

# Preliminary Statistical Data on Filing Applications and Requests

Created on April 17, 2018

From September 2017 through February 2018

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

Year/Month	2017 9	10	11	12	2018 1	2	2018 1 - 2	2017/4 - 2018/2 Cum Sum of FY2017	2017 1 - 2	2016/4 - 2017/3 Total of FY2016
Patents & Utility Models	30,431 (▲ 2.8)	24,593 (3.3)	25,729 (1.4)	30,326 (▲ 0.2)	21,181 (▲ 1.8)	25,204 (▲ 6.5)	46,385 (▲ 4.4)	280,371 (▲ 1.2)	48,512 (▲ 0.2)	325,940 (0.5)
Patents	29,957 (▲ 2.6)	24,100 (3.4)	25,261 (1.4)	29,839 (0.0)	20,777 (▲ 1.5)	24,825 (▲ 6.1)	45,602 (▲ 4.0)	275,040 (▲ 1.1)	47,521 (▲ 0.2)	319,508 (0.6)
Utility Models	474 (▲ 13.0)	493 (▲ 0.2)	468 (0.6)	487 (▲ 14.3)	404 (▲ 14.6)	379 (▲ 26.8)	783 (▲ 21.0)	5,331 (▲ 9.1)	991 (▲ 1.1)	6,432 (▲ 5.0)
Designs	2,890 (▲ 0.1)	2,842 (11.5)	2,648 (9.5)	3,083 (9.0)	2,095 (5.6)	2,489 (▲ 0.8)	4,584 (2.0)	28,852 (5.1)	4,493 (▲ 8.6)	30,658 (0.8)
National Applications	2,762 (4.5)	2,683 (12.5)	2,441 (7.3)	2,809 (6.6)	2,021 (8.5)	2,330 (0.6)	4,351 (4.2)	26,941 (5.3)	4,177 (▲ 9.0)	28,551 (▲ 3.1)
Trademarks	16,342 (30.7)	14,974 (▲ 7.5)	16,346 (4.5)	14,642 (11.9)	14,725 (33.4)	13,003 (▲ 28.9)	27,728 (▲ 5.4)	169,986 (13.9)	29,321 (17.3)	168,549 (8.6)
National Applications	14,907 (35.5)	13,448 (▲ 8.8)	14,650 (2.5)	13,388 (17.8)	13,710 (35.6)	11,603 (▲ 32.5)	25,313 (▲ 7.3)	153,495 (11.8)	27,296 (20.5)	155,378 (12.0)
Total Number	49,663 (6.3)	42,409 (▲ 0.3)	44,723 (3.0)	48,051 (3.7)	38,001 (9.9)	40,696 (▲ 14.8)	78,697 (▲ 4.4)	479,209 (4.1)	82,326 (4.9)	525,147 (2.9)
Trademarks Class Counts	45,545 (76.5)	38,574 (▲ 29.0)	46,347 (▲ 15.1)	31,606 (20.5)	52,753 (120.3)	21,344 (▲ 63.7)	74,097 (▲ 10.5)	457,435 (18.1)	82,793 (30.9)	447,164 (28.3)

▪ The total number represents the sum of the numbers of each type of applications, excluding the Trademark class

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

		2017 9	10	11	12	2018 1	2	2018 1 - 2	2017/4 - 2018/2 Cum Sum of FY2017	2017 1 - 2	2016/4 - 2017/3 Total of FY2016
Patents	RO	4,266 (5.1)	3,693 (5.3)	3,764 (6.1)	4,192 (10.4)	3,330 (▲ 5.0)	4,347 (▲ 4.7)	7,677 (▲ 4.8)	41,226 (3.6)	8,068 (11.4)	45,597 (4.4)
	DO	5,715 (▲ 1.0)	4,980 (5.8)	5,236 (2.5)	5,758 (3.2)	4,611 (▲ 0.0)	4,823 (▲ 2.3)	9,434 (▲ 1.2)	56,605 (1.8)	9,552 (6.6)	61,576 (1.9)
Designs	OO	3 (▲ 40.0)	2 (▲ 71.4)	2 (▲ 50.0)	3 (▲ 25.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (▲ 100.0)	26 (▲ 36.6)	5 (0.0)	43 (48.3)
	DO	128 (▲ 48.8)	159 (▲ 4.2)	207 (43.8)	274 (41.2)	74 (▲ 39.3)	159 (▲ 18.0)	233 (▲ 26.3)	1,911 (1.4)	316 (▲ 1.9)	2,107 (118.1)
Trademarks	OO	248 (5.5)	220 (8.4)	261 (33.8)	241 (▲ 4.4)	235 (50.6)	203 (▲ 11.7)	438 (13.5)	2,335 (5.4)	386 (12.5)	2,438 (12.0)
	DO	1,435 (▲ 4.8)	1,526 (5.0)	1,696 (26.0)	1,254 (▲ 27.2)	1,015 (9.7)	1,400 (27.3)	2,415 (19.3)	16,491 (38.1)	2,025 (▲ 13.6)	13,171 (▲ 20.5)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models. The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs. The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

