

Preliminary Statistical Data on Filing Applications and Requests

Created on November 28, 2017

From April 2017 through September 2017

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

Year/Month	2017 4	5	6	7	8	9	2017 1 - 9	2017/4 - 9 Cum Sum of FY2017	2016 1 - 9	2016/4 - 2017/3 Total of FY2016
Patents & Utility Models	23,115 (▲ 4.7)	23,380 (3.6)	27,881 (▲ 1.2)	23,850 (▲ 3.0)	24,680 (▲ 0.7)	30,341 (▲ 3.1)	243,841 (▲ 0.6)	153,247 (▲ 1.6)	245,271 (▲ 0.9)	325,936 (0.5)
Patents	22,606 (▲ 4.8)	22,839 (3.6)	27,339 (▲ 0.8)	23,323 (▲ 2.9)	24,173 (▲ 0.4)	29,889 (▲ 2.8)	239,206 (▲ 0.5)	150,169 (▲ 1.5)	240,318 (▲ 0.8)	319,504 (0.6)
Utility Models	509 (1.4)	541 (2.7)	542 (▲ 15.4)	527 (▲ 6.4)	507 (▲ 11.1)	452 (▲ 17.1)	4,635 (▲ 6.4)	3,078 (▲ 8.1)	4,953 (▲ 4.3)	6,432 (▲ 5.0)
Designs	2,668 (8.9)	2,343 (4.1)	2,653 (5.6)	2,561 (1.2)	2,581 (1.9)	2,860 (▲ 1.1)	23,359 (1.2)	15,666 (3.3)	23,083 (5.3)	30,658 (0.8)
National Applications	2,526 (11.7)	2,217 (5.3)	2,462 (3.7)	2,340 (▲ 0.5)	2,351 (▲ 0.8)	2,732 (3.4)	21,783 (1.3)	14,628 (3.7)	21,504 (▲ 1.0)	28,551 (▲ 3.1)
Trademarks	18,654 (50.9)	13,534 (26.0)	18,224 (40.2)	13,282 (14.8)	16,261 (10.1)	16,219 (29.7)	144,858 (23.9)	96,174 (28.3)	116,936 (9.7)	168,552 (8.6)
National Applications	17,225 (46.0)	12,026 (17.7)	16,242 (34.2)	11,749 (10.3)	14,547 (5.6)	14,784 (34.4)	132,005 (22.7)	86,573 (24.5)	107,623 (12.5)	155,381 (12.0)
Total Number	44,437 (13.8)	39,257 (10.4)	48,758 (11.5)	39,693 (2.6)	43,522 (3.3)	49,420 (5.8)	412,058 (6.9)	265,087 (7.8)	385,290 (2.5)	525,146 (2.9)
Trademarks Class Counts	68,972 (145.6)	26,919 (14.8)	51,642 (94.5)	25,328 (23.1)	48,401 (7.7)	39,106 (51.6)	402,999 (44.0)	260,368 (53.7)	279,905 (21.9)	447,164 (28.3)

