

Preliminary Statistical Data on Filing Applications and Requests

Created on January 24, 2017

From June 2016 through November 2016

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

Year/Month	2016 6	7	8	9	10	11	2016 1 - 11	2016/4 -11 Cum Sum of FY2016	2015 1 - 11	2015/4 - 2016/3 Total of FY2015
Patents & Utility Models	28,207 (2.0)	24,587 (▲ 5.4)	24,844 (4.6)	31,308 (▲ 2.7)	23,807 (▲ 5.1)	25,322 (5.5)	294,409 (▲ 0.7)	204,884 (▲ 0.4)	296,478 (▲ 2.1)	324,425 (▲ 2.6)
Patents	27,566 (2.0)	24,024 (▲ 5.4)	24,274 (4.5)	30,763 (▲ 2.6)	23,313 (▲ 4.8)	24,871 (5.9)	288,511 (▲ 0.6)	200,591 (▲ 0.3)	290,187 (▲ 2.1)	317,653 (▲ 2.6)
Utility Models	641 (4.7)	563 (▲ 4.9)	570 (8.6)	545 (▲ 8.1)	494 (▲ 16.4)	451 (▲ 13.8)	5,898 (▲ 6.2)	4,293 (▲ 6.6)	6,291 (▲ 3.7)	6,772 (▲ 3.1)
Designs	2,513 (3.2)	2,534 (▲ 5.9)	2,533 (19.2)	2,893 (19.2)	2,550 (▲ 11.1)	2,399 (▲ 2.1)	28,035 (2.9)	20,121 (1.4)	27,232 (1.5)	30,419 (1.8)
National Applications	2,374 (▲ 1.8)	2,351 (▲ 11.8)	2,369 (16.1)	2,643 (11.5)	2,384 (▲ 14.6)	2,255 (▲ 4.9)	26,143 (▲ 2.8)	18,743 (▲ 3.8)	26,891 (0.2)	29,453 (▲ 1.4)
Trademarks	12,999 (8.5)	11,566 (▲ 12.8)	14,772 (29.9)	12,507 (4.2)	16,193 (27.0)	15,597 (18.5)	148,727 (12.2)	106,735 (8.3)	132,519 (17.1)	155,272 (19.7)
National Applications	12,103 (11.9)	10,650 (▲ 8.4)	13,779 (35.5)	11,000 (1.3)	14,740 (32.4)	14,251 (21.1)	136,615 (15.2)	98,539 (12.1)	118,590 (16.3)	138,711 (18.9)
Total Number	43,719 (3.9)	38,687 (▲ 7.8)	42,149 (13.1)	46,708 (0.3)	42,550 (4.5)	43,318 (9.3)	471,171 (3.3)	331,740 (2.3)	456,229 (3.0)	510,116 (3.6)
Trademarks Class Counts	26,549 (16.7)	20,571 (▲ 37.1)	44,953 (85.5)	25,803 (5.2)	54,305 (106.1)	49,436 (105.1)	383,646 (37.0)	273,154 (33.3)	280,114 (45.3)	348,571 (44.0)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2016 6	7	8	9	10	11	2016 1 - 11	2016/4 -11 Cum Sum of FY2016	2015 1 - 11	2015/4 - 2016/3 Total of FY2015
Patents	RO	3,990 (5.7)	3,273 (▲ 7.9)	3,588 (3.7)	4,059 (11.2)	3,508 (1.4)	3,548 (8.2)	40,698 (2.7)	27,924 (1.8)	39,644 (5.2)	43,661 (4.0)
	DO	5,957 (3.8)	4,299 (▲ 7.4)	4,768 (3.4)	5,780 (▲ 24.4)	4,709 (1.5)	5,105 (13.8)	54,453 (▲ 1.9)	40,496 (▲ 2.3)	55,527 (4.3)	60,451 (2.8)
Designs	OO	1 (0.0)	3 #DIV/0!	2 (▲ 33.3)	5 (400.0)	7 (133.3)	4 (0.0)	40 (185.7)	32 (128.6)	14 (0.0)	29 (0.0)
	DO	139 (717.6)	183 (632.0)	164 (95.2)	250 (346.4)	166 (107.5)	144 (82.3)	1,892 (454.8)	1,378 (304.1)	341 (0.0)	966 (0.0)
Trademarks	OO	212 (35.0)	185 (▲ 14.4)	212 (26.2)	235 (12.4)	203 (11.5)	195 (2.6)	2,127 (8.4)	1,578 (10.2)	1,962 (8.2)	2,176 (4.1)
	DO	896 (▲ 23.2)	916 (▲ 44.4)	993 (▲ 17.7)	1,507 (31.8)	1,453 (▲ 10.2)	1,346 (▲ 3.0)	12,112 (▲ 13.0)	8,196 (▲ 22.6)	13,929 (23.7)	16,561 (26.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 6	7	8	9	10	11	2016 1 - 11	2016/4 -11 Cum Sum of FY2016	2015 1 - 11	2015/4 - 2016/3 Total of FY2015
Request for Patent Examination		20,411 (5.1)	19,581 (▲ 1.3)	18,986 (1.9)	22,068 (4.7)	18,058 (▲ 4.3)	19,340 (2.6)	220,935 (▲ 0.1)	153,059 (0.2)	221,087 (▲ 2.3)	240,991 (▲ 2.2)
	Year-on-Year	19,426 (▲ 3.1)	19,836 (1.7)	18,633 (▲ 8.5)	21,074 (▲ 6.2)	18,867 (▲ 10.8)	18,858 (▲ 1.2)	-	-	-	-
Report of Utility Model Technical Opinion		29 (▲ 23.7)	35 (▲ 20.5)	31 (0.0)	26 (▲ 23.5)	28 (33.3)	18 (▲ 53.8)	313 (▲ 21.0)	217 (▲ 23.3)	396 (7.0)	405 (▲ 4.3)

