

Preliminary Statistical Data on Filing Applications and Requests

Created on March 23, 2017

From August 2016 through January 2017

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

Year/Month	2016 8	9	10	11	12	2017 1	2016 1 - 12	2016/4 -2017/1 Cum Sum of FY2016	2015 1 - 12	2015/4 - 2016/3 Total of FY2015
Patents & Utility Models	24,844 (4.6)	31,302 (▲ 2.7)	23,809 (▲ 5.1)	25,379 (5.7)	30,402 (4.5)	21,503 (▲ 1.4)	324,861 (▲ 0.2)	256,845 (0.1)	325,581 (▲ 2.3)	324,419 (▲ 2.6)
Patents	24,274 (4.5)	30,757 (▲ 2.6)	23,315 (▲ 4.8)	24,914 (6.1)	29,834 (4.6)	21,054 (▲ 1.2)	318,381 (▲ 0.1)	251,521 (0.2)	318,721 (▲ 2.2)	317,647 (▲ 2.6)
Utility Models	570 (8.6)	545 (▲ 8.1)	494 (▲ 16.4)	465 (▲ 11.1)	568 (▲ 0.2)	449 (▲ 9.3)	6,480 (▲ 5.5)	5,324 (▲ 6.0)	6,860 (▲ 3.3)	6,772 (▲ 3.1)
Designs	2,533 (19.2)	2,893 (19.2)	2,550 (▲ 11.1)	2,418 (▲ 1.3)	2,828 (5.9)	1,960 (▲ 11.8)	30,879 (3.3)	24,925 (0.8)	29,903 (0.6)	30,419 (1.8)
National Applications	2,369 (16.1)	2,643 (11.5)	2,384 (▲ 14.6)	2,274 (▲ 4.1)	2,634 (2.9)	1,838 (▲ 11.5)	28,796 (▲ 2.2)	23,234 (▲ 3.7)	29,451 (▲ 1.0)	29,453 (▲ 1.4)
Trademarks	14,772 (29.9)	12,507 (4.2)	16,193 (27.0)	15,641 (18.9)	13,089 (▲ 11.3)	11,001 (▲ 11.1)	161,859 (9.9)	130,869 (4.2)	147,283 (18.4)	155,271 (19.7)
National Applications	13,779 (35.5)	11,000 (1.3)	14,740 (32.4)	14,295 (21.5)	11,366 (▲ 10.6)	10,076 (▲ 11.6)	148,024 (12.7)	120,025 (7.1)	131,299 (17.5)	138,710 (18.9)
Total Number	42,149 (13.1)	46,702 (0.3)	42,552 (4.5)	43,438 (9.6)	46,319 (▲ 0.5)	34,464 (▲ 5.3)	517,599 (3.0)	412,639 (1.4)	502,767 (3.2)	510,109 (3.6)
Trademarks Class Counts	44,953 (85.5)	25,803 (5.2)	54,305 (106.1)	54,583 (126.5)	17,330 (▲ 47.8)	15,797 (▲ 55.6)	406,123 (29.6)	311,428 (13.8)	313,342 (47.1)	348,571 (44.0)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2016 8	9	10	11	12	2017 1	2016 1 - 12	2016/4 - 2017/1 Cum Sum of FY2016	2015 1 - 12	2015/4 - 2016/3 Total of FY2015
Patents	RO	3,588 (3.7)	4,059 (11.2)	3,508 (1.4)	3,548 (8.2)	3,797 (10.0)	3,505 (5.4)	44,495 (3.2)	35,226 (3.0)	43,097 (4.4)	43,661 (4.0)
	DO	4,768 (3.4)	5,774 (▲ 24.5)	4,705 (1.4)	5,107 (13.8)	5,582 (10.2)	4,612 (5.4)	60,024 (▲ 0.9)	50,685 (▲ 0.4)	60,591 (3.6)	60,445 (2.8)
Designs	OO	2 (▲ 33.3)	5 (400.0)	7 (133.3)	4 (0.0)	4 (▲ 42.9)	2 (0.0)	44 (109.5)	38 (65.2)	21 (0.0)	29 (0.0)
	DO	164 (95.2)	250 (346.4)	166 (107.5)	144 (82.3)	194 (74.8)	122 (▲ 16.4)	2,083 (360.8)	1,691 (182.8)	452 (0.0)	966 (0.0)
Trademarks	OO	212 (26.2)	235 (12.4)	203 (11.5)	195 (2.6)	252 (29.2)	156 (9.1)	2,379 (10.3)	1,986 (12.2)	2,157 (7.9)	2,176 (4.1)
	DO	993 (▲ 17.7)	1,507 (31.8)	1,453 (▲ 10.2)	1,346 (▲ 3.0)	1,723 (▲ 16.2)	925 (▲ 4.5)	13,835 (▲ 13.4)	10,844 (▲ 20.3)	15,984 (26.1)	16,561 (26.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models. The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs. The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 8	9	10	11	12	2017 1	2016 1 - 12	2016/4 - 2017/1 Cum Sum of FY2016	2015 1 - 12	2015/4 - 2016/3 Total of FY2015
Request for Patent Examination		19,080 (2.4)	22,063 (4.7)	18,069 (▲ 4.2)	19,028 (0.9)	19,670 (▲ 3.2)	19,565 (4.5)	240,455 (▲ 0.4)	192,189 (0.2)	241,412 (▲ 1.7)	240,946 (▲ 2.2)
	Year-on-Year	18,633 (▲ 8.5)	21,074 (▲ 6.2)	18,867 (▲ 10.8)	18,858 (▲ 1.2)	20,325 (5.0)	18,719 (▲ 0.6)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		31 (0.0)	26 (▲ 23.5)	28 (33.3)	19 (▲ 51.3)	29 (11.5)	25 (▲ 7.4)	341 (▲ 19.2)	270 (▲ 19.6)	422 (5.2)	405 (▲ 4.3)

