

# Preliminary Statistical Data on Filing Applications and Requests

Created on June 30,2020

From November 2019 through April 2020

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

Year/Month	2019 11	12	2020 1	2	3	4	2020 1 - 4	2019/4 - 2020/3 Cum Sum of FY2019	2019 1 - 4	2018/4 - 2019/3 Total of FY2018
Patents & Utility Models	24,453 (▲ 6.4)	29,291 (1.2)	21,437 (▲ 2.1)	24,213 (▲ 6.8)	37,534 (▲ 3.3)	21,192 (▲ 11.4)	104,376 (▲ 5.6)	309,703 (▲ 2.7)	110,623 (0.3)	318,371 (▲ 0.9)
Patents	24,051 (▲ 6.2)	28,812 (1.3)	21,052 (▲ 2.1)	23,809 (▲ 6.9)	37,055 (▲ 3.4)	20,789 (▲ 11.6)	102,705 (▲ 5.7)	304,438 (▲ 2.7)	108,954 (0.4)	313,028 (▲ 0.8)
Utility Models	402 (▲ 12.8)	479 (▲ 2.8)	385 (▲ 4.2)	404 (▲ 2.4)	479 (11.9)	403 (▲ 5.2)	1,671 (0.1)	5,265 (▲ 1.5)	1,669 (▲ 0.7)	5,343 (▲ 8.5)
Designs	2,552 (5.9)	2,903 (0.7)	2,306 (6.6)	2,294 (▲ 2.4)	2,514 (▲ 14.1)	2,859 (▲ 2.5)	9,973 (▲ 3.9)	31,162 (0.5)	10,373 (▲ 0.5)	31,008 (▲ 3.4)
National Applications	2,267 (3.9)	2,624 (▲ 7.1)	2,000 (▲ 3.9)	2,093 (▲ 8.3)	2,291 (▲ 17.0)	2,598 (▲ 6.5)	8,982 (▲ 9.3)	28,678 (▲ 1.0)	9,903 (1.6)	28,969 (▲ 3.1)
Trademarks	12,667 (▲ 11.5)	20,617 (12.1)	13,741 (▲ 38.8)	12,619 (▲ 11.3)	14,843 (▲ 3.9)	14,150 (▲ 32.7)	55,353 (▲ 24.3)	179,847 (▲ 5.6)	73,142 (14.9)	190,452 (1.1)
National Applications	11,214 (▲ 8.7)	19,125 (12.0)	12,137 (▲ 41.1)	10,940 (▲ 11.9)	13,353 (▲ 3.1)	12,500 (▲ 36.2)	48,930 (▲ 26.3)	160,959 (▲ 6.0)	66,379 (12.9)	171,160 (0.4)
Total Number	39,672 (▲ 7.4)	52,811 (5.2)	37,484 (▲ 19.5)	39,126 (▲ 8.0)	54,891 (▲ 4.0)	38,201 (▲ 20.2)	169,702 (▲ 12.6)	520,712 (▲ 3.5)	194,138 (5.3)	539,831 (▲ 0.4)
Trademarks Class Counts	21,470 (▲ 7.8)	85,339 (29.8)	28,604 (▲ 74.2)	21,130 (▲ 12.4)	26,435 (▲ 6.1)	28,294 (▲ 63.9)	104,463 (▲ 56.8)	419,404 (▲ 15.5)	241,655 (21.5)	496,533 (▲ 5.0)

▪ The total number represents the sum of the numbers of each type of applications, excluding the Trademark class counts.

