

Preliminary Statistical Data on Filing Applications and Requests

Created on May 23, 2017

From October 2016 through March 2017

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

Year/Month	2016 10	11	12	2017 1	2	3	2017 1 - 3	2016/4 -2017/3 Cum Sum of FY2016	2016 1 - 3	2015/4 - 2016/3 Total of FY2015
Patents & Utility Models	23,809 (▲ 5.1)	25,379 (5.7)	30,402 (4.5)	21,568 (▲ 1.1)	26,941 (0.6)	42,022 (2.7)	90,531 (1.1)	325,873 (0.4)	89,519 (▲ 1.3)	324,419 (▲ 2.6)
Patents	23,315 (▲ 4.8)	24,914 (6.1)	29,834 (4.6)	21,095 (▲ 1.0)	26,423 (0.6)	41,464 (2.8)	88,982 (1.2)	319,449 (0.6)	87,914 (▲ 1.2)	317,647 (▲ 2.6)
Utility Models	494 (▲ 16.4)	465 (▲ 11.1)	568 (▲ 0.2)	473 (▲ 4.4)	518 (2.2)	558 (▲ 7.5)	1,549 (▲ 3.5)	6,424 (▲ 5.1)	1,605 (▲ 5.2)	6,772 (▲ 3.1)
Designs	2,550 (▲ 11.1)	2,418 (▲ 1.3)	2,828 (5.9)	1,984 (▲ 10.8)	2,509 (▲ 6.8)	3,160 (5.3)	7,653 (▲ 3.3)	30,618 (0.7)	7,914 (7.0)	30,419 (1.8)
National Applications	2,384 (▲ 14.6)	2,274 (▲ 4.1)	2,634 (2.9)	1,862 (▲ 10.4)	2,315 (▲ 8.0)	2,938 (4.6)	7,115 (▲ 3.9)	28,511 (▲ 3.2)	7,400 (0.0)	29,453 (▲ 1.4)
Trademarks	16,193 (27.0)	15,641 (18.9)	13,089 (▲ 11.3)	11,038 (▲ 10.8)	18,284 (44.8)	19,343 (13.8)	48,665 (15.9)	168,533 (8.5)	41,991 (23.5)	155,271 (19.7)
National Applications	14,740 (32.4)	14,295 (21.5)	11,366 (▲ 10.6)	10,113 (▲ 11.3)	17,184 (52.8)	18,115 (17.4)	45,412 (19.3)	155,361 (12.0)	38,075 (24.2)	138,710 (18.9)
Total Number	42,552 (4.5)	43,438 (9.6)	46,319 (▲ 0.5)	34,590 (▲ 5.0)	47,734 (13.4)	64,525 (5.9)	146,849 (5.3)	525,024 (2.9)	139,424 (5.6)	510,109 (3.6)
Trademarks Class Counts	54,305 (106.1)	54,583 (126.5)	26,232 (▲ 21.1)	23,948 (▲ 32.7)	58,845 (112.7)	48,588 (2.9)	131,381 (18.9)	435,914 (25.1)	110,492 (46.8)	348,571 (44.0)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2016 10	11	12	2017 1	2	3	2017 1 - 3	2016/4 -2017/3 Cum Sum of FY2016	2016 1 - 3	2015/4 - 2016/3 Total of FY2015
Patents	RO	3,508 (1.4)	3,548 (8.2)	3,797 (10.0)	3,505 (5.4)	4,563 (16.6)	5,808 (5.0)	13,876 (8.6)	45,597 (4.4)	12,774 (4.6)	43,661 (4.0)
	DO	4,705 (1.4)	5,107 (13.8)	5,582 (10.2)	4,613 (5.4)	4,939 (7.7)	5,950 (19.3)	15,502 (11.1)	61,575 (1.9)	13,951 (▲ 1.0)	60,445 (2.8)
Designs	OO	7 (133.3)	4 (0.0)	4 (▲ 42.9)	2 (0.0)	3 (0.0)	2 (▲ 33.3)	7 (▲ 12.5)	43 (48.3)	8 (0.0)	29 (0.0)
	DO	166 (107.5)	144 (82.3)	194 (74.8)	122 (▲ 16.4)	194 (10.2)	222 (15.6)	538 (4.7)	2,107 (118.1)	514 (0.0)	966 (0.0)
Trademarks	OO	203 (11.5)	195 (2.6)	252 (29.2)	156 (9.1)	230 (15.0)	222 (7.8)	608 (10.7)	2,438 (12.0)	549 (3.6)	2,176 (4.1)
	DO	1,453 (▲ 10.2)	1,346 (▲ 3.0)	1,723 (▲ 16.2)	925 (▲ 4.5)	1,100 (▲ 20.0)	1,228 (▲ 21.9)	3,253 (▲ 16.9)	13,172 (▲ 20.5)	3,916 (17.3)	16,561 (26.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 10	11	12	2017 1	2	3	2017 1 - 3	2016/4 -2017/3 Cum Sum of FY2016	2016 1 - 3	2015/4 - 2016/3 Total of FY2015
Request for Patent Examination		18,069 (▲ 4.2)	19,028 (0.9)	19,670 (▲ 3.2)	19,568 (4.5)	22,172 (▲ 6.2)	27,495 (7.9)	69,235 (2.1)	241,859 (0.4)	67,831 (▲ 0.7)	240,946 (▲ 2.2)
	Year-on-Year	18,867 (▲ 10.8)	18,858 (▲ 1.2)	20,325 (5.0)	18,719 (▲ 8.0)	23,634 (6.4)	25,478 (▲ 1.0)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		28 (33.3)	19 (▲ 51.3)	29 (11.5)	24 (▲ 11.1)	17 (▲ 51.4)	22 (▲ 35.3)	63 (▲ 34.4)	308 (▲ 24.0)	96 (▲ 15.0)	405 (▲ 4.3)

