

# Preliminary Statistical Data on Filing Applications and Requests

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From September 2020 through February 2021

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

Year/Month	2020 9	10	11	12	2021 1	2	2020 1 - 12	2020/4 - 2021/2 Cum Sum of FY2020	2019 1 - 12	2019/4 - 2020/3 Total of FY2019
Patents & Utility Models	27,123 (▲ 4.9)	23,083 (▲ 4.3)	21,896 (▲ 10.5)	27,616 (▲ 5.7)	19,626 (▲ 8.4)	22,807 (▲ 5.8)	294,490 (▲ 6.0)	253,733 (▲ 6.8)	313,210 (▲ 1.8)	309,709 (▲ 2.7)
Patents	26,547 (▲ 5.5)	22,593 (▲ 4.6)	21,435 (▲ 10.9)	27,035 (▲ 6.2)	19,263 (▲ 8.5)	22,469 (▲ 5.6)	288,472 (▲ 6.3)	248,288 (▲ 7.1)	307,969 (▲ 1.8)	304,438 (▲ 2.7)
Utility Models	576 (34.0)	490 (8.6)	461 (14.7)	581 (21.3)	363 (▲ 6.0)	338 (▲ 16.3)	6,018 (14.8)	5,445 (13.7)	5,241 (▲ 2.8)	5,271 (▲ 1.3)
Designs	2,782 (4.0)	2,901 (13.0)	2,341 (▲ 8.3)	3,028 (4.3)	2,434 (5.6)	2,456 (7.1)	31,752 (0.8)	29,528 (3.1)	31,489 (0.3)	31,162 (0.5)
National Applications	2,535 (▲ 0.2)	2,604 (7.4)	2,179 (▲ 3.9)	2,835 (8.0)	2,111 (5.6)	2,253 (7.6)	28,766 (▲ 2.2)	26,746 (1.4)	29,417 (0.9)	28,678 (▲ 1.0)
Trademarks	14,691 (15.0)	15,657 (13.2)	13,945 (10.1)	15,729 (▲ 23.7)	13,158 (▲ 4.2)	14,100 (11.7)	181,072 (▲ 5.1)	167,127 (1.3)	190,773 (3.4)	179,847 (▲ 5.6)
National Applications	13,394 (16.6)	13,994 (17.3)	12,695 (13.2)	14,004 (▲ 26.8)	11,840 (▲ 2.4)	12,561 (14.8)	163,148 (▲ 4.8)	151,119 (2.4)	171,323 (2.8)	160,959 (▲ 6.0)
Total Number	44,596 (1.4)	41,641 (2.8)	38,182 (▲ 3.8)	46,373 (▲ 12.2)	35,218 (▲ 6.0)	39,363 (0.6)	507,314 (▲ 5.3)	450,388 (▲ 3.3)	535,472 (0.1)	520,718 (▲ 3.5)
Trademarks Class Counts	24,222 (6.8)	27,050 (18.6)	23,644 (10.1)	26,063 (▲ 69.5)	21,434 (▲ 25.1)	17,887 (▲ 15.3)	382,590 (▲ 24.5)	345,740 (▲ 12.0)	506,597 (7.2)	419,406 (▲ 15.5)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class counts.

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2020 9	10	11	12	2021 1	2	2020 1 - 12	2020/4 - 2021/2 Cum Sum of FY2020	2019 1 - 12	2019/4 - 2020/3 Total of FY2019
Patents	RO	4,425 (1.7)	3,604 (▲ 15.9)	3,576 (▲ 9.9)	4,736 (0.3)	3,447 (▲ 10.3)	4,146 (▲ 12.2)	49,314 (▲ 4.5)	41,928 (▲ 8.5)	51,652 (6.2)	52,223 (6.6)
	DO	6,307 (4.5)	5,821 (9.3)	5,516 (▲ 5.5)	6,226 (0.4)	5,113 (1.5)	5,048 (▲ 1.7)	67,887 (1.1)	61,800 (0.2)	67,159 (4.6)	67,777 (5.0)
Designs	OO	5 (▲ 16.7)	0 (▲ 100.0)	0 (0.0)	1 (0.0)	1 (0.0)	0 (▲ 100.0)	17 (▲ 37.0)	12 (▲ 25.0)	27 (▲ 12.9)	21 (▲ 48.8)
	DO	247 (81.6)	297 (107.7)	162 (▲ 43.2)	193 (▲ 30.8)	323 (5.6)	203 (1.0)	2,986 (44.1)	2,782 (23.0)	2,072 (▲ 8.4)	2,484 (21.8)
Trademarks	OO	262 (▲ 3.0)	281 (4.9)	241 (▲ 14.8)	285 (▲ 4.4)	155 (▲ 42.8)	258 (11.7)	3,033 (▲ 3.4)	2,643 (▲ 8.8)	3,139 (▲ 0.8)	3,198 (▲ 0.1)
	DO	1,297 (0.5)	1,663 (▲ 12.7)	1,250 (▲ 14.0)	1,725 (15.6)	1,318 (▲ 17.8)	1,539 (▲ 8.3)	17,924 (▲ 7.8)	16,008 (▲ 8.0)	19,450 (9.3)	18,888 (▲ 2.1)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