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2019 11	12	2020 1	2	3	4	2020 1 - 4	2019/4 - 2020/3 Cum Sum of FY2019	2019 1 - 4	2018/4 - 2019/3 Total of FY2018
Patents	RO	3,971 (7.6)	4,722 (9.8)	3,844 (8.2)	4,723 (6.7)	6,412 (▲ 0.3)	3,386 (▲ 10.3)	18,365 (1.0)	52,223 (6.6)	18,183 (4.7)	48,984 (2.9)
	DO	5,838 (3.5)	6,201 (9.9)	5,038 (4.8)	5,136 (1.7)	6,077 (5.3)	5,355 (▲ 9.3)	21,606 (0.3)	67,780 (5.0)	21,537 (5.4)	64,560 (3.4)
Designs	OO	0 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	5 (▲ 28.6)	2 (0.0)	8 (▲ 33.3)	21 (▲ 48.8)	12 (71.4)	41 (46.4)
	DO	285 (25.6)	279 (372.9)	306 (277.8)	201 (195.6)	223 (32.0)	261 (71.7)	991 (110.9)	2,484 (21.8)	470 (▲ 31.0)	2,039 (▲ 8.1)
Trademarks	OO	283 (▲ 10.4)	298 (▲ 6.6)	271 (45.7)	231 (▲ 8.0)	301 (▲ 2.0)	205 (▲ 14.6)	1,008 (2.4)	3,198 (▲ 0.1)	984 (2.9)	3,200 (22.8)
	DO	1,453 (▲ 28.6)	1,492 (13.5)	1,604 (▲ 13.8)	1,679 (▲ 7.2)	1,490 (▲ 10.5)	1,650 (15.5)	6,423 (▲ 5.0)	18,888 (▲ 2.1)	6,763 (38.7)	19,292 (7.7)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

## (3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2019 11	12	2020 1	2	3	4	2020 1 - 4	2019/4 - 2020/3 Cum Sum of FY2019	2019 1 - 4	2018/4 - 2019/3 Total of FY2018
Request for Patent Examination		18,231 (▲ 7.6)	20,797 (5.6)	18,620 (▲ 1.4)	20,628 (3.3)	22,844 (2.5)	16,651 (▲ 16.4)	78,743 (▲ 2.8)	236,138 (2.3)	81,051 (▲ 0.3)	230,922 (▲ 1.8)
	Year-on-Year	19,720 (3.1)	19,693 (▲ 1.7)	18,877 (3.4)	19,962 (▲ 7.6)	22,297 (▲ 9.7)	19,915 (19.0)	- -	- -	- -	- -
Report of Utility Model Technical Opinion		17 (▲ 22.7)	27 (▲ 18.2)	22 (▲ 26.7)	20 (▲ 16.7)	13 (▲ 50.0)	12 (▲ 36.8)	67 (▲ 32.3)	264 (▲ 13.2)	99 (20.7)	304 (1.3)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2019 11	12	2020 1	2	3	4	2020 1 - 4	2019/4 - 2020/3 Cum Sum of FY2019	2019 1 - 4	2018/4 - 2019/3 Total of FY2018
Patents	Appeals against Examiner's Decision of Refusal	1,525 (5.0)	1,587 (4.1)	1,305 (1.3)	1,335 (▲ 3.7)	1,394 (10.1)	1,576 (5.3)	5,610 (3.2)	16,793 (2.1)	5,436 (▲ 2.1)	16,452 (▲ 9.7)
	Trials for Invalidation	13 (85.7)	9 (▲ 62.5)	8 (14.3)	13 (85.7)	13 (30.0)	9 (▲ 25.0)	43 (19.4)	122 (▲ 17.6)	36 (▲ 28.0)	148 (▲ 2.6)
	Trials for Correction	5 (▲ 78.3)	10 (▲ 16.7)	9 (▲ 30.8)	10 (▲ 52.4)	6 (▲ 25.0)	7 (▲ 36.4)	32 (▲ 39.6)	112 (▲ 38.5)	53 (▲ 29.3)	182 (▲ 7.6)
	Hantei (Advisory Opinion)	4 (0.0)	3 (▲ 50.0)	3 (0.0)	2 (▲ 50.0)	7 (0.0)	1 (0.0)	13 (85.7)	26 (4.0)	7 (▲ 36.4)	25 (▲ 24.2)
	Oppositions	124 (14.8)	108 (▲ 7.7)	64 (▲ 22.9)	84 (▲ 20.8)	88 (14.3)	108 (▲ 16.9)	344 (▲ 13.1)	1,134 (▲ 1.8)	396 (2.6)	1,155 (▲ 10.9)
	Total	1,671 (5.0)	1,717 (2.0)	1,389 (▲ 0.4)	1,444 (▲ 5.2)	1,508 (10.8)	1,701 (3.2)	6,042 (1.9)	18,187 (1.3)	5,928 (▲ 2.4)	17,962 (▲ 9.8)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)	1 (▲ 50.0)	1 (▲ 75.0)	2 (0.0)	4 (0.0)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	1 (0.0)	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	2 (0.0)	0 (0.0)	0 (▲ 100.0)
	Total	1 (0.0)	1 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)	1 (▲ 50.0)	3 (▲ 25.0)	2 (0.0)	4 (▲ 20.0)
Designs	Appeals against Examiner's Decision of Refusal	29 (314.3)	38 (90.0)	40 (150.0)	30 (▲ 18.9)	23 (▲ 8.0)	33 (37.5)	126 (23.5)	404 (45.8)	102 (▲ 15.7)	277 (▲ 31.4)
	Trials for Invalidation	0 (0.0)	1 (0.0)	1 (0.0)	0 (0.0)	0 (0.0)	2 (0.0)	3 (200.0)	6 (▲ 53.8)	1 (▲ 75.0)	13 (▲ 13.3)
	Hantei (Advisory Opinion)	0 (0.0)	4 (300.0)	0 (0.0)	1 (0.0)	2 (0.0)	0 (▲ 100.0)	3 (▲ 40.0)	16 (300.0)	5 (150.0)	4 (▲ 63.6)
	Total	29 (314.3)	43 (95.5)	41 (141.2)	31 (▲ 18.4)	25 (0.0)	35 (25.0)	132 (22.2)	426 (44.9)	108 (▲ 15.0)	294 (▲ 31.6)