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2016 10	11	12	2017 1	2	3	2017 1 - 3	2016/4 - 2017/3 Cum Sum of FY2016	2016 1 - 3	2015/4 - 2016/3 Total of FY2015
Patents	Appeals against Examiner's Decision of Refusal	1,458 (▲ 16.0)	1,591 (5.8)	1,730 (1.9)	1,340 (▲ 2.1)	1,526 (▲ 2.9)	1,525 (▲ 3.2)	4,391 (▲ 2.7)	18,774 (▲ 9.3)	4,515 (▲ 20.5)	20,697 (▲ 19.9)
	Trials for Invalidation	9 (▲ 35.7)	5 (▲ 73.7)	11 (▲ 8.3)	15 (50.0)	10 (▲ 41.2)	19 (90.0)	44 (18.9)	147 (▲ 11.4)	37 (▲ 62.2)	166 (▲ 36.9)
	Trials for Cancellation	17 (142.9)	10 (▲ 23.1)	12 (▲ 40.0)	7 (▲ 50.0)	9 (▲ 40.0)	10 (▲ 44.4)	26 (▲ 44.7)	142 (▲ 16.0)	47 (67.9)	169 (▲ 10.6)
	Hantei (Advisory Opinion)	1 (▲ 50.0)	3 (50.0)	57 (0.0)	7 (250.0)	1 (▲ 66.7)	2 (0.0)	10 (42.9)	100 (257.1)	7 (0.0)	28 (▲ 33.3)
	Oppositions	99 (▲ 1.0)	94 (▲ 14.5)	110 (▲ 13.4)	87 (0.0)	137 (23.4)	134 (38.1)	358 (21.4)	1,397 (104.2)	295 (0.0)	684 (0.0)
	Total	1,584 (▲ 14.8)	1,703 (3.3)	1,920 (3.4)	1,456 (▲ 1.8)	1,683 (▲ 2.0)	1,690 (▲ 0.7)	4,829 (▲ 1.5)	20,560 (▲ 5.4)	4,901 (▲ 15.6)	21,744 (▲ 17.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (▲ 100.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	9 (0.0)	1 (0.0)	9 (28.6)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)
	Total	0 (▲ 100.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	9 (▲ 10.0)	1 (0.0)	10 (25.0)
Designs	Appeals against Examiner's Decision of Refusal	34 (25.9)	40 (▲ 23.1)	24 (▲ 7.7)	30 (3.4)	32 (▲ 23.8)	28 (12.0)	90 (▲ 6.3)	378 (▲ 6.2)	96 (14.3)	403 (3.6)
	Trials for Invalidation	5 (0.0)	3 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	1 (▲ 83.3)	2 (▲ 66.7)	22 (69.2)	6 (50.0)	13 (▲ 35.0)
	Hantei (Advisory Opinion)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)	0 (0.0)	2 (100.0)	8 (33.3)	1 (0.0)	6 (▲ 50.0)
	Total	40 (48.1)	43 (▲ 18.9)	24 (▲ 11.1)	31 (6.9)	4 34 (▲ 20.9)	29 (▲ 6.5)	94 (▲ 8.7)	408 (▲ 3.3)	103 (15.7)	422 (0.2)

