

# Preliminary Statistical Data on Filing Applications and Requests

Created on June 20, 2017

From November 2016 through April 2017

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

Year/Month	2016 11	12	2017 1	2	3	4	2017 1 - 4	2016/4 - 2017/3 Total of FY2016	2016 1 - 4	2015/4 - 2016/3 Total of FY2015
Patents & Utility Models	25,379 (5.7)	30,402 (4.5)	21,566 (▲ 1.1)	26,945 (0.6)	42,084 (2.8)	23,019 (▲ 5.0)	113,614 (▲ 0.1)	325,937 (0.5)	113,762 (▲ 1.5)	324,419 (▲ 2.6)
Patents	24,914 (6.1)	29,834 (4.6)	21,093 (▲ 1.1)	26,427 (0.6)	41,518 (3.0)	22,537 (▲ 5.1)	111,575 (▲ 0.1)	319,505 (0.6)	111,655 (▲ 1.3)	317,647 (▲ 2.6)
Utility Models	465 (▲ 11.1)	568 (▲ 0.2)	473 (▲ 4.4)	518 (2.2)	566 (▲ 6.1)	482 (▲ 4.0)	2,039 (▲ 3.2)	6,432 (▲ 5.0)	2,107 (▲ 8.7)	6,772 (▲ 3.1)
Designs	2,418 (▲ 1.3)	2,828 (5.9)	1,984 (▲ 10.8)	2,509 (▲ 6.8)	3,200 (6.7)	2,610 (6.6)	10,303 (▲ 0.6)	30,658 (0.8)	10,363 (4.3)	30,419 (1.8)
National Applications	(2,274.0) (▲ 4.1)	(2,634.0) (2.9)	(1,862.0) (▲ 10.4)	(2,315.0) (▲ 8.0)	(2,978.0) (6.1)	(2,468.0) (9.2)	(9,623.0) (▲ 0.4)	(28,551.0) (▲ 3.1)	(9,661.0) (▲ 2.7)	(29,453.0) (▲ 1.4)
Trademarks	15,641 (18.9)	13,089 (▲ 11.3)	11,038 (▲ 10.8)	18,287 (44.9)	19,360 (13.9)	18,265 (47.8)	66,950 (23.2)	168,553 (8.6)	54,353 (13.9)	155,271 (19.7)
National Applications	14,295 (21.5)	11,366 (▲ 10.6)	10,113 (▲ 11.3)	17,187 (52.8)	18,132 (17.5)	16,836 (42.7)	62,268 (24.8)	155,381 (12.0)	49,876 (15.7)	138,710 (18.9)
Total Number	43,438 (9.6)	46,319 (▲ 0.5)	34,588 (▲ 5.0)	47,741 (13.4)	64,644 (6.1)	43,894 (12.4)	190,867 (6.9)	525,148 (2.9)	178,478 (3.1)	510,109 (3.6)
Trademarks Class Counts	54,583 (126.5)	26,232 (▲ 21.1)	23,948 (▲ 32.7)	58,845 (112.7)	48,588 (2.9)	41,852 (49.0)	173,233 (25.0)	435,914 (25.1)	138,575 (32.3)	348,571 (44.0)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2016		2017				2017	2016/4 - 2017/3	2016	2015/4 - 2016/3
		11	12	1	2	3	4	1 - 4	Total of FY2016	1 - 4	Total of FY2015
Patents	RO	3,548 (8.2)	3,797 (10.0)	3,505 (5.4)	4,563 (16.6)	5,808 (5.0)	3,239 (7.9)	17,115 (8.5)	45,597 (4.4)	15,776 (2.7)	43,661 (4.0)
	DO	5,107 (13.8)	5,582 (10.2)	4,611 (5.4)	4,939 (7.7)	5,951 (19.3)	5,059 (▲ 4.7)	20,560 (6.8)	61,574 (1.9)	19,257 (0.3)	60,445 (2.8)
Designs	OO	4 (0.0)	4 (▲ 42.9)	2 (0.0)	3 (0.0)	2 (▲ 33.3)	3 (▲ 57.1)	10 (▲ 33.3)	43 (48.3)	15 (0.0)	29 (0.0)
	DO	144 (82.3)	194 (74.8)	122 (▲ 16.4)	194 (10.2)	222 (15.6)	142 (▲ 24.5)	680 (▲ 3.1)	2,107 (118.1)	702 (0.0)	966 (0.0)
Trademarks	OO	195 (2.6)	252 (29.2)	156 (9.1)	230 (15.0)	222 (7.8)	191 (14.4)	799 (11.6)	2,438 (12.0)	716 (2.3)	2,176 (4.1)
	DO	1,346 (▲ 3.0)	1,723 (▲ 16.2)	925 (▲ 4.5)	1,100 (▲ 20.0)	1,228 (▲ 21.9)	1,429 (154.7)	4,682 (4.6)	13,172 (▲ 20.5)	4,477 (▲ 2.7)	16,561 (26.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