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2016 6	7	8	9	10	11	2016 1 - 11	2016/4 -11 Cum Sum of FY2016	2015 1 - 11	2015/4 - 2016/3 Total of FY2015
Patents	Appeals against Examiner's Decision of Refusal	1,822 (▲ 16.5)	1,547 (▲ 22.1)	1,539 (5.1)	1,572 (▲ 3.8)	1,458 (▲ 16.0)	1,591 (5.8)	17,168 (▲ 14.8)	12,653 (▲ 12.6)	20,160 (▲ 13.7)	20,697 (▲ 19.9)
	Trials for Invalidation	12 (▲ 7.7)	14 (0.0)	15 (36.4)	9 (▲ 50.0)	9 (▲ 35.7)	5 (▲ 73.7)	129 (▲ 40.0)	92 (▲ 21.4)	215 (9.7)	166 (▲ 36.9)
	Trials for Cancellation	16 (▲ 20.0)	13 (▲ 23.5)	16 (100.0)	8 (▲ 46.7)	17 (142.9)	10 (▲ 23.1)	151 (16.2)	104 (2.0)	130 (▲ 30.1)	169 (▲ 10.6)
	Hantei (Advisory Opinion)	6 (500.0)	1 (▲ 75.0)	7 (600.0)	2 (▲ 60.0)	1 (▲ 50.0)	3 (50.0)	40 (42.9)	33 (57.1)	28 (▲ 12.5)	28 (▲ 33.3)
	Oppositions	93 (9200.0)	77 (1000.0)	164 (2242.9)	163 (352.8)	99 (▲ 1.0)	94 (▲ 14.5)	1,224 (367.2)	929 (254.6)	262 (0.0)	684 (0.0)
	Total	1,949 (▲ 12.0)	1,652 (▲ 18.5)	1,741 (16.7)	1,754 (2.7)	1,584 (▲ 14.8)	1,703 (3.3)	18,712 (▲ 10.0)	13,811 (▲ 7.8)	20,795 (▲ 12.6)	21,744 (▲ 17.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	5 (150.0)	1 (▲ 50.0)	0 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	10 (42.9)	9 (28.6)	7 (▲ 22.2)	9 (28.6)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)
	Total	5 (150.0)	1 (▲ 50.0)	0 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	10 (25.0)	9 (12.5)	8 (▲ 20.0)	10 (25.0)
Designs	Appeals against Examiner's Decision of Refusal	25 (4.2)	25 (▲ 16.7)	39 (▲ 13.3)	27 (▲ 34.1)	34 (25.9)	40 (▲ 23.1)	360 (▲ 1.4)	264 (▲ 6.0)	365 (6.4)	403 (3.6)
	Trials for Invalidation	4 (0.0)	2 (0.0)	0 (▲ 100.0)	1 (▲ 50.0)	5 (0.0)	3 (0.0)	26 (136.4)	20 (185.7)	11 (▲ 35.3)	13 (▲ 35.0)
	Hantei (Advisory Opinion)	2 (0.0)	1 (0.0)	2 (100.0)	0 (▲ 100.0)	1 (0.0)	0 (▲ 100.0)	7 (40.0)	6 (50.0)	5 (▲ 64.3)	6 (▲ 50.0)
	Total	31 (29.2)	28 (▲ 12.5)	41 (▲ 12.8)	28 (▲ 36.4)	4 40 (48.1)	43 (▲ 18.9)	393 (3.1)	290 (▲ 0.7)	381 (1.9)	422 (0.2)