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2016 10	11	12	2017 1	2	3	2017 1 - 3	2016/4 -2017/3 Cum Sum of FY2016	2016 1 - 3	2015/4 - 2016/3 Total of FY2015
Trademarks	Appeals against Examiner's Decision of Refusal	51 (▲ 10.5)	44 (▲ 15.4)	45 (▲ 10.0)	34 (▲ 15.0)	42 (▲ 36.4)	29 (▲ 21.6)	105 (▲ 26.6)	476 (▲ 41.4)	143 (▲ 22.3)	812 (3.3)
	Trials for Invalidation	7 (▲ 30.0)	11 (22.2)	16 (433.3)	4 (0.0)	8 (▲ 20.0)	7 (▲ 41.7)	19 (▲ 26.9)	85 (▲ 17.5)	26 (13.0)	103 (▲ 9.6)
	Trials for Cancellation	104 (14.3)	62 (▲ 22.5)	88 (15.8)	73 (32.7)	69 (▲ 24.2)	95 (21.8)	237 (5.8)	971 (1.5)	224 (▲ 3.0)	957 (▲ 11.4)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	1 (0.0)	2 (0.0)	1 (0.0)	0 (▲ 100.0)	3 (200.0)	8 (300.0)	1 (0.0)	2 (▲ 71.4)
	Oppositions	37 (▲ 9.8)	34 (88.9)	41 (▲ 21.2)	32 (23.1)	46 (48.4)	42 (40.0)	120 (37.9)	486 (14.1)	87 (▲ 28.7)	426 (2.7)
	Total	199 (0.0)	151 (▲ 5.0)	191 (5.5)	145 (16.0)	166 (▲ 16.2)	173 (9.5)	484 (0.6)	2,026 (▲ 11.9)	481 (▲ 14.3)	2,300 (▲ 4.2)
Total	Appeals against Examiner's Decision of Refusal	1,543 (▲ 15.2)	1,675 (4.2)	1,799 (1.4)	1,404 (▲ 2.4)	1,600 (▲ 4.7)	1,582 (▲ 3.4)	4,586 (▲ 3.5)	19,628 (▲ 10.4)	4,754 (▲ 20.0)	21,912 (▲ 18.8)
	Trials for Invalidation	21 (▲ 16.0)	19 (▲ 34.5)	27 (68.8)	19 (35.7)	19 (▲ 32.1)	27 (▲ 3.6)	65 (▲ 7.1)	263 (▲ 9.6)	70 (▲ 44.0)	291 (▲ 28.0)
	Hantei (Advisory Opinion)	2 (0.0)	3 (▲ 25.0)	58 (5700.0)	10 (400.0)	3 (▲ 25.0)	2 (▲ 33.3)	15 (66.7)	116 (213.5)	9 (0.0)	37 (▲ 40.3)
	Trials for Correction (Patents & Utility Models)	17 (142.9)	10 (▲ 23.1)	12 (▲ 40.0)	7 (▲ 50.0)	9 (▲ 40.0)	10 (▲ 44.4)	26 (▲ 44.7)	142 (▲ 16.0)	47 (67.9)	169 (▲ 10.6)
	Trials for Cancellation (Trademarks)	104 (14.3)	62 (▲ 22.5)	88 (15.8)	73 (32.7)	69 (▲ 24.2)	95 (21.8)	237 (5.8)	971 (1.5)	224 (▲ 3.0)	957 (▲ 11.4)
	Oppositions (Trademarks)	136 (▲ 3.5)	128 (0.0)	151 (▲ 15.6)	119 (5.3)	183 (28.9)	176 (38.6)	478 (25.1)	1,883 (69.6)	382 (213.1)	1,110 (167.5)
	Total	1,823 (▲ 12.6)	1,897 (1.9)	2,135 (3.3)	1,632 (▲ 0.2)	1,883 (▲ 3.9)	1,892 (0.1)	5,407 (▲ 1.4)	23,003 (▲ 6.0)	5,486 (▲ 15.1)	24,476 (▲ 16.0)