## (3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2016		2017				2017	2016/4 - 2017/3	2016	2015/4 - 2016/3
Year/Month		11	12	1	2	3	4	1 - 4	Total of FY2016	1 - 4	Total of FY2015
Request for Patent Examination		19,028 (0.9)	19,670 (▲ 3.2)	19,566 (4.5)	22,172 (▲ 6.2)	27,493 (7.9)	16,793 (▲ 5.8)	86,024 (0.4)	241,855 (0.4)	85,660 (▲ 1.4)	240,946 (▲ 2.2)
	Year-on-Year	18,858 (▲ 1.2)	20,325 (5.0)	18,719 (▲ 8.0)	23,634 (6.4)	25,478 (▲ 1.0)	17,829 (▲ 4.2)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		19 (▲ 51.3)	29 (11.5)	24 (▲ 11.1)	17 (▲ 51.4)	23 (▲ 32.4)	20 (▲ 23.1)	84 (▲ 31.1)	309 (▲ 23.7)	122 (▲ 19.2)	405 (▲ 4.3)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2016 11	12	2017 1	2	3	4	2017 1 - 4	2016/4 - 2017/3 Total of FY2016	2016 1 - 4	2015/4 - 2016/3 Total of FY2015
Patents	Appeals against Examiner's Decision of Refusal	1,591 (5.8)	1,730 (1.9)	1,340 (▲ 2.1)	1,526 (▲ 2.9)	1,525 (▲ 3.2)	1,646 (▲ 0.7)	6,037 (▲ 2.2)	18,774 (▲ 9.3)	6,173 (▲ 20.6)	20,697 (▲ 19.9)
	Trials for Invalidation	5 (▲ 73.7)	11 (▲ 8.3)	15 (50.0)	10 (▲ 41.2)	19 (90.0)	16 (45.5)	60 (25.0)	147 (▲ 11.4)	48 (▲ 59.0)	166 (▲ 36.9)
	Trials for Cancellation	10 (▲ 23.1)	12 (▲ 40.0)	7 (▲ 50.0)	9 (▲ 40.0)	10 (▲ 44.4)	5 (▲ 64.3)	31 (▲ 49.2)	142 (▲ 16.0)	61 (48.8)	169 (▲ 10.6)
	Hantei (Advisory Opinion)	3 (50.0)	57 (0.0)	7 (250.0)	1 (▲ 66.7)	2 (0.0)	1 (▲ 66.7)	11 (10.0)	100 (257.1)	10 (0.0)	28 (▲ 33.3)
	Oppositions	94 (▲ 14.5)	110 (▲ 13.4)	87 (0.0)	137 (23.4)	134 (38.1)	118 (0.0)	476 (15.3)	1,397 (104.2)	413 (41200.0)	684 (0.0)
	Total	1,703 (3.3)	1,920 (3.4)	1,456 (▲ 1.8)	1,683 (▲ 2.0)	1,690 (▲ 0.7)	1,786 (▲ 1.0)	6,615 (▲ 1.3)	20,560 (▲ 5.4)	6,705 (▲ 15.6)	21,744 (▲ 17.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (▲ 100.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	9 (0.0)	1 (0.0)	9 (28.6)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)
	Total	0 (▲ 100.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)	1 (0.0)	9 (▲ 10.0)	1 (0.0)	10 (25.0)
Designs	Appeals against Examiner's Decision of Refusal	40 (▲ 23.1)	24 (▲ 7.7)	30 (3.4)	32 (▲ 23.8)	28 (12.0)	35 (▲ 18.6)	125 (▲ 10.1)	378 (▲ 6.2)	139 (13.9)	403 (3.6)
	Trials for Invalidation	3 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	1 (▲ 83.3)	1 (0.0)	3 (▲ 57.1)	22 (69.2)	7 (75.0)	13 (▲ 35.0)
	Hantei (Advisory Opinion)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	3 (200.0)	8 (33.3)	1 (0.0)	6 (▲ 50.0)
	Total	43 (▲ 18.9)	24 (▲ 11.1)	31 (6.9)	34 (▲ 20.9)	4 29 (▲ 6.5)	37 (▲ 15.9)	131 (▲ 10.9)	408 (▲ 3.3)	147 (15.7)	422 (0.2)

