

Preliminary Statistical Data on Filing Applications and Requests

Created on January 23, 2018

From June 2017 through November 2017

*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.
Please be noted that the data may be subject to change through continual data collection and processing.

1. Preliminary Statistical Data

(1) The Number of Applications

Year/Month	2017 6	7	8	9	10	11	2017 1 - 11	2017/4 - 11 Cum Sum of FY2017	2016 1 - 11	2016/4 - 2017/3 Total of FY2016
Patents & Utility Models	27,881 (▲ 1.2)	23,850 (▲ 3.0)	24,678 (▲ 0.7)	30,431 (▲ 2.8)	24,591 (3.3)	25,669 (1.1)	294,189 (▲ 0.1)	203,595 (▲ 0.7)	294,459 (▲ 0.7)	325,936 (0.5)
Patents	27,339 (▲ 0.8)	23,323 (▲ 2.9)	24,171 (▲ 0.4)	29,957 (▲ 2.6)	24,099 (3.4)	25,208 (1.2)	288,579 (0.0)	199,542 (▲ 0.5)	288,547 (▲ 0.6)	319,504 (0.6)
Utility Models	542 (▲ 15.4)	527 (▲ 6.4)	507 (▲ 11.1)	474 (▲ 13.0)	492 (▲ 0.4)	461 (▲ 0.9)	5,610 (▲ 5.1)	4,053 (▲ 5.9)	5,912 (▲ 6.0)	6,432 (▲ 5.0)
Designs	2,653 (5.6)	2,561 (1.2)	2,581 (1.9)	2,890 (▲ 0.1)	2,842 (11.5)	2,626 (8.6)	28,857 (2.9)	21,164 (5.1)	28,051 (3.0)	30,658 (0.8)
National Applications	2,462 (3.7)	2,340 (▲ 0.5)	2,351 (▲ 0.8)	2,762 (4.5)	2,683 (12.5)	2,419 (6.4)	26,915 (2.9)	19,760 (5.3)	26,162 (▲ 2.7)	28,551 (▲ 3.1)
Trademarks	18,224 (40.2)	13,282 (14.8)	16,261 (10.1)	16,342 (30.7)	14,973 (▲ 7.5)	16,322 (4.4)	176,276 (18.5)	127,592 (19.5)	148,770 (12.3)	168,552 (8.6)
National Applications	16,242 (34.2)	11,749 (10.3)	14,547 (5.6)	14,907 (35.5)	13,447 (▲ 8.8)	14,626 (2.3)	160,201 (17.2)	114,769 (16.4)	136,658 (15.2)	155,381 (12.0)
Total Number	48,758 (11.5)	39,693 (2.6)	43,520 (3.3)	49,663 (6.3)	42,406 (▲ 0.3)	44,617 (2.7)	499,322 (6.0)	352,351 (6.2)	471,280 (3.3)	525,146 (2.9)
Trademarks Class Counts	51,642 (94.5)	25,328 (23.1)	48,405 (7.7)	45,545 (76.5)	38,574 (▲ 29.0)	38,902 (▲ 28.7)	486,918 (25.2)	344,287 (23.7)	388,793 (38.8)	447,164 (28.3)

▪ The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

(2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2017 6	7	8	9	10	11	2017 1 - 11	2017/4 - 11 Cum Sum of FY2017	2016 1 - 11	2016/4 - 2017/3 Total of FY2016
Patents	RO	3,897 (▲ 2.3)	3,511 (7.3)	3,715 (3.5)	4,266 (5.1)	3,693 (5.3)	3,764 (6.1)	43,233 (6.2)	29,357 (5.1)	40,698 (2.7)	45,597 (4.4)
	DO	6,164 (3.4)	4,556 (6.0)	4,794 (0.5)	5,715 (▲ 1.0)	4,980 (5.8)	5,236 (2.5)	56,908 (4.5)	41,409 (2.3)	54,442 (▲ 2.0)	61,572 (1.9)
Designs	OO	1 (0.0)	1 (▲ 66.7)	8 (300.0)	3 (▲ 40.0)	2 (▲ 71.4)	2 (▲ 50.0)	30 (▲ 25.0)	23 (▲ 28.1)	40 (185.7)	43 (48.3)
	DO	191 (37.4)	221 (22.8)	230 (40.2)	128 (▲ 48.8)	159 (▲ 4.2)	207 (43.8)	1,942 (2.8)	1,404 (2.1)	1,889 (454.0)	2,107 (118.1)
Trademarks	OO	183 (▲ 13.7)	207 (11.9)	202 (▲ 4.7)	248 (5.5)	220 (8.4)	261 (33.8)	2,264 (6.4)	1,656 (4.9)	2,127 (8.4)	2,438 (12.0)
	DO	1,982 (121.2)	1,533 (67.4)	1,714 (72.6)	1,435 (▲ 4.8)	1,526 (5.0)	1,696 (26.0)	16,075 (32.7)	12,823 (56.5)	12,112 (▲ 13.0)	13,171 (▲ 20.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models. The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs. The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