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2016 6	7	8	9	10	11	2016 1 - 11	2016/4 -11 Cum Sum of FY2016	2015 1 - 11	2015/4 - 2016/3 Total of FY2015
Trademarks	Appeals against Examiner's Decision of Refusal	30 (▲ 75.0)	57 (▲ 36.7)	57 (▲ 32.1)	36 (▲ 51.4)	50 (▲ 12.3)	44 (▲ 15.4)	468 (▲ 41.7)	325 (▲ 47.5)	803 (5.0)	812 (3.3)
	Trials for Invalidation	4 (▲ 42.9)	6 (▲ 14.3)	5 (▲ 16.7)	6 (▲ 25.0)	7 (▲ 30.0)	11 (22.2)	77 (▲ 20.6)	50 (▲ 32.4)	97 (1.0)	104 (▲ 8.8)
	Trials for Cancellation	103 (17.0)	58 (▲ 40.2)	95 (39.7)	64 (14.3)	104 (14.3)	62 (▲ 22.5)	870 (▲ 2.0)	646 (▲ 1.7)	888 (▲ 12.0)	957 (▲ 11.4)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	1 (0.0)	3 (0.0)	0 (0.0)	0 (0.0)	5 (150.0)	4 (300.0)	2 (▲ 81.8)	2 (▲ 71.4)
	Oppositions	31 (▲ 43.6)	47 (9.3)	68 (183.3)	45 (40.6)	37 (▲ 9.8)	34 (88.9)	412 (0.7)	325 (13.2)	409 (14.9)	426 (2.7)
	Total	168 (▲ 37.8)	168 (▲ 29.1)	226 (24.2)	154 (▲ 9.4)	198 (▲ 0.5)	151 (▲ 5.0)	1,832 (▲ 16.7)	1,350 (▲ 17.6)	2,199 (▲ 1.7)	2,301 (▲ 4.2)
Total	Appeals against Examiner's Decision of Refusal	1,877 (▲ 19.3)	1,629 (▲ 22.6)	1,635 (2.6)	1,635 (▲ 6.5)	1,542 (▲ 15.3)	1,675 (4.2)	17,996 (▲ 15.6)	13,242 (▲ 13.9)	21,328 (▲ 12.9)	21,912 (▲ 18.8)
	Trials for Invalidation	25 (13.6)	23 (▲ 8.0)	20 (11.1)	17 (▲ 41.4)	21 (▲ 16.0)	19 (▲ 34.5)	242 (▲ 26.7)	171 (▲ 16.6)	330 (3.8)	292 (▲ 27.7)
	Hantei (Advisory Opinion)	8 (700.0)	2 (▲ 50.0)	10 (400.0)	5 (▲ 16.7)	2 (0.0)	3 (▲ 25.0)	52 (44.4)	43 (59.3)	36 (▲ 37.9)	37 (▲ 40.3)
	Trials for Correction (Patents & Utility Models)	16 (▲ 20.0)	13 (▲ 23.5)	16 (100.0)	8 (▲ 46.7)	17 (142.9)	10 (▲ 23.1)	151 (16.2)	104 (2.0)	130 (▲ 30.1)	169 (▲ 10.6)
	Trials for Cancellation (Trademarks)	103 (17.0)	58 (▲ 40.2)	95 (39.7)	64 (14.3)	104 (14.3)	62 (▲ 22.5)	870 (▲ 2.0)	646 (▲ 1.7)	888 (▲ 12.0)	957 (▲ 11.4)
	Oppositions (Trademarks)	124 (121.4)	124 (148.0)	232 (648.4)	208 (205.9)	136 (▲ 3.5)	128 (0.0)	1,636 (143.8)	1,254 (128.4)	671 (88.5)	1,110 (167.5)
	Total	2,153 (▲ 14.3)	1,849 (▲ 19.5)	2,008 (16.7)	1,937 (0.7)	1,822 (▲ 12.7)	1,897 (1.9)	20,947 (▲ 10.4)	15,460 (▲ 8.7)	23,383 (▲ 11.4)	24,477 (▲ 16.0)

