

# Preliminary Statistical Data on Filing Applications and Requests

Created on July 25, 2017

From December 2016 through May 2017

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

Year/Month	2016 12	2017 1	2	3	4	5	2017 1 - 5	2016/4 - 2017/3 Total of FY2016	2016 1 - 5	2015/4 - 2016/3 Total of FY2015
Patents & Utility Models	30,402 (4.5)	21,566 (▲ 1.1)	26,943 (0.6)	42,085 (2.8)	23,112 (▲ 4.7)	23,316 (3.3)	137,022 (0.5)	325,936 (0.5)	136,330 (▲ 1.1)	324,419 (▲ 2.6)
Patents	29,834 (4.6)	21,093 (▲ 1.1)	26,425 (0.6)	41,519 (3.0)	22,605 (▲ 4.8)	22,801 (3.4)	134,443 (0.6)	319,504 (0.6)	133,696 (▲ 0.9)	317,647 (▲ 2.6)
Utility Models	568 (▲ 0.2)	473 (▲ 4.4)	518 (2.2)	566 (▲ 6.1)	507 (1.0)	515 (▲ 2.3)	2,579 (▲ 2.1)	6,432 (▲ 5.0)	2,634 (▲ 7.7)	6,772 (▲ 3.1)
Designs	2,828 (5.9)	1,984 (▲ 10.8)	2,509 (▲ 6.8)	3,200 (6.7)	2,668 (8.9)	2,309 (2.6)	12,670 (0.5)	30,658 (0.8)	12,613 (3.1)	30,419 (1.8)
National Applications	(2,634.0) (2.9)	(1,862.0) (▲ 10.4)	(2,315.0) (▲ 8.0)	(2,978.0) (6.1)	(2,526.0) (11.7)	(2,183.0) (3.7)	(11,864.0) (0.8)	(28,551.0) (▲ 3.1)	(11,767.0) (▲ 3.8)	(29,453.0) (▲ 1.4)
Trademarks	13,089 (▲ 11.3)	11,038 (▲ 10.8)	18,286 (44.9)	19,361 (13.9)	18,650 (50.9)	13,494 (25.7)	80,829 (24.2)	168,553 (8.6)	65,092 (12.3)	155,271 (19.7)
National Applications	11,366 (▲ 10.6)	10,113 (▲ 11.3)	17,186 (52.8)	18,133 (17.5)	17,221 (45.9)	11,985 (17.3)	74,638 (24.2)	155,381 (12.0)	60,091 (15.1)	138,710 (18.9)
Total Number	46,319 (▲ 0.5)	34,588 (▲ 5.0)	47,738 (13.4)	64,646 (6.1)	44,430 (13.8)	39,119 (10.0)	230,521 (7.7)	525,147 (2.9)	214,035 (2.9)	510,109 (3.6)
Trademarks Class Counts	26,232 (▲ 21.1)	23,948 (▲ 32.7)	58,845 (112.7)	59,838 (26.7)	68,924 (145.4)	19,477 (▲ 17.0)	231,032 (42.6)	447,164 (28.3)	162,029 (29.2)	348,571 (44.0)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2016 12	2017 1	2	3	4	5	2017 1 - 5	2016/4 - 2017/3 Total of FY2016	2016 1 - 5	2015/4 - 2016/3 Total of FY2015
Patents	RO	3,797 (10.0)	3,505 (5.4)	4,563 (16.6)	5,808 (5.0)	3,239 (7.9)	3,272 (10.7)	20,387 (8.8)	45,597 (4.4)	18,732 (1.4)	43,661 (4.0)
	DO	5,582 (10.2)	4,611 (5.4)	4,937 (7.6)	5,951 (19.3)	5,062 (▲ 4.6)	4,902 (7.2)	25,463 (6.8)	61,572 (1.9)	23,831 (0.3)	60,445 (2.8)
Designs	OO	4 (▲ 42.9)	2 (0.0)	3 (0.0)	2 (▲ 33.3)	3 (▲ 57.1)	3 (0.0)	13 (▲ 27.8)	43 (48.3)	18 (800.0)	29 (0.0)
	DO	194 (74.8)	122 (▲ 16.4)	194 (10.2)	222 (15.6)	142 (▲ 24.5)	126 (▲ 12.5)	806 (▲ 4.7)	2,107 (118.1)	846 (0.0)	966 (0.0)
Trademarks	OO	252 (29.2)	156 (9.1)	230 (15.0)	222 (7.8)	191 (14.4)	144 (▲ 14.8)	943 (6.6)	2,438 (12.0)	885 (5.4)	2,176 (4.1)
	DO	1,723 (▲ 16.2)	925 (▲ 4.5)	1,100 (▲ 20.0)	1,228 (▲ 21.9)	1,429 (154.7)	1,509 (188.0)	6,191 (23.8)	13,172 (▲ 20.5)	5,001 (▲ 13.2)	16,561 (26.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