## (3) The Number of Requests for Patent Examination and Report of Utility Model Technical Opinion

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2020 9	10	11	12	2021 1	2	2020 1 - 12	2020/4 - 2021/2 Cum Sum of FY2020	2019 1 - 12	2019/4 - 2020/3 Total of FY2019
Request for Patent Examination		20,506 (2.0)	19,368 (7.6)	18,641 (2.2)	21,021 (1.1)	18,212 (▲ 2.3)	19,851 (▲ 4.0)	232,215 (▲ 1.3)	208,019 (▲ 2.5)	235,182 (0.4)	236,305 (2.3)
	Year-on-Year	20,097 (5.8)	18,008 (▲ 9.5)	18,231 (▲ 7.6)	20,797 (5.6)	18,650 (▲ 1.2)	20,685 (3.6)	- -	213,381 (2.3)	- -	- -
Report of Utility Model Technical Opinion		18 (▲ 18.2)	19 (▲ 26.9)	22 (29.4)	18 (▲ 33.3)	16 (▲ 23.8)	14 (▲ 30.0)	221 (▲ 23.5)	197 (▲ 21.2)	289 (▲ 1.4)	263 (▲ 13.5)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2020 9	10	11	12	2021 1	2	2020 1 - 12	2020/4 - 2021/2 Cum Sum of FY2020	2019 1 - 12	2019/4 - 2020/3 Total of FY2019
Patents	Appeals against Examiner's Decision of Refusal	1,449 (▲ 1.4)	1,342 (▲ 8.3)	1,230 (▲ 19.3)	1,394 (▲ 12.2)	1,279 (▲ 2.0)	1,176 (▲ 11.9)	16,903 (1.2)	15,324 (▲ 0.5)	16,699 (1.0)	16,793 (2.1)
	Trials for Invalidation	11 (▲ 15.4)	17 (0.0)	12 (▲ 7.7)	6 (▲ 33.3)	5 (▲ 37.5)	10 (▲ 23.1)	121 (8.0)	102 (▲ 6.4)	112 (▲ 29.6)	122 (▲ 17.6)
	Trials for Correction	9 (28.6)	13 (225.0)	7 (40.0)	11 (10.0)	24 (166.7)	17 (70.0)	120 (▲ 7.0)	136 (28.3)	129 (▲ 36.5)	112 (▲ 38.5)
	Hantei (Advisory Opinion)	9 (350.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (▲ 66.7)	2 (▲ 33.3)	5 (150.0)	27 (28.6)	22 (15.8)	21 (▲ 16.0)	26 (4.0)
	Oppositions	112 (6.7)	110 (22.2)	83 (▲ 33.1)	117 (8.3)	122 (90.6)	113 (34.5)	1,127 (▲ 3.2)	1,126 (7.6)	1,164 (▲ 0.7)	1,134 (▲ 1.8)
	Total	1,590 (▲ 0.4)	1,482 (▲ 6.0)	1,332 (▲ 20.3)	1,529 (▲ 10.9)	1,432 (3.1)	1,321 (▲ 8.5)	18,298 (1.0)	16,710 (0.2)	18,125 (0.2)	18,187 (1.3)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	0 (0.0)	0 (▲ 100.0)	0 (0.0)	1 (0.0)	0 (0.0)	0 (0.0)	6 (100.0)	6 (500.0)	3 (50.0)	0 (▲ 75.0)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	2 (0.0)	2 (0.0)
	Total	0 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	0 (0.0)	0 (0.0)	6 (20.0)	6 (100.0)	5 (150.0)	3 (▲ 25.0)
Designs	Appeals against Examiner's Decision of Refusal	23 (▲ 58.9)	38 (▲ 17.4)	29 (0.0)	26 (▲ 31.6)	26 (▲ 35.0)	11 (▲ 63.3)	367 (▲ 5.7)	311 (▲ 18.4)	389 (32.8)	404 (45.8)
	Trials for Invalidation	0 (▲ 100.0)	0 (0.0)	1 (0.0)	0 (▲ 100.0)	0 (▲ 100.0)	1 (0.0)	8 (33.3)	8 (33.3)	6 (▲ 60.0)	6 (▲ 53.8)
	Hantei (Advisory Opinion)	1 (▲ 50.0)	0 (0.0)	1 (0.0)	1 (▲ 75.0)	0 (0.0)	0 (▲ 100.0)	6 (▲ 57.1)	3 (▲ 78.6)	14 (180.0)	16 (300.0)
	Total	24 (▲ 59.3)	38 (▲ 17.4)	31 (6.9)	27 (▲ 37.2)	26 (▲ 36.6)	12 (▲ 61.3)	381 (▲ 6.8)	322 (▲ 19.7)	409 (30.7)	426 (44.9)