## (3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2017 9	10	11	12	2018 1	2	2018 1 - 2	2017/4 - 2018/2 Cum Sum of FY2017	2017 1 - 2	2016/4 - 2017/3 Total of FY2016
Request for Patent Examination		20,634 (▲ 6.5)	18,202 (0.7)	19,119 (0.5)	20,039 (1.9)	18,187 (▲ 7.3)	21,561 (▲ 2.9)	39,748 (▲ 5.0)	210,457 (▲ 1.9)	41,824 (▲ 1.2)	242,033 (0.5)
	Year-on-Year	22,063 (4.7)	18,069 (▲ 4.2)	19,028 (0.9)	19,670 (▲ 3.2)	19,617 (4.8)	22,207 (▲ 6.0)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		20 (▲ 23.1)	24 (▲ 14.3)	28 (47.4)	25 (▲ 13.8)	25 (4.2)	17 (0.0)	42 (2.4)	273 (▲ 4.5)	41 (▲ 33.9)	309 (▲ 23.7)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2017 9	10	11	12	2018 1	2	2018 1 - 2	2017/4 - 2018/2 Cum Sum of FY2017	2017 1 - 2	2016/4 - 2017/3 Total of FY2016
Patents	Appeals against Examiner's Decision of Refusal	1,497 (▲ 4.8)	1,578 (8.2)	1,558 (▲ 2.1)	1,702 (▲ 1.6)	1,250 (▲ 6.7)	1,466 (▲ 3.9)	2,716 (▲ 5.2)	16,916 (▲ 1.9)	2,866 (▲ 2.5)	18,774 (▲ 9.3)
	Trials for Invalidation	9 (0.0)	9 (0.0)	5 (0.0)	17 (54.5)	9 (▲ 40.0)	17 (70.0)	26 (4.0)	143 (11.7)	25 (▲ 7.4)	147 (▲ 11.4)
	Trials for Correction	16 (100.0)	14 (▲ 17.6)	19 (90.0)	25 (108.3)	21 (200.0)	18 (100.0)	39 (143.8)	173 (31.1)	16 (▲ 44.8)	142 (▲ 16.0)
	Hantei (Advisory Opinion)	2 (0.0)	7 (600.0)	1 (▲ 66.7)	3 (▲ 94.7)	2 (▲ 71.4)	2 (100.0)	4 (▲ 50.0)	30 (▲ 69.4)	8 (60.0)	100 (257.1)
	Oppositions	122 (▲ 25.2)	101 (2.0)	118 (25.5)	120 (9.1)	89 (2.3)	114 (▲ 16.8)	203 (▲ 9.4)	1,216 (▲ 3.7)	224 (13.1)	1,397 (104.2)
	Total	1,646 (▲ 6.2)	1,709 (7.9)	1,701 (▲ 0.1)	1,867 (▲ 2.8)	1,371 (▲ 5.8)	1,617 (▲ 3.9)	2,988 (▲ 4.8)	18,478 (▲ 2.1)	3,139 (▲ 1.9)	20,560 (▲ 5.4)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	1 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	4 (▲ 55.6)	0 (▲ 100.0)	9 (0.0)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	0 (▲ 100.0)
	Total	1 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	5 (▲ 44.4)	0 (▲ 100.0)	9 (▲ 10.0)
Designs	Appeals against Examiner's Decision of Refusal	17 (▲ 37.0)	50 (47.1)	25 (▲ 37.5)	17 (▲ 29.2)	48 (60.0)	26 (▲ 18.8)	74 (19.4)	384 (9.7)	62 (▲ 12.7)	378 (▲ 6.2)
	Trials for Invalidation	2 (100.0)	0 (▲ 100.0)	1 (▲ 66.7)	3 (0.0)	1 (0.0)	2 (100.0)	3 (200.0)	15 (▲ 28.6)	1 (0.0)	22 (69.2)
	Hantei (Advisory Opinion)	0 (0.0)	2 (100.0)	0 (0.0)	1 (0.0)	0 (▲ 100.0)	1 (0.0)	1 (▲ 50.0)	10 (25.0)	2 (100.0)	8 (33.3)
	Total	19 (▲ 32.1)	52 (30.0)	26 (▲ 39.5)	21 (▲ 12.5)	49 (58.1)	29 (▲ 14.7)	78 (20.0)	409 (7.9)	65 (▲ 9.7)	408 (▲ 3.3)