## (3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2016 12	2017 1	2	3	4	5	2017 1 - 5	2016/4 - 2017/3 Total of FY2016	2016 1 - 5	2015/4 - 2016/3 Total of FY2015
Request for Patent Examination		19,670 (▲ 3.2)	19,566 (4.5)	22,173 (▲ 6.2)	27,490 (7.9)	16,820 (▲ 5.7)	17,697 (5.0)	103,746 (1.2)	241,853 (0.4)	102,517 (▲ 1.8)	240,946 (▲ 2.2)
	Year-on-Year	20,325 (5.0)	18,719 (▲ 8.0)	23,634 (6.4)	25,478 (▲ 1.0)	17,829 (▲ 4.2)	16,857 (▲ 3.6)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		29 (11.5)	24 (▲ 11.1)	17 (▲ 51.4)	23 (▲ 32.4)	22 (▲ 15.4)	24 (0.0)	110 (▲ 24.7)	309 (▲ 23.7)	146 (▲ 22.8)	405 (▲ 4.3)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2016 12	2017 1	2	3	4	5	2017 1 - 5	2016/4 - 2017/3 Total of FY2016	2016 1 - 5	2015/4 - 2016/3 Total of FY2015
Patents	Appeals against Examiner's Decision of Refusal	1,730 (1.9)	1,340 (▲ 2.1)	1,526 (▲ 2.9)	1,525 (▲ 3.2)	1,646 (▲ 0.7)	1,546 (5.5)	7,583 (▲ 0.7)	18,774 (▲ 9.3)	7,639 (▲ 20.9)	20,697 (▲ 19.9)
	Trials for Invalidation	11 (▲ 8.3)	15 (50.0)	10 (▲ 41.2)	19 (90.0)	16 (45.5)	14 (▲ 17.6)	74 (13.8)	147 (▲ 11.4)	65 (▲ 48.4)	166 (▲ 36.9)
	Trials for Correction	12 (▲ 40.0)	7 (▲ 50.0)	9 (▲ 40.0)	10 (▲ 44.4)	5 (▲ 64.3)	9 (▲ 10.0)	40 (▲ 43.7)	142 (▲ 16.0)	71 (42.0)	169 (▲ 10.6)
	Hantei (Advisory Opinion)	57 (0.0)	7 (250.0)	1 (▲ 66.7)	2 (0.0)	1 (▲ 66.7)	5 (▲ 50.0)	16 (▲ 20.0)	100 (257.1)	20 (53.8)	28 (▲ 33.3)
	Oppositions	110 (▲ 13.4)	87 (0.0)	137 (23.4)	134 (38.1)	118 (0.0)	136 (12.4)	612 (14.6)	1,397 (104.2)	534 (53300.0)	684 (0.0)
	Total	1,920 (3.4)	1,456 (▲ 1.8)	1,683 (▲ 2.0)	1,690 (▲ 0.7)	1,786 (▲ 1.0)	1,710 (5.3)	8,325 (▲ 0.0)	20,560 (▲ 5.4)	8,329 (▲ 15.4)	21,744 (▲ 17.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	1 (▲ 50.0)	1 (▲ 66.7)	9 (0.0)	3 (0.0)	9 (28.6)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)
	Total	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)	1 (▲ 50.0)	2 (▲ 33.3)	9 (▲ 10.0)	3 (0.0)	10 (25.0)
Designs	Appeals against Examiner's Decision of Refusal	24 (▲ 7.7)	30 (3.4)	32 (▲ 23.8)	28 (12.0)	35 (▲ 18.6)	34 (9.7)	159 (▲ 6.5)	378 (▲ 6.2)	170 (16.4)	403 (3.6)
	Trials for Invalidation	0 (0.0)	0 (0.0)	1 (0.0)	1 (▲ 83.3)	1 (0.0)	2 (▲ 50.0)	5 (▲ 54.5)	22 (69.2)	11 (83.3)	13 (▲ 35.0)
	Hantei (Advisory Opinion)	0 (▲ 100.0)	1 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	3 (200.0)	8 (33.3)	1 (▲ 50.0)	6 (▲ 50.0)
	Total	24 (▲ 11.1)	31 (6.9)	34 (▲ 20.9)	29 (▲ 6.5)	4 37 (▲ 15.9)	36 (2.9)	167 (▲ 8.2)	408 (▲ 3.3)	182 (18.2)	422 (0.2)