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 6	7	8	9	10	11	2016 1 - 11	2016/4 -11 Cum Sum of FY2016	2015 1 - 11	2015/4 - 2016/3 Total of FY2015
The Number of Registrations	Patents	15,566 (9.3)	20,788 (16.1)	15,622 (19.4)	20,755 (87.7)	16,842 (▲ 17.4)	16,054 (8.9)	186,668 (7.5)	141,326 (12.7)	173,718 (▲ 17.5)	186,384 (▲ 11.5)
	Utility Models	562 (11.5)	522 (▲ 28.2)	686 (32.9)	498 (▲ 22.9)	489 (▲ 10.8)	524 (9.6)	5,934 (▲ 3.2)	4,219 (▲ 7.1)	6,132 (▲ 5.5)	6,818 (▲ 1.6)
	Designs	2,003 (▲ 5.1)	2,631 (0.7)	2,133 (1.8)	2,488 (48.5)	2,324 (▲ 20.8)	1,813 (1.6)	23,291 (▲ 4.3)	17,774 (▲ 2.5)	24,329 (▲ 4.0)	25,710 (▲ 3.4)
	Trademarks	8,333 (11.0)	10,688 (12.7)	7,503 (6.4)	9,999 (73.6)	7,797 (▲ 25.1)	9,236 (34.6)	95,529 (5.7)	72,956 (16.0)	90,364 (▲ 0.9)	93,204 (▲ 11.5)
	National Applications	7,508 (10.9)	9,029 (8.4)	6,626 (5.8)	8,604 (68.0)	6,695 (▲ 26.6)	8,170 (32.8)	84,605 (6.0)	64,794 (16.6)	79,809 (▲ 3.1)	82,156 (▲ 12.9)
	International Applications	825 (11.8)	1,659 (42.9)	877 (11.6)	1,395 (118.0)	1,102 (▲ 15.0)	1,066 (49.7)	10,924 (3.5)	8,162 (11.0)	10,555 (19.6)	11,048 (0.7)
	Total	26,464 (8.6)	34,629 (12.7)	25,944 (14.0)	33,740 (76.3)	27,452 (▲ 19.9)	27,627 (15.7)	311,422 (5.7)	236,275 (11.9)	294,543 (▲ 11.7)	312,116 (▲ 10.7)
Class Counts of Trademark Registration	13,585 (12.8)	15,724 (3.9)	12,190 (6.5)	15,895 (71.2)	12,115 (▲ 25.2)	14,523 (31.3)	149,774 (4.7)	116,444 (15.4)	143,036 (▲ 1.7)	146,081 (▲ 12.5)	
Trademark Application for Renewal	9,377 (2.3)	8,186 (13.1)	9,890 (46.6)	7,891 (5.6)	7,827 (▲ 7.2)	9,412 (39.3)	96,211 (16.1)	77,801 (30.3)	82,880 (5.5)	85,218 (▲ 0.8)	