### (5) The Number of Requests for Trials and Appeals (Trademarks, Total)

Year/Month		2017 9	10	11	12	2018 1	2	2018 1 - 2	2017/4 - 2018/2 Cum Sum of FY2017	2017 1 - 2	2016/4 - 2017/3 Total of FY2016
Trademarks	Appeals against Examiner's Decision of Refusal	64 (77.8)	50 (▲ 2.0)	72 (63.6)	56 (24.4)	70 (105.9)	75 (78.6)	145 (90.8)	712 (59.3)	76 (▲ 28.3)	476 (▲ 41.4)
	Trials for Invalidation	6 (0.0)	7 (0.0)	3 (▲ 72.7)	17 (6.3)	3 (▲ 25.0)	8 (0.0)	11 (▲ 8.3)	84 (7.7)	12 (▲ 14.3)	85 (▲ 17.5)
	Trials for Cancellation	95 (48.4)	74 (▲ 28.8)	54 (▲ 12.9)	101 (14.8)	51 (▲ 30.1)	64 (▲ 7.2)	115 (▲ 19.0)	879 (0.3)	142 (▲ 2.7)	971 (1.5)
	Hantei (Advisory Opinion)	1 (▲ 66.7)	1 (0.0)	0 (0.0)	0 (▲ 100.0)	1 (▲ 50.0)	0 (▲ 100.0)	1 (▲ 66.7)	6 (▲ 25.0)	3 (0.0)	8 (300.0)
	Oppositions	30 (▲ 33.3)	47 (27.0)	31 (▲ 8.8)	32 (▲ 22.0)	32 (0.0)	21 (▲ 54.3)	53 (▲ 32.1)	367 (▲ 17.3)	78 (36.8)	486 (14.1)
	Total	196 (27.3)	179 (▲ 10.1)	160 (6.0)	206 (7.9)	157 (8.3)	168 (1.2)	325 (4.5)	2,048 (10.5)	311 (▲ 3.7)	2,026 (▲ 11.9)
Total	Appeals against Examiner's Decision of Refusal	1,578 (▲ 3.5)	1,678 (8.7)	1,655 (▲ 1.2)	1,775 (▲ 1.3)	1,368 (▲ 2.6)	1,567 (▲ 2.1)	2,935 (▲ 2.3)	18,012 (▲ 0.2)	3,004 (▲ 3.6)	19,628 (▲ 10.4)
	Trials for Invalidation	18 (5.9)	16 (▲ 23.8)	10 (▲ 47.4)	37 (37.0)	13 (▲ 31.6)	27 (42.1)	40 (5.3)	246 (4.2)	38 (▲ 9.5)	263 (▲ 9.6)
	Hantei (Advisory Opinion)	3 (▲ 40.0)	10 (400.0)	1 (▲ 66.7)	4 (▲ 93.1)	3 (▲ 70.0)	3 (0.0)	6 (▲ 53.8)	47 (▲ 58.8)	13 (116.7)	116 (213.5)
	Trials for Correction (Patents & Utility Models)	16 (100.0)	14 (▲ 17.6)	19 (90.0)	25 (108.3)	21 (200.0)	18 (100.0)	39 (143.8)	173 (31.1)	16 (▲ 44.8)	142 (▲ 16.0)
	Trials for Cancellation (Trademarks)	95 (48.4)	74 (▲ 28.8)	54 (▲ 12.9)	101 (14.8)	51 (▲ 30.1)	64 (▲ 7.2)	115 (▲ 19.0)	879 (0.3)	142 (▲ 2.7)	971 (1.5)
	Oppositions (Patents & Trademarks)	152 (▲ 26.9)	148 (8.8)	149 (16.4)	152 (0.7)	121 (1.7)	135 (▲ 26.2)	256 (▲ 15.2)	1,583 (▲ 7.3)	302 (18.4)	1,883 (69.6)
	Total	1,862 (▲ 3.9)	1,940 (6.4)	1,888 (▲ 0.5)	2,094 (▲ 1.9)	1,577 (▲ 3.4)	1,814 (▲ 3.7)	3,391 (▲ 3.5)	20,940 (▲ 0.8)	3,515 (▲ 2.2)	23,003 (▲ 6.0)