▪ The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2017 4	5	6	7	8	9	2017 1 - 9	2017/4 - 9 Cum Sum of FY2017	2016 1 - 9	2016/4 - 2017/3 Total of FY2016
Patents	RO	3,239 (7.9)	3,272 (10.7)	3,897 (▲ 2.3)	3,511 (7.3)	3,715 (3.5)	4,266 (5.1)	35,776 (6.3)	21,900 (4.9)	33,642 (2.2)	45,597 (4.4)
	DO	5,063 (▲ 4.6)	4,901 (7.1)	6,164 (3.4)	4,556 (6.0)	4,796 (0.6)	5,715 (▲ 1.0)	46,694 (4.6)	31,195 (1.7)	44,630 (▲ 3.8)	61,572 (1.9)
Designs	OO	3 (▲ 57.1)	3 (0.0)	1 (0.0)	1 (▲ 66.7)	8 (300.0)	3 (▲ 40.0)	26 (▲ 10.3)	19 (▲ 9.5)	29 (314.3)	43 (48.3)
	DO	142 (▲ 24.5)	126 (▲ 12.5)	191 (37.4)	221 (22.8)	230 (40.2)	128 (▲ 48.8)	1,576 (▲ 0.2)	1,038 (▲ 2.5)	1,579 (767.6)	2,107 (118.1)
Trademarks	OO	191 (14.4)	144 (▲ 14.8)	183 (▲ 13.7)	207 (11.9)	202 (▲ 4.7)	248 (5.5)	1,783 (3.1)	1,175 (▲ 0.4)	1,729 (8.7)	2,438 (12.0)
	DO	1,429 (154.7)	1,508 (187.8)	1,982 (121.2)	1,533 (67.4)	1,714 (72.6)	1,435 (▲ 4.8)	12,853 (38.0)	9,601 (77.9)	9,313 (▲ 14.7)	13,171 (▲ 20.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models. The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs. The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2017 4	5	6	7	8	9	2017 1 - 9	2017/4 - 9 Cum Sum of FY2017	2016 1 - 9	2016/4 - 2017/3 Total of FY2016
Request for Patent Examination		16,815 (▲ 5.7)	17,694 (5.0)	20,606 (0.9)	17,707 (▲ 9.7)	19,616 (2.8)	20,603 (▲ 6.6)	182,268 (▲ 0.8)	113,041 (▲ 2.4)	183,688 (0.2)	241,851 (0.4)
	Year-on-Year	17,829 (▲ 4.2)	16,857 (▲ 3.6)	20,418 (5.1)	19,610 (▲ 1.1)	19,080 (2.4)	22,063 (4.7)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		24 (▲ 7.7)	25 (4.2)	32 (18.5)	24 (▲ 31.4)	29 (▲ 6.5)	19 (▲ 26.9)	217 (▲ 18.1)	153 (▲ 9.5)	265 (▲ 21.1)	309 (▲ 23.7)

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2017 4	5	6	7	8	9	2017 1 - 9	2017/4 - 9 Cum Sum of FY2017	2016 1 - 9	2016/4 - 2017/3 Total of FY2016
Patents	Appeals against Examiner's Decision of Refusal	1,646 (▲ 0.7)	1,546 (5.5)	1,676 (▲ 8.0)	1,572 (1.6)	1,425 (▲ 7.4)	1,497 (▲ 4.8)	13,753 (▲ 2.6)	9,362 (▲ 2.5)	14,119 (▲ 16.6)	18,774 (▲ 9.3)
	Trials for Invalidation	16 (45.5)	14 (▲ 17.6)	11 (▲ 8.3)	15 (7.1)	21 (40.0)	9 (0.0)	130 (13.0)	86 (10.3)	115 (▲ 36.8)	147 (▲ 11.4)
	Trials for Correction	5 (▲ 64.3)	9 (▲ 10.0)	21 (31.3)	15 (15.4)	10 (▲ 37.5)	16 (100.0)	102 (▲ 17.7)	76 (▲ 1.3)	124 (12.7)	142 (▲ 16.0)
	Hantei (Advisory Opinion)	1 (▲ 66.7)	5 (▲ 50.0)	1 (▲ 83.3)	1 (0.0)	5 (▲ 28.6)	2 (0.0)	25 (▲ 30.6)	15 (▲ 48.3)	36 (50.0)	100 (257.1)
	Oppositions	118 (0.0)	136 (12.4)	118 (26.9)	103 (33.8)	77 (▲ 53.0)	122 (▲ 25.2)	1,032 (0.1)	674 (▲ 8.4)	1,031 (1882.7)	1,397 (104.2)
	Total	1,786 (▲ 1.0)	1,710 (5.3)	1,827 (▲ 6.3)	1,706 (3.3)	1,538 (▲ 11.7)	1,646 (▲ 6.2)	15,042 (▲ 2.5)	10,213 (▲ 3.0)	15,425 (▲ 10.8)	20,560 (▲ 5.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (0.0)	1 (▲ 50.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)	3 (▲ 70.0)	3 (▲ 66.7)	10 (100.0)	9 (0.0)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	1 (0.0)	0 (0.0)	0 (▲ 100.0)
	Total	1 (0.0)	1 (▲ 50.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)	4 (▲ 60.0)	4 (▲ 55.6)	10 (100.0)	9 (▲ 10.0)
Designs	Appeals against Examiner's Decision of Refusal	35 (▲ 18.6)	34 (9.7)	46 (84.0)	35 (40.0)	51 (30.8)	17 (▲ 37.0)	308 (7.7)	218 (14.7)	286 (0.0)	378 (▲ 6.2)
	Trials for Invalidation	1 (0.0)	2 (▲ 50.0)	1 (▲ 75.0)	1 (▲ 50.0)	1 (0.0)	2 (100.0)	10 (▲ 44.4)	8 (▲ 33.3)	18 (63.6)	22 (69.2)
	Hantei (Advisory Opinion)	1 (0.0)	0 (0.0)	1 (▲ 50.0)	2 (100.0)	2 (0.0)	0 (0.0)	8 (33.3)	6 (20.0)	6 (50.0)	8 (33.3)
	Total	37 (▲ 15.9)	36 (2.9)	48 (54.8)	38 (35.7)	54 (31.7)	19 (▲ 32.1)	326 (5.2)	232 (12.1)	310 (3.0)	408 (▲ 3.3)