**(5) The Number of Requests for Trials and Appeals (Trademarks, Total)**

Year/Month		2019 11	12	2020 1	2	3	4	2020 1 - 4	2019/4 - 2020/3 Cum Sum of FY2019	2019 1 - 4	2018/4 - 2019/3 Total of FY2018
Trademarks	Appeals against Examiner's Decision of Refusal	35 (▲ 38.6)	46 (▲ 31.3)	37 (▲ 22.9)	46 (▲ 19.3)	62 (▲ 6.1)	68 (▲ 26.9)	213 (▲ 19.3)	786 (1.4)	264 (▲ 15.4)	775 (▲ 3.2)
	Trials for Invalidation	12 (33.3)	13 (116.7)	9 (80.0)	18 (100.0)	11 (120.0)	1 (▲ 88.9)	39 (39.3)	107 (9.2)	28 (▲ 3.4)	98 (6.5)
	Trials for Cancellation	52 (▲ 36.6)	80 (▲ 23.8)	94 (40.3)	65 (▲ 20.7)	83 (▲ 15.3)	71 (▲ 31.1)	313 (▲ 10.6)	991 (▲ 10.4)	350 (36.7)	1,106 (16.4)
	Hantei (Advisory Opinion)	1 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	0 (▲ 100.0)	6 (0.0)	1 (▲ 66.7)	6 (▲ 25.0)
	Oppositions	33 (▲ 40.0)	26 (▲ 35.0)	29 (▲ 23.7)	31 (▲ 20.5)	36 (9.1)	30 (▲ 11.8)	126 (▲ 12.5)	375 (▲ 16.5)	144 (28.6)	449 (13.4)
	<b>Total</b>	133 (▲ 34.5)	165 (▲ 24.3)	169 (7.0)	160 (▲ 14.4)	192 (▲ 5.4)	170 (▲ 28.9)	691 (▲ 12.2)	2,265 (▲ 6.9)	787 (10.5)	2,434 (8.3)
Total	Appeals against Examiner's Decision of Refusal	1,589 (4.7)	1,671 (3.7)	1,382 (2.2)	1,411 (▲ 4.7)	1,479 (9.0)	1,677 (4.0)	5,949 (2.5)	17,983 (2.7)	5,802 (▲ 3.1)	17,504 (▲ 9.9)
	Trials for Invalidation	25 (47.1)	23 (▲ 25.8)	18 (38.5)	31 (72.2)	24 (60.0)	13 (▲ 38.1)	86 (28.4)	236 (▲ 10.3)	67 (▲ 19.3)	263 (0.0)
	Hantei (Advisory Opinion)	6 (0.0)	8 (14.3)	3 (0.0)	3 (▲ 40.0)	9 (800.0)	1 (▲ 75.0)	16 (23.1)	50 (42.9)	13 (▲ 18.8)	35 (▲ 34.0)
	Trials for Correction (Patents & Utility Models)	5 (▲ 78.3)	10 (▲ 16.7)	9 (▲ 30.8)	10 (▲ 52.4)	6 (▲ 25.0)	7 (▲ 36.4)	32 (▲ 39.6)	112 (▲ 38.5)	53 (▲ 29.3)	182 (▲ 7.6)
	Trials for Cancellation (Trademarks)	52 (▲ 36.6)	80 (▲ 23.8)	94 (40.3)	65 (▲ 20.7)	83 (▲ 15.3)	71 (▲ 31.1)	313 (▲ 10.6)	991 (▲ 10.4)	350 (36.7)	1,106 (16.4)
	Oppositions (Patents & Trademarks)	157 (▲ 3.7)	134 (▲ 14.6)	93 (▲ 23.1)	115 (▲ 20.7)	124 (12.7)	138 (▲ 15.9)	470 (▲ 13.0)	1,509 (▲ 5.9)	540 (8.4)	1,604 (▲ 5.2)
	<b>Total</b>	1,834 (1.8)	1,926 (0.2)	1,599 (1.9)	1,635 (▲ 6.6)	1,725 (8.6)	1,907 (▲ 0.5)	6,866 (0.6)	20,881 (0.9)	6,825 (▲ 1.3)	20,694 (▲ 8.4)