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2016 8	9	10	11	12	2017 1	2016 1 - 12	2016/4 - 2017/1 Cum Sum of FY2016	2015 1 - 12	2015/4 - 2016/3 Total of FY2015
Patents	Appeals against Examiner's Decision of Refusal	1,539 (5.1)	1,572 (▲ 3.8)	1,458 (▲ 16.0)	1,591 (5.8)	1,730 (1.9)	1,340 (▲ 2.1)	18,898 (▲ 13.5)	15,723 (▲ 10.4)	21,858 (▲ 15.0)	20,697 (▲ 19.9)
	Trials for Invalidation	15 (36.4)	9 (▲ 50.0)	9 (▲ 35.7)	5 (▲ 73.7)	11 (▲ 8.3)	15 (50.0)	140 (▲ 38.3)	118 (▲ 15.1)	227 (5.6)	166 (▲ 36.9)
	Trials for Cancellation	16 (100.0)	8 (▲ 46.7)	17 (142.9)	10 (▲ 23.1)	12 (▲ 40.0)	7 (▲ 50.0)	163 (8.7)	123 (▲ 9.6)	150 (▲ 28.6)	169 (▲ 10.6)
	Hantei (Advisory Opinion)	7 (600.0)	2 (▲ 60.0)	1 (▲ 50.0)	3 (50.0)	57 (0.0)	7 (250.0)	97 (246.4)	97 (321.7)	28 (▲ 28.2)	28 (▲ 33.3)
	Oppositions	164 (2242.9)	163 (352.8)	99 (▲ 1.0)	94 (▲ 14.5)	110 (▲ 13.4)	87 (0.0)	1,334 (242.9)	1,126 (136.6)	389 (0.0)	684 (0.0)
	Total	1,741 (16.7)	1,754 (2.7)	1,584 (▲ 14.8)	1,703 (3.3)	1,920 (3.4)	1,456 (▲ 1.8)	20,632 (▲ 8.9)	17,187 (▲ 6.2)	22,652 (▲ 13.5)	21,744 (▲ 17.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (0.0)	10 (25.0)	9 (12.5)	8 (▲ 11.1)	9 (28.6)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)
	Total	0 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (0.0)	10 (11.1)	9 (0.0)	9 (▲ 10.0)	10 (25.0)
Designs	Appeals against Examiner's Decision of Refusal	39 (▲ 13.3)	27 (▲ 34.1)	34 (25.9)	40 (▲ 23.1)	24 (▲ 7.7)	30 (3.4)	384 (▲ 1.8)	318 (▲ 5.4)	391 (0.5)	403 (3.6)
	Trials for Invalidation	0 (▲ 100.0)	1 (▲ 50.0)	5 (0.0)	3 (0.0)	0 (0.0)	0 (0.0)	26 (136.4)	20 (185.7)	11 (▲ 42.1)	13 (▲ 35.0)
	Hantei (Advisory Opinion)	2 (100.0)	0 (▲ 100.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	7 (16.7)	7 (40.0)	6 (▲ 57.1)	6 (▲ 50.0)
	Total	41 (▲ 12.8)	28 (▲ 36.4)	40 (48.1)	43 (▲ 18.9)	4 24 (▲ 11.1)	31 (6.9)	417 (2.2)	345 (▲ 0.9)	408 (▲ 3.3)	422 (0.2)