### (5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2016 11	12	2017 1	2	3	4	2017 1 - 4	2016/4 - 2017/3 Total of FY2016	2016 1 - 4	2015/4 - 2016/3 Total of FY2015
Trademarks	Appeals against Examiner's Decision of Refusal	44 (▲ 15.4)	45 (▲ 10.0)	34 (▲ 15.0)	42 (▲ 36.4)	29 (▲ 21.6)	41 (2.5)	146 (▲ 20.2)	476 (▲ 41.4)	183 (▲ 27.1)	812 (3.3)
	Trials for Invalidation	11 (22.2)	16 (433.3)	4 (0.0)	8 (▲ 20.0)	7 (▲ 41.7)	3 (0.0)	22 (▲ 24.1)	85 (▲ 17.5)	29 (▲ 19.4)	103 (▲ 9.6)
	Trials for Cancellation	62 (▲ 22.5)	88 (15.8)	73 (32.7)	69 (▲ 24.2)	95 (21.8)	64 (▲ 15.8)	301 (0.3)	971 (1.5)	300 (▲ 6.5)	957 (▲ 11.4)
	Hantei (Advisory Opinion)	0 (0.0)	1 (0.0)	2 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (0.0)	3 (200.0)	8 (300.0)	1 (▲ 50.0)	2 (▲ 71.4)
	Oppositions	34 (88.9)	41 (▲ 21.2)	32 (23.1)	46 (48.4)	42 (40.0)	32 (0.0)	152 (27.7)	486 (14.1)	119 (▲ 23.2)	426 (2.7)
	Total	151 (▲ 5.0)	191 (5.5)	145 (16.0)	166 (▲ 16.2)	173 (9.5)	140 (▲ 7.3)	624 (▲ 1.3)	2,026 (▲ 11.9)	632 (▲ 17.4)	2,300 (▲ 4.2)
Total	Appeals against Examiner's Decision of Refusal	1,675 (4.2)	1,799 (1.4)	1,404 (▲ 2.4)	1,600 (▲ 4.7)	1,582 (▲ 3.4)	1,722 (▲ 1.1)	6,308 (▲ 2.9)	19,628 (▲ 10.4)	6,495 (▲ 20.3)	21,912 (▲ 18.8)
	Trials for Invalidation	19 (▲ 34.5)	27 (68.8)	19 (35.7)	19 (▲ 32.1)	27 (▲ 3.6)	20 (33.3)	85 (0.0)	263 (▲ 9.6)	85 (▲ 45.9)	291 (▲ 28.0)
	Hantei (Advisory Opinion)	3 (▲ 25.0)	58 (5700.0)	10 (400.0)	3 (▲ 25.0)	2 (▲ 33.3)	3 (0.0)	18 (50.0)	116 (213.5)	12 (▲ 7.7)	37 (▲ 40.3)
	Trials for Correction (Patents & Utility Models)	10 (▲ 23.1)	12 (▲ 40.0)	7 (▲ 50.0)	9 (▲ 40.0)	10 (▲ 44.4)	5 (▲ 64.3)	31 (▲ 49.2)	142 (▲ 16.0)	61 (48.8)	169 (▲ 10.6)
	Trials for Cancellation (Trademarks)	62 (▲ 22.5)	88 (15.8)	73 (32.7)	69 (▲ 24.2)	95 (21.8)	64 (▲ 15.8)	301 (0.3)	971 (1.5)	300 (▲ 6.5)	957 (▲ 11.4)
	Oppositions (Trademarks)	128 (0.0)	151 (▲ 15.6)	119 (5.3)	183 (28.9)	176 (38.6)	150 (0.0)	628 (18.0)	1,883 (69.6)	532 (241.0)	1,110 (167.5)
	Total	1,897 (1.9)	2,135 (3.3)	1,632 (▲ 0.2)	1,883 (▲ 3.9)	1,892 (0.1)	1,964 (▲ 1.8)	7,371 (▲ 1.5)	23,003 (▲ 6.0)	7,485 (▲ 15.3)	24,476 (▲ 16.0)