## (6) The Number of Registrations

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2019 11	12	2020 1	2	3	4	2020 1 - 4	2019/4 - 2020/3 Cum Sum of FY2019	2019 1 - 4	2018/4 - 2019/3 Total of FY2018
The Number of Registrations	Patents	16,690 (▲ 9.0)	14,801 (▲ 4.8)	16,155 (23.6)	14,288 (5.9)	15,831 (▲ 15.6)	13,842 (▲ 9.7)	60,116 (▲ 0.9)	180,859 (▲ 4.4)	60,656 (▲ 8.7)	189,241 (▲ 3.1)
	Utility Models	389 (7.5)	362 (▲ 12.1)	403 (▲ 12.8)	387 (16.2)	348 (▲ 12.8)	293 (▲ 25.6)	1,431 (▲ 9.9)	4,977 (▲ 2.7)	1,588 (▲ 12.0)	5,116 (▲ 12.4)
	Designs	2,673 (3.2)	2,087 (0.3)	2,290 (35.3)	2,087 (▲ 1.4)	2,573 (▲ 15.7)	2,333 (▲ 7.5)	9,283 (▲ 1.1)	27,644 (1.0)	9,384 (▲ 1.5)	27,371 (0.1)
	Trademarks	11,186 (▲ 1.4)	9,422 (7.1)	10,323 (52.7)	10,315 (28.5)	11,095 (5.2)	8,827 (8.6)	40,560 (21.2)	116,260 (2.3)	33,463 (▲ 9.3)	113,649 (5.8)
	National Applications	10,263 (0.8)	8,867 (11.9)	9,521 (55.7)	9,467 (30.1)	10,281 (6.3)	7,944 (9.3)	37,213 (22.7)	106,858 (4.0)	30,330 (▲ 8.1)	102,761 (7.7)
	International Applications	923 (▲ 20.2)	555 (▲ 36.1)	802 (24.1)	848 (13.7)	814 (▲ 7.3)	883 (2.3)	3,347 (6.8)	9,402 (▲ 13.6)	3,133 (▲ 19.1)	10,888 (▲ 9.6)
	Total	30,938 (▲ 5.2)	26,672 (▲ 0.6)	29,171 (32.7)	27,077 (13.0)	29,847 (▲ 8.9)	25,295 (▲ 4.1)	111,390 (6.0)	329,740 (▲ 1.7)	105,091 (▲ 8.3)	335,377 (▲ 0.2)
Class Counts of Trademark Registration	18,824 (▲ 0.3)	16,909 (13.2)	18,123 (59.6)	18,053 (25.7)	20,574 (7.3)	14,972 (8.2)	71,722 (22.1)	198,919 (4.4)	58,732 (▲ 4.7)	190,514 (8.4)	
Trademark Application for Renewal	6,646 (▲ 18.9)	7,154 (▲ 13.3)	9,627 (3.6)	7,586 (▲ 14.7)	9,175 (▲ 6.0)	7,800 (▲ 17.0)	34,188 (▲ 8.5)	104,307 (▲ 3.9)	37,347 (6.7)	108,518 (▲ 8.1)	