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2017 4	5	6	7	8	9	2017 1 - 9	2017/4 - 9 Cum Sum of FY2017	2016 1 - 9	2016/4 - 2017/3 Total of FY2016
Trademarks	Appeals against Examiner's Decision of Refusal	41 (2.5)	40 (263.6)	105 (250.0)	76 (33.3)	63 (10.5)	64 (77.8)	494 (32.1)	389 (68.4)	374 (▲ 46.1)	476 (▲ 41.4)
	Trials for Invalidation	3 (0.0)	5 (▲ 37.5)	11 (175.0)	12 (100.0)	9 (80.0)	6 (0.0)	65 (12.1)	46 (43.8)	58 (▲ 25.6)	85 (▲ 17.5)
	Trials for Cancellation	64 (▲ 15.8)	78 (▲ 7.1)	112 (8.7)	92 (58.6)	94 (▲ 1.1)	95 (48.4)	772 (9.7)	535 (11.5)	704 (▲ 1.8)	971 (1.5)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	2 (0.0)	0 (0.0)	1 (0.0)	1 (▲ 66.7)	7 (40.0)	4 (0.0)	5 (150.0)	8 (300.0)
	Oppositions	32 (0.0)	43 (38.7)	42 (35.5)	26 (▲ 44.7)	31 (▲ 54.4)	30 (▲ 33.3)	324 (▲ 5.0)	204 (▲ 19.7)	341 (▲ 2.6)	486 (14.1)
	Total	140 (▲ 7.3)	166 (23.9)	272 (61.9)	206 (22.6)	198 (▲ 12.4)	196 (27.3)	1,662 (12.1)	1,178 (17.7)	1,482 (▲ 19.5)	2,026 (▲ 11.9)
Total	Appeals against Examiner's Decision of Refusal	1,722 (▲ 1.1)	1,620 (7.4)	1,827 (▲ 2.7)	1,683 (3.3)	1,539 (▲ 5.9)	1,578 (▲ 3.5)	14,555 (▲ 1.5)	9,969 (▲ 0.6)	14,779 (▲ 17.4)	19,628 (▲ 10.4)
	Trials for Invalidation	20 (33.3)	22 (▲ 29.0)	23 (▲ 8.0)	28 (21.7)	32 (60.0)	18 (5.9)	208 (3.5)	143 (9.2)	201 (▲ 27.2)	263 (▲ 9.6)
	Hantei (Advisory Opinion)	3 (0.0)	5 (▲ 50.0)	4 (▲ 50.0)	3 (50.0)	8 (▲ 20.0)	3 (▲ 40.0)	41 (▲ 12.8)	26 (▲ 31.6)	47 (56.7)	116 (213.5)
	Trials for Correction (Patents & Utility Models)	5 (▲ 64.3)	9 (▲ 10.0)	21 (31.3)	15 (15.4)	10 (▲ 37.5)	16 (100.0)	102 (▲ 17.7)	76 (▲ 1.3)	124 (12.7)	142 (▲ 16.0)
	Trials for Cancellation (Trademarks)	64 (▲ 15.8)	78 (▲ 7.1)	112 (8.7)	92 (58.6)	94 (▲ 1.1)	95 (48.4)	772 (9.7)	535 (11.5)	704 (▲ 1.8)	971 (1.5)
	Oppositions (Patents & Trademarks)	150 (0.0)	179 (17.8)	160 (29.0)	129 (4.0)	108 (▲ 53.4)	152 (▲ 26.9)	1,356 (▲ 1.2)	878 (▲ 11.3)	1,372 (241.3)	1,883 (69.6)
	Total	1,964 (▲ 1.8)	1,913 (6.6)	2,147 (▲ 0.3)	1,950 (5.5)	1,791 (▲ 10.8)	1,862 (▲ 3.9)	17,034 (▲ 1.1)	11,627 (▲ 1.0)	17,227 (▲ 11.4)	23,003 (▲ 6.0)