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2016 8	9	10	11	12	2017 1	2016 1 - 12	2016/4 - 2017/1 Cum Sum of FY2016	2015 1 - 12	2015/4 - 2016/3 Total of FY2015
Trademarks	Appeals against Examiner's Decision of Refusal	57 (▲ 32.1)	36 (▲ 51.4)	51 (▲ 10.5)	44 (▲ 15.4)	45 (▲ 10.0)	34 (▲ 15.0)	514 (▲ 39.7)	405 (▲ 42.9)	853 (0.9)	812 (3.3)
	Trials for Invalidation	5 (▲ 16.7)	6 (▲ 25.0)	7 (▲ 30.0)	11 (22.2)	16 (433.3)	4 (0.0)	92 (▲ 8.0)	70 (▲ 13.6)	100 (▲ 13.0)	103 (▲ 9.6)
	Trials for Cancellation	95 (39.7)	64 (14.3)	104 (14.3)	62 (▲ 22.5)	88 (15.8)	73 (32.7)	958 (▲ 0.6)	807 (2.4)	964 (▲ 12.3)	957 (▲ 11.4)
	Hantei (Advisory Opinion)	1 (0.0)	3 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	2 (0.0)	6 (200.0)	7 (600.0)	2 (▲ 81.8)	2 (▲ 71.4)
	Oppositions	68 (183.3)	45 (40.6)	37 (▲ 9.8)	34 (88.9)	41 (▲ 21.2)	32 (23.1)	453 (▲ 1.7)	398 (9.0)	461 (17.3)	426 (2.7)
	Total	226 (24.2)	154 (▲ 9.4)	199 (0.0)	151 (▲ 5.0)	191 (5.5)	145 (16.0)	2,023 (▲ 15.0)	1,687 (▲ 13.2)	2,380 (▲ 3.4)	2,300 (▲ 4.2)
Total	Appeals against Examiner's Decision of Refusal	1,635 (2.6)	1,635 (▲ 6.5)	1,543 (▲ 15.2)	1,675 (4.2)	1,799 (1.4)	1,404 (▲ 2.4)	19,796 (▲ 14.3)	16,446 (▲ 11.6)	23,102 (▲ 14.3)	21,912 (▲ 18.8)
	Trials for Invalidation	20 (11.1)	17 (▲ 41.4)	21 (▲ 16.0)	19 (▲ 34.5)	27 (68.8)	19 (35.7)	268 (▲ 22.5)	217 (▲ 7.7)	346 (▲ 3.4)	291 (▲ 28.0)
	Hantei (Advisory Opinion)	10 (400.0)	5 (▲ 16.7)	2 (0.0)	3 (▲ 25.0)	58 (5700.0)	10 (400.0)	110 (197.3)	111 (270.0)	37 (▲ 43.1)	37 (▲ 40.3)
	Trials for Correction (Patents & Utility Models)	16 (100.0)	8 (▲ 46.7)	17 (142.9)	10 (▲ 23.1)	12 (▲ 40.0)	7 (▲ 50.0)	163 (8.7)	123 (▲ 9.6)	150 (▲ 28.6)	169 (▲ 10.6)
	Trials for Cancellation (Trademarks)	95 (39.7)	64 (14.3)	104 (14.3)	62 (▲ 22.5)	88 (15.8)	73 (32.7)	958 (▲ 0.6)	807 (2.4)	964 (▲ 12.3)	957 (▲ 11.4)
	Oppositions (Trademarks)	232 (648.4)	208 (205.9)	136 (▲ 3.5)	128 (0.0)	151 (▲ 15.6)	119 (5.3)	1,787 (110.2)	1,524 (81.2)	850 (116.3)	1,110 (167.5)
	Total	2,008 (16.7)	1,937 (0.7)	1,823 (▲ 12.6)	1,897 (1.9)	2,135 (3.3)	1,632 (▲ 0.2)	23,082 (▲ 9.3)	19,228 (▲ 6.8)	25,449 (▲ 12.5)	24,476 (▲ 16.0)