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2016 10	11	12	2017 1	2	3	2017 1 - 3	2016/4 -2017/3 Cum Sum of FY2016	2016 1 - 3	2015/4 - 2016/3 Total of FY2015
The Number of Registrations	Patents	16,842 (▲ 17.4)	16,054 (8.9)	16,419 (5.0)	18,747 (15.2)	15,043 (7.1)	21,055 (40.1)	54,845 (21.0)	212,590 (14.1)	45,342 (▲ 6.2)	186,384 (▲ 11.5)
	Utility Models	489 (▲ 10.8)	524 (9.6)	363 (▲ 35.5)	308 (▲ 46.6)	666 (41.1)	593 (▲ 11.0)	1,567 (▲ 8.6)	6,149 (▲ 9.8)	1,715 (7.7)	6,818 (▲ 1.6)
	Designs	2,324 (▲ 20.8)	1,813 (1.6)	2,053 (4.3)	2,262 (23.6)	2,201 (7.8)	2,644 (60.6)	7,107 (28.8)	26,934 (4.8)	5,517 (▲ 9.6)	25,710 (▲ 3.4)
	Trademarks	7,797 (▲ 25.1)	9,236 (34.6)	9,678 (25.3)	9,748 (27.1)	10,408 (28.0)	11,790 (74.0)	31,946 (41.5)	114,580 (22.9)	22,573 (▲ 17.8)	93,204 (▲ 11.5)
	National Applications	6,695 (▲ 26.6)	8,170 (32.8)	8,562 (26.2)	8,696 (28.8)	9,090 (25.7)	10,456 (79.4)	28,242 (42.6)	101,598 (23.7)	19,811 (▲ 18.3)	82,156 (▲ 12.9)
	International Applications	1,102 (▲ 15.0)	1,066 (49.7)	1,116 (19.5)	1,052 (14.8)	1,318 (46.6)	1,334 (40.9)	3,704 (34.1)	12,982 (17.5)	2,762 (▲ 13.8)	11,048 (0.7)
	Total	27,452 (▲ 19.9)	27,627 (15.7)	28,513 (10.1)	31,065 (17.9)	28,318 (14.7)	36,082 (49.7)	95,465 (27.0)	360,253 (15.4)	75,147 (▲ 10.0)	312,116 (▲ 10.7)
Class Counts of Trademark Registration	12,115 (▲ 25.2)	14,523 (31.3)	15,143 (27.4)	15,356 (30.6)	16,191 (32.0)	19,972 (114.5)	51,519 (54.6)	183,109 (25.3)	33,330 (▲ 21.0)	146,081 (▲ 12.5)	
Trademark Application for Renewal	7,827 (▲ 7.2)	9,412 (39.3)	8,922 (25.6)	9,606 (34.6)	10,194 (74.3)	10,332 (90.6)	30,132 (63.7)	116,855 (37.1)	18,410 (▲ 20.6)	85,218 (▲ 0.8)	