### (5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2016 12	2017 1	2	3	4	5	2017 1 - 5	2016/4 - 2017/3 Total of FY2016	2016 1 - 5	2015/4 - 2016/3 Total of FY2015
Trademarks	Appeals against Examiner's Decision of Refusal	45 (▲ 10.0)	34 (▲ 15.0)	42 (▲ 36.4)	29 (▲ 21.6)	41 (2.5)	40 (263.6)	186 (▲ 4.1)	476 (▲ 41.4)	194 (▲ 40.5)	812 (3.3)
	Trials for Invalidation	16 (433.3)	4 (0.0)	8 (▲ 20.0)	7 (▲ 41.7)	3 (0.0)	5 (▲ 37.5)	27 (▲ 27.0)	85 (▲ 17.5)	37 (▲ 26.0)	103 (▲ 9.6)
	Trials for Cancellation	88 (15.8)	73 (32.7)	69 (▲ 24.2)	95 (21.8)	64 (▲ 15.8)	78 (▲ 7.1)	379 (▲ 1.3)	971 (1.5)	384 (▲ 5.9)	957 (▲ 11.4)
	Hantei (Advisory Opinion)	1 (0.0)	2 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	3 (200.0)	8 (300.0)	1 (▲ 50.0)	2 (▲ 71.4)
	Oppositions	41 (▲ 21.2)	32 (23.1)	46 (48.4)	42 (40.0)	32 (0.0)	43 (38.7)	195 (30.0)	486 (14.1)	150 (▲ 23.5)	426 (2.7)
	<b>Total</b>	<b>191 (5.5)</b>	<b>145 (16.0)</b>	<b>166 (▲ 16.2)</b>	<b>173 (9.5)</b>	<b>140 (▲ 7.3)</b>	<b>166 (23.9)</b>	<b>790 (3.1)</b>	<b>2,026 (▲ 11.9)</b>	<b>766 (▲ 22.0)</b>	<b>2,300 (▲ 4.2)</b>
Total	Appeals against Examiner's Decision of Refusal	1,799 (1.4)	1,404 (▲ 2.4)	1,600 (▲ 4.7)	1,582 (▲ 3.4)	1,722 (▲ 1.1)	1,620 (7.4)	7,928 (▲ 0.9)	19,628 (▲ 10.4)	8,003 (▲ 21.0)	21,912 (▲ 18.8)
	Trials for Invalidation	27 (68.8)	19 (35.7)	19 (▲ 32.1)	27 (▲ 3.6)	20 (33.3)	22 (▲ 29.0)	107 (▲ 7.8)	263 (▲ 9.6)	116 (▲ 36.3)	291 (▲ 28.0)
	Hantei (Advisory Opinion)	58 (5700.0)	10 (400.0)	3 (▲ 25.0)	2 (▲ 33.3)	3 (0.0)	5 (▲ 50.0)	23 (4.5)	116 (213.5)	22 (29.4)	37 (▲ 40.3)
	Trials for Correction (Patents & Utility Models)	12 (▲ 40.0)	7 (▲ 50.0)	9 (▲ 40.0)	10 (▲ 44.4)	5 (▲ 64.3)	9 (▲ 10.0)	40 (▲ 43.7)	142 (▲ 16.0)	71 (42.0)	169 (▲ 10.6)
	Trials for Cancellation (Trademarks)	88 (15.8)	73 (32.7)	69 (▲ 24.2)	95 (21.8)	64 (▲ 15.8)	78 (▲ 7.1)	379 (▲ 1.3)	971 (1.5)	384 (▲ 5.9)	957 (▲ 11.4)
	Oppositions (Patents & Trademarks)	151 (▲ 15.6)	119 (5.3)	183 (28.9)	176 (38.6)	150 (0.0)	179 (17.8)	807 (18.0)	1,883 (69.6)	684 (247.2)	1,110 (167.5)
	<b>Total</b>	<b>2,135 (3.3)</b>	<b>1,632 (▲ 0.2)</b>	<b>1,883 (▲ 3.9)</b>	<b>1,892 (0.1)</b>	<b>1,964 (▲ 1.8)</b>	<b>1,913 (6.6)</b>	<b>9,284 (0.0)</b>	<b>23,003 (▲ 6.0)</b>	<b>9,280 (▲ 15.5)</b>	<b>24,476 (▲ 16.0)</b>