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 8	9	10	11	12	2017 1	2016 1 - 12	2016/4 -2017/1 Cum Sum of FY2016	2015 1 - 12	2015/4 - 2016/3 Total of FY2015
The Number of Registrations	Patents	15,622 (19.4)	20,755 (87.7)	16,842 (▲ 17.4)	16,054 (8.9)	16,419 (5.0)	18,747 (15.2)	203,087 (7.3)	176,492 (12.2)	189,358 (▲ 16.6)	186,384 (▲ 11.5)
	Utility Models	686 (32.9)	498 (▲ 22.9)	489 (▲ 10.8)	524 (9.6)	363 (▲ 35.5)	308 (▲ 46.6)	6,297 (▲ 5.9)	4,890 (▲ 13.9)	6,695 (▲ 4.6)	6,818 (▲ 1.6)
	Designs	2,133 (1.8)	2,488 (48.5)	2,324 (▲ 20.8)	1,813 (1.6)	2,053 (4.3)	2,262 (23.6)	25,344 (▲ 3.6)	22,089 (0.3)	26,297 (▲ 3.7)	25,710 (▲ 3.4)
	Trademarks	7,503 (6.4)	9,999 (73.6)	7,797 (▲ 25.1)	9,236 (34.6)	9,678 (25.3)	9,748 (27.1)	105,207 (7.3)	92,382 (18.0)	98,085 (▲ 1.8)	93,204 (▲ 11.5)
	National Applications	6,626 (5.8)	8,604 (68.0)	6,695 (▲ 26.6)	8,170 (32.8)	8,562 (26.2)	8,696 (28.8)	93,167 (7.6)	82,052 (18.7)	86,596 (▲ 3.8)	82,156 (▲ 12.9)
	International Applications	877 (11.6)	1,395 (118.0)	1,102 (▲ 15.0)	1,066 (49.7)	1,116 (19.5)	1,052 (14.8)	12,040 (4.8)	10,330 (12.3)	11,489 (16.7)	11,048 (0.7)
	Total	25,944 (14.0)	33,740 (76.3)	27,452 (▲ 19.9)	27,627 (15.7)	28,513 (10.1)	31,065 (17.9)	339,935 (6.1)	295,853 (12.4)	320,435 (▲ 11.3)	312,116 (▲ 10.7)
Class Counts of Trademark Registration	12,190 (6.5)	15,895 (71.2)	12,115 (▲ 25.2)	14,523 (31.3)	15,143 (27.4)	15,356 (30.6)	164,920 (6.5)	146,946 (18.0)	154,919 (▲ 2.9)	146,081 (▲ 12.5)	
Trademark Application for Renewal	9,890 (46.6)	7,891 (5.6)	7,827 (▲ 7.2)	9,412 (39.3)	8,922 (25.6)	9,606 (34.6)	105,133 (16.8)	96,329 (30.3)	89,986 (5.9)	85,218 (▲ 0.8)	