## (6) The Number of Registrations

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2017 9	10	11	12	2018 1	2	2018 1 - 2	2017/4 - 2018/2 Cum Sum of FY2017	2017 1 - 2	2016/4 - 2017/3 Total of FY2016
The Number of Registrations	Patents	19,765 (▲ 4.8)	15,620 (▲ 7.3)	15,698 (▲ 2.2)	15,452 (▲ 5.9)	17,354 (▲ 7.4)	14,352 (▲ 4.6)	31,706 (▲ 6.2)	176,438 (▲ 7.9)	33,790 (11.5)	212,590 (14.1)
	Utility Models	503 (1.0)	442 (▲ 9.6)	539 (2.9)	377 (3.9)	554 (79.9)	417 (▲ 37.4)	971 (▲ 0.3)	5,428 (▲ 2.3)	974 (▲ 7.1)	6,149 (▲ 9.8)
	Designs	2,828 (13.7)	2,402 (3.4)	1,895 (4.5)	2,229 (8.6)	2,443 (8.0)	2,006 (▲ 8.9)	4,449 (▲ 0.3)	24,677 (1.6)	4,463 (15.3)	26,934 (4.8)
	Trademarks	10,501 (5.0)	8,451 (8.4)	7,956 (▲ 13.9)	8,702 (▲ 10.1)	8,830 (▲ 9.4)	8,504 (▲ 18.3)	17,334 (▲ 14.0)	96,568 (▲ 6.1)	20,156 (27.6)	114,580 (22.9)
	National Applications	9,410 (9.4)	7,422 (10.9)	7,149 (▲ 12.5)	7,784 (▲ 9.1)	8,134 (▲ 6.5)	7,452 (▲ 18.0)	15,586 (▲ 12.4)	85,761 (▲ 5.9)	17,786 (27.2)	101,598 (23.7)
	International Applications	1,091 (▲ 21.8)	1,029 (▲ 6.6)	807 (▲ 24.3)	918 (▲ 17.7)	696 (▲ 33.8)	1,052 (▲ 20.2)	1,748 (▲ 26.2)	10,807 (▲ 7.2)	2,370 (30.6)	12,982 (17.5)
	Total	33,597 (▲ 0.4)	26,915 (▲ 2.0)	26,088 (▲ 5.6)	26,760 (▲ 6.1)	29,181 (▲ 6.1)	25,279 (▲ 10.7)	54,460 (▲ 8.3)	303,111 (▲ 6.5)	59,383 (16.4)	360,253 (15.4)
Class Counts of Trademark Registration	17,366 (9.3)	13,845 (14.3)	12,836 (▲ 11.6)	14,489 (▲ 4.3)	15,660 (2.0)	13,419 (▲ 17.1)	29,079 (▲ 7.8)	157,847 (▲ 3.2)	31,547 (31.3)	183,109 (25.3)	
Trademark Application for Renewal	8,359 (5.9)	11,253 (43.8)	7,902 (▲ 16.0)	7,653 (▲ 14.2)	9,117 (▲ 5.1)	8,328 (▲ 18.3)	17,445 (▲ 11.9)	109,480 (2.8)	19,800 (52.4)	116,855 (37.1)	