## (6) The Number of Registrations

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2016 11	12	2017 1	2	3	4	2017 1 - 4	2016/4 - 2017/3 Total of FY2016	2016 1 - 4	2015/4 - 2016/3 Total of FY2015
The Number of Registrations	Patents	16,054 (8.9)	16,419 (5.0)	18,747 (15.2)	15,043 (7.1)	21,055 (40.1)	15,923 (▲ 24.2)	70,768 (6.7)	212,590 (14.1)	66,349 (2.3)	186,384 (▲ 11.5)
	Utility Models	524 (9.6)	363 (▲ 35.5)	308 (▲ 46.6)	666 (41.1)	593 (▲ 11.0)	466 (11.8)	2,033 (▲ 4.6)	6,149 (▲ 9.8)	2,132 (▲ 2.2)	6,818 (▲ 1.6)
	Designs	1,813 (1.6)	2,053 (4.3)	2,262 (23.6)	2,201 (7.8)	2,644 (60.6)	2,275 (▲ 14.0)	9,382 (14.9)	26,934 (4.8)	8,163 (▲ 4.4)	25,710 (▲ 3.4)
	Trademarks	9,236 (34.6)	9,678 (25.3)	9,748 (27.1)	10,408 (28.0)	11,790 (74.0)	7,933 (▲ 31.7)	39,879 (16.6)	114,580 (22.9)	34,195 (▲ 2.4)	93,204 (▲ 11.5)
	National Applications	8,170 (32.8)	8,562 (26.2)	8,696 (28.8)	9,090 (25.7)	10,456 (79.4)	6,745 (▲ 39.2)	34,987 (13.2)	101,598 (23.7)	30,911 (▲ 0.1)	82,156 (▲ 12.9)
	International Applications	1,066 (49.7)	1,116 (19.5)	1,052 (14.8)	1,318 (46.6)	1,334 (40.9)	1,188 (127.6)	4,892 (49.0)	12,982 (17.5)	3,284 (▲ 19.5)	11,048 (0.7)
	Total	27,627 (15.7)	28,513 (10.1)	31,065 (17.9)	28,318 (14.7)	36,082 (49.7)	26,597 (▲ 25.5)	122,062 (10.1)	360,253 (15.4)	110,839 (0.2)	312,116 (▲ 10.7)
Class Counts of Trademark Registration	14,523 (31.3)	15,143 (27.4)	15,356 (30.6)	16,191 (32.0)	19,972 (114.5)	13,191 (▲ 31.6)	64,710 (23.0)	183,109 (25.3)	52,608 (▲ 4.3)	146,081 (▲ 12.5)	
Trademark Application for Renewal	9,412 (39.3)	8,922 (25.6)	9,606 (34.6)	10,194 (74.3)	10,332 (90.6)	11,972 (▲ 11.8)	42,104 (31.6)	116,855 (37.1)	31,986 (3.9)	85,218 (▲ 0.8)	