(3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2017 6	7	8	9	10	11	2017 1 - 11	2017/4 - 11 Cum Sum of FY2017	2016 1 - 11	2016/4 - 2017/3 Total of FY2016
Request for Patent Examination		20,606 (0.9)	17,706 (▲ 9.7)	19,612 (2.8)	20,614 (▲ 6.6)	18,167 (0.5)	19,495 (2.5)	219,936 (▲ 0.4)	150,709 (▲ 1.5)	220,785 (▲ 0.1)	241,851 (0.4)
	Year-on-Year	20,418 (5.1)	19,610 (▲ 1.1)	19,080 (2.4)	22,063 (4.7)	18,069 (▲ 4.2)	19,028 (0.9)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		32 (18.5)	24 (▲ 31.4)	29 (▲ 6.5)	20 (▲ 23.1)	24 (▲ 14.3)	26 (36.8)	268 (▲ 14.1)	204 (▲ 5.6)	312 (▲ 21.2)	309 (▲ 23.7)

(4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2017 6	7	8	9	10	11	2017 1 - 11	2017/4 - 11 Cum Sum of FY2017	2016 1 - 11	2016/4 - 2017/3 Total of FY2016
Patents	Appeals against Examiner's Decision of Refusal	1,676 (▲ 8.0)	1,572 (1.6)	1,425 (▲ 7.4)	1,497 (▲ 4.8)	1,578 (8.2)	1,558 (▲ 2.1)	16,889 (▲ 1.6)	12,498 (▲ 1.2)	17,168 (▲ 14.8)	18,774 (▲ 9.3)
	Trials for Invalidation	11 (▲ 8.3)	15 (7.1)	21 (40.0)	9 (0.0)	9 (0.0)	5 (0.0)	144 (11.6)	100 (8.7)	129 (▲ 40.0)	147 (▲ 11.4)
	Trials for Correction	21 (31.3)	15 (15.4)	10 (▲ 37.5)	16 (100.0)	14 (▲ 17.6)	19 (90.0)	135 (▲ 10.6)	109 (4.8)	151 (16.2)	142 (▲ 16.0)
	Hantei (Advisory Opinion)	1 (▲ 83.3)	1 (0.0)	5 (▲ 28.6)	2 (0.0)	7 (600.0)	1 (▲ 66.7)	33 (▲ 17.5)	23 (▲ 30.3)	40 (42.9)	100 (257.1)
	Oppositions	118 (26.9)	103 (33.8)	77 (▲ 53.0)	122 (▲ 25.2)	101 (2.0)	118 (25.5)	1,251 (2.2)	893 (▲ 3.9)	1,224 (367.2)	1,397 (104.2)
	Total	1,827 (▲ 6.3)	1,706 (3.3)	1,538 (▲ 11.7)	1,646 (▲ 6.2)	1,709 (7.9)	1,701 (▲ 0.1)	18,452 (▲ 1.4)	13,623 (▲ 1.4)	18,712 (▲ 10.0)	20,560 (▲ 5.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	4 (▲ 60.0)	4 (▲ 55.6)	10 (42.9)	9 (0.0)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)
	Total	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	1 (0.0)	0 (0.0)	1 (0.0)	5 (▲ 50.0)	5 (▲ 44.4)	10 (25.0)	9 (▲ 10.0)
Designs	Appeals against Examiner's Decision of Refusal	46 (84.0)	35 (40.0)	51 (30.8)	17 (▲ 37.0)	50 (47.1)	25 (▲ 37.5)	383 (6.4)	293 (11.0)	360 (▲ 1.4)	378 (▲ 6.2)
	Trials for Invalidation	1 (▲ 75.0)	1 (▲ 50.0)	1 (0.0)	2 (100.0)	0 (▲ 100.0)	1 (▲ 66.7)	11 (▲ 57.7)	9 (▲ 55.0)	26 (136.4)	22 (69.2)
	Hantei (Advisory Opinion)	1 (▲ 50.0)	2 (100.0)	2 (0.0)	0 (0.0)	2 (100.0)	0 (0.0)	10 (42.9)	8 (33.3)	7 (40.0)	8 (33.3)
	Total	48 (54.8)	38 (35.7)	54 (31.7)	19 (▲ 32.1)	52 (30.0)	26 (▲ 39.5)	404 (2.8)	310 (6.9)	393 (3.1)	408 (▲ 3.3)