## (6) The Number of Registrations

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2016 12	2017 1	2	3	4	5	2017 1 - 5	2016/4 - 2017/3 Total of FY2016	2016 1 - 5	2015/4 - 2016/3 Total of FY2015
The Number of Registrations	Patents	16,419 (5.0)	18,747 (15.2)	15,043 (7.1)	21,055 (40.1)	15,923 (▲ 24.2)	14,301 (▲ 2.7)	85,069 (5.0)	212,590 (14.1)	81,041 (▲ 1.5)	186,384 (▲ 11.5)
	Utility Models	363 (▲ 35.5)	308 (▲ 46.6)	666 (41.1)	593 (▲ 11.0)	466 (11.8)	597 (14.6)	2,630 (▲ 0.9)	6,149 (▲ 9.8)	2,653 (▲ 2.2)	6,818 (▲ 1.6)
	Designs	2,053 (4.3)	2,262 (23.6)	2,201 (7.8)	2,644 (60.6)	2,275 (▲ 14.0)	1,842 (6.1)	11,224 (13.4)	26,934 (4.8)	9,899 (▲ 10.9)	25,710 (▲ 3.4)
	Trademarks	9,678 (25.3)	9,748 (27.1)	10,408 (28.0)	11,790 (74.0)	7,933 (▲ 31.7)	7,195 (▲ 7.5)	47,074 (12.2)	114,580 (22.9)	41,973 (▲ 3.0)	93,204 (▲ 11.5)
	National Applications	8,562 (26.2)	8,696 (28.8)	9,090 (25.7)	10,456 (79.4)	6,745 (▲ 39.2)	6,317 (▲ 10.5)	41,304 (8.8)	101,598 (23.7)	37,973 (▲ 0.2)	82,156 (▲ 12.9)
	International Applications	1,116 (19.5)	1,052 (14.8)	1,318 (46.6)	1,334 (40.9)	1,188 (127.6)	878 (22.6)	5,770 (44.3)	12,982 (17.5)	4,000 (▲ 23.4)	11,048 (0.7)
	Total	28,513 (10.1)	31,065 (17.9)	28,318 (14.7)	36,082 (49.7)	26,597 (▲ 25.5)	23,935 (▲ 3.2)	145,997 (7.7)	360,253 (15.4)	135,566 (▲ 2.8)	312,116 (▲ 10.7)
Class Counts of Trademark Registration		15,143 (27.4)	15,356 (30.6)	16,191 (32.0)	19,972 (114.5)	13,191 (▲ 31.6)	11,865 (▲ 9.7)	76,575 (16.5)	183,109 (25.3)	65,745 (▲ 3.1)	146,081 (▲ 12.5)
Trademark Application for Renewal		8,922 (25.6)	9,606 (34.6)	10,194 (74.3)	10,332 (90.6)	11,972 (▲ 11.8)	12,898 (10.8)	55,002 (26.1)	116,855 (37.1)	43,628 (17.7)	85,218 (▲ 0.8)