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2017 4	5	6	7	8	9	2017 1 - 9	2017/4 - 9 Cum Sum of FY2017	2016 1 - 9	2016/4 - 2017/3 Total of FY2016
The Number of Registrations	Patents	15,923 (▲ 24.2)	14,301 (▲ 2.7)	18,084 (16.2)	15,442 (▲ 25.7)	14,447 (▲ 7.5)	19,765 (▲ 4.8)	152,807 (▲ 0.6)	97,962 (▲ 9.7)	153,772 (11.0)	212,590 (14.1)
	Utility Models	466 (11.8)	597 (14.6)	451 (▲ 19.8)	495 (▲ 5.2)	587 (▲ 14.4)	503 (1.0)	4,666 (▲ 5.2)	3,099 (▲ 3.3)	4,921 (▲ 3.6)	6,149 (▲ 9.8)
	Designs	2,275 (▲ 14.0)	1,842 (6.1)	2,709 (35.2)	2,066 (▲ 21.5)	1,982 (▲ 7.1)	2,828 (13.7)	20,809 (8.6)	13,702 (0.5)	19,154 (▲ 2.3)	26,934 (4.8)
	Trademarks	7,933 (▲ 31.7)	7,195 (▲ 7.5)	11,412 (36.9)	8,792 (▲ 17.7)	8,292 (10.5)	10,501 (5.0)	86,071 (9.7)	54,125 (▲ 3.2)	78,496 (7.4)	114,580 (22.9)
	National Applications	6,745 (▲ 39.2)	6,317 (▲ 10.5)	10,155 (35.3)	7,753 (▲ 14.1)	7,440 (12.3)	9,410 (9.4)	76,062 (9.1)	47,820 (▲ 4.2)	69,740 (8.1)	101,598 (23.7)
	International Applications	1,188 (127.6)	878 (22.6)	1,257 (52.4)	1,039 (▲ 37.4)	852 (▲ 2.9)	1,091 (▲ 21.8)	10,009 (14.3)	6,305 (5.2)	8,756 (2.4)	12,982 (17.5)
	Total	26,597 (▲ 25.5)	23,935 (▲ 3.2)	32,656 (23.4)	26,795 (▲ 22.6)	25,308 (▲ 2.5)	33,597 (▲ 0.4)	264,353 (3.1)	168,888 (▲ 6.8)	256,343 (8.4)	360,253 (15.4)
Class Counts of Trademark Registration	13,191 (▲ 31.6)	11,865 (▲ 9.7)	18,138 (33.5)	14,006 (▲ 10.9)	13,032 (6.9)	17,366 (9.3)	139,117 (13.0)	87,598 (▲ 2.5)	123,139 (6.4)	183,109 (25.3)	
Trademark Application for Renewal	11,972 (▲ 11.8)	12,898 (10.8)	11,197 (19.4)	9,918 (21.2)	10,883 (10.0)	8,359 (5.9)	95,359 (20.8)	65,227 (7.7)	78,972 (16.7)	116,855 (37.1)	