(5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2017 6	7	8	9	10	11	2017 1 - 11	2017/4 - 11 Cum Sum of FY2017	2016 1 - 11	2016/4 - 2017/3 Total of FY2016
Trademarks	Appeals against Examiner's Decision of Refusal	105 (250.0)	76 (33.3)	63 (10.5)	64 (77.8)	50 (▲ 2.0)	72 (63.6)	616 (31.3)	511 (56.7)	469 (▲ 41.6)	476 (▲ 41.4)
	Trials for Invalidation	11 (175.0)	12 (100.0)	9 (80.0)	6 (0.0)	7 (0.0)	3 (▲ 72.7)	75 (▲ 1.3)	56 (12.0)	76 (▲ 21.6)	85 (▲ 17.5)
	Trials for Cancellation	112 (8.7)	92 (58.6)	94 (▲ 1.1)	95 (48.4)	74 (▲ 28.8)	54 (▲ 12.9)	900 (3.4)	663 (2.6)	870 (▲ 2.0)	971 (1.5)
	Hantei (Advisory Opinion)	2 (0.0)	0 (0.0)	1 (0.0)	1 (▲ 66.7)	1 (0.0)	0 (0.0)	8 (60.0)	5 (25.0)	5 (150.0)	8 (300.0)
	Oppositions	42 (35.5)	26 (▲ 44.7)	31 (▲ 54.4)	30 (▲ 33.3)	47 (27.0)	31 (▲ 8.8)	402 (▲ 2.4)	282 (▲ 13.2)	412 (0.7)	486 (14.1)
	Total	272 (61.9)	206 (22.6)	198 (▲ 12.4)	196 (27.3)	179 (▲ 10.1)	160 (6.0)	2,001 (9.2)	1,517 (12.3)	1,832 (▲ 16.7)	2,026 (▲ 11.9)
Total	Appeals against Examiner's Decision of Refusal	1,827 (▲ 2.7)	1,683 (3.3)	1,539 (▲ 5.9)	1,578 (▲ 3.5)	1,678 (8.7)	1,655 (▲ 1.2)	17,888 (▲ 0.6)	13,302 (0.4)	17,997 (▲ 15.6)	19,628 (▲ 10.4)
	Trials for Invalidation	23 (▲ 8.0)	28 (21.7)	32 (60.0)	18 (5.9)	16 (▲ 23.8)	10 (▲ 47.4)	234 (▲ 2.9)	169 (▲ 1.2)	241 (▲ 27.0)	263 (▲ 9.6)
	Hantei (Advisory Opinion)	4 (▲ 50.0)	3 (50.0)	8 (▲ 20.0)	3 (▲ 40.0)	10 (400.0)	1 (▲ 66.7)	52 (0.0)	37 (▲ 14.0)	52 (44.4)	116 (213.5)
	Trials for Correction (Patents & Utility Models)	21 (31.3)	15 (15.4)	10 (▲ 37.5)	16 (100.0)	14 (▲ 17.6)	19 (90.0)	135 (▲ 10.6)	109 (4.8)	151 (16.2)	142 (▲ 16.0)
	Trials for Cancellation (Trademarks)	112 (8.7)	92 (58.6)	94 (▲ 1.1)	95 (48.4)	74 (▲ 28.8)	54 (▲ 12.9)	900 (3.4)	663 (2.6)	870 (▲ 2.0)	971 (1.5)
	Oppositions (Patents & Trademarks)	160 (29.0)	129 (4.0)	108 (▲ 53.4)	152 (▲ 26.9)	148 (8.8)	149 (16.4)	1,653 (1.0)	1,175 (▲ 6.3)	1,636 (143.8)	1,883 (69.6)
	Total	2,147 (▲ 0.3)	1,950 (5.5)	1,791 (▲ 10.8)	1,862 (▲ 3.9)	1,940 (6.4)	1,888 (▲ 0.5)	20,862 (▲ 0.4)	15,455 (▲ 0.0)	20,947 (▲ 10.4)	23,003 (▲ 6.0)

