (▲ 2.9) | 22,545 (▲ 4.6) | 25,710 (▲ 3.4) |
| | Trademarks | 7,778 (▲ 5.8) | 8,333 (11.0) | 10,688 (12.7) | 7,503 (6.4) | 9,999 (73.6) | 7,797 (▲ 25.1) | 86,293 (3.3) | 63,720 (13.7) | 83,502 (0.5) | 93,204 (▲ 11.5) |
| | National Applications | 7,062 (▲ 0.8) | 7,508 (10.9) | 9,029 (8.4) | 6,626 (5.8) | 8,604 (68.0) | 6,695 (▲ 26.6) | 76,435 (3.8) | 56,624 (14.6) | 73,659 (▲ 2.0) | 82,156 (▲ 12.9) |
| | International Applications | 716 (▲ 37.5) | 825 (11.8) | 1,659 (42.9) | 877 (11.6) | 1,395 (118.0) | 1,102 (▲ 15.0) | 9,858 (0.2) | 7,096 (6.9) | 9,843 (23.7) | 11,048 (0.7) |
| | Total | 24,727 (▲ 14.2) | 26,464 (8.6) | 34,629 (12.7) | 25,944 (14.0) | 33,740 (76.3) | 27,452 (▲ 19.9) | 283,795 (4.8) | 208,648 (11.5) | 270,674 (▲ 12.2) | 312,116 (▲ 10.7) |
| Class Counts of Trademark Registration | 13,134 (1.9) | 13,585 (12.8) | 15,724 (3.9) | 12,190 (6.5) | 15,895 (71.2) | 12,115 (▲ 25.2) | 135,251 (2.5) | 101,921 (13.5) | 131,976 (▲ 0.3) | 146,081 (▲ 12.5) | |
| Trademark Application for Renewal | 11,642 (85.8) | 9,377 (2.3) | 8,186 (13.1) | 9,890 (46.6) | 7,891 (5.6) | 7,827 (▲ 7.2) | 86,799 (14.0) | 68,389 (29.2) | 76,124 (4.9) | 85,218 (▲ 0.8) | |