(6) The Number of Registrations

The percentages in the brackets () indicate the increase/decrease from the previous year.

Year/Month		2017 6	7	8	9	10	11	2017 1 - 11	2017/4 - 11 Cum Sum of FY2017	2016 1 - 11	2016/4 - 2017/3 Total of FY2016
The Number of Registrations	Patents	18,084 (16.2)	15,442 (▲ 25.7)	14,447 (▲ 7.5)	19,765 (▲ 4.8)	15,620 (▲ 7.3)	15,698 (▲ 2.2)	184,125 (▲ 1.4)	129,280 (▲ 8.5)	186,668 (7.5)	212,590 (14.1)
	Utility Models	451 (▲ 19.8)	495 (▲ 5.2)	587 (▲ 14.4)	503 (1.0)	442 (▲ 9.6)	539 (2.9)	5,647 (▲ 4.8)	4,080 (▲ 3.3)	5,934 (▲ 3.2)	6,149 (▲ 9.8)
	Designs	2,709 (35.2)	2,066 (▲ 21.5)	1,982 (▲ 7.1)	2,828 (13.7)	2,402 (3.4)	1,895 (4.5)	25,106 (7.8)	17,999 (1.3)	23,291 (▲ 4.3)	26,934 (4.8)
	Trademarks	11,412 (36.9)	8,792 (▲ 17.7)	8,292 (10.5)	10,501 (5.0)	8,451 (8.4)	7,956 (▲ 13.9)	102,478 (7.3)	70,532 (▲ 3.3)	95,529 (5.7)	114,580 (22.9)
	National Applications	10,155 (35.3)	7,753 (▲ 14.1)	7,440 (12.3)	9,410 (9.4)	7,422 (10.9)	7,149 (▲ 12.5)	90,633 (7.1)	62,391 (▲ 3.7)	84,605 (6.0)	101,598 (23.7)
	International Applications	1,257 (52.4)	1,039 (▲ 37.4)	852 (▲ 2.9)	1,091 (▲ 21.8)	1,029 (▲ 6.6)	807 (▲ 24.3)	11,845 (8.4)	8,141 (▲ 0.3)	10,924 (3.5)	12,982 (17.5)
	Total	32,656 (23.4)	26,795 (▲ 22.6)	25,308 (▲ 2.5)	33,597 (▲ 0.4)	26,915 (▲ 2.0)	26,088 (▲ 5.6)	317,356 (1.9)	221,891 (▲ 6.1)	311,422 (5.7)	360,253 (15.4)
Class Counts of Trademark Registration	18,138 (33.5)	14,006 (▲ 10.9)	13,032 (6.9)	17,366 (9.3)	13,845 (14.3)	12,836 (▲ 11.6)	165,798 (10.7)	114,279 (▲ 1.9)	149,777 (4.7)	183,109 (25.3)	
Trademark Application for Renewal	11,197 (19.4)	9,918 (21.2)	10,883 (10.0)	8,359 (5.9)	11,253 (43.8)	7,902 (▲ 16.0)	114,514 (19.0)	84,382 (8.5)	96,211 (16.1)	116,855 (37.1)	