**(5) The Number of Requests for Trials and Appeals (Trademarks, Total)**

Year/Month		2020 9	10	11	12	2021 1	2	2020 1 - 12	2020/4 - 2021/2 Cum Sum of FY2020	2019 1 - 12	2019/4 - 2020/3 Total of FY2019
Trademarks	Appeals against Examiner's Decision of Refusal	64 (▲ 13.5)	66 (43.5)	47 (34.3)	68 (47.8)	54 (45.9)	52 (13.0)	744 (▲ 8.4)	705 (▲ 2.6)	812 (▲ 3.1)	786 (1.4)
	Trials for Invalidation	10 (▲ 23.1)	8 (300.0)	5 (▲ 58.3)	2 (▲ 84.6)	4 (▲ 55.6)	4 (▲ 77.8)	87 (▲ 1.1)	57 (▲ 40.6)	88 (▲ 10.2)	107 (9.2)
	Trials for Cancellation	86 (▲ 16.5)	116 (43.2)	85 (63.5)	88 (10.0)	65 (▲ 30.9)	85 (30.8)	1,011 (1.5)	919 (1.2)	996 (▲ 4.7)	991 (▲ 10.4)
	Hantei (Advisory Opinion)	3 (200.0)	0 (▲ 100.0)	5 (400.0)	0 (0.0)	1 (0.0)	0 (0.0)	9 (28.6)	10 (66.7)	7 (▲ 12.5)	6 (0.0)
	Oppositions	38 (▲ 2.6)	37 (▲ 2.6)	31 (▲ 6.1)	38 (46.2)	38 (31.0)	41 (32.3)	370 (▲ 4.9)	353 (4.1)	389 (▲ 7.6)	375 (▲ 16.5)
	<b>Total</b>	201 (▲ 12.6)	227 (33.5)	173 (30.1)	196 (18.8)	162 (▲ 4.1)	182 (13.8)	2,221 (▲ 3.1)	2,044 (▲ 1.4)	2,292 (▲ 4.9)	2,265 (▲ 6.9)
Total	Appeals against Examiner's Decision of Refusal	1,536 (▲ 4.0)	1,446 (▲ 7.1)	1,306 (▲ 17.8)	1,488 (▲ 11.0)	1,359 (▲ 1.7)	1,239 (▲ 12.2)	18,014 (0.6)	16,340 (▲ 1.0)	17,900 (1.3)	17,983 (2.7)
	Trials for Invalidation	21 (▲ 22.2)	25 (25.0)	18 (▲ 28.0)	9 (▲ 60.9)	9 (▲ 50.0)	15 (▲ 51.6)	222 (6.2)	173 (▲ 18.4)	209 (▲ 23.7)	236 (▲ 10.3)
	Hantei (Advisory Opinion)	13 (160.0)	0 (▲ 100.0)	6 (0.0)	2 (▲ 75.0)	3 (0.0)	5 (66.7)	42 (▲ 4.5)	35 (▲ 14.6)	44 (15.8)	50 (42.9)
	Trials for Correction (Patents & Utility Models)	9 (28.6)	13 (225.0)	7 (40.0)	11 (10.0)	24 (166.7)	17 (70.0)	120 (▲ 7.0)	136 (28.3)	129 (▲ 36.5)	112 (▲ 38.5)
	Trials for Cancellation (Trademarks)	86 (▲ 16.5)	116 (43.2)	85 (63.5)	88 (10.0)	65 (▲ 30.9)	85 (30.8)	1,011 (1.5)	919 (1.2)	996 (▲ 4.7)	991 (▲ 10.4)
	Oppositions (Patents & Trademarks)	150 (4.2)	147 (14.8)	114 (▲ 27.4)	155 (15.7)	160 (72.0)	154 (33.9)	1,497 (▲ 3.6)	1,479 (6.8)	1,553 (▲ 2.5)	1,509 (▲ 5.9)
	<b>Total</b>	1,815 (▲ 3.8)	1,747 (▲ 2.6)	1,536 (▲ 16.2)	1,753 (▲ 9.0)	1,620 (1.3)	1,515 (▲ 7.3)	20,906 (0.4)	19,082 (▲ 0.4)	20,831 (0.0)	20,881 (0.9)

## (6) The Number of Registrations

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2020 9	10	11	12	2021 1	2	2020 1 - 12	2020/4 - 2021/2 Cum Sum of FY2020	2019 1 - 12	2019/4 - 2020/3 Total of FY2019
The Number of Registrations	Patents	14,174 (▲ 0.1)	15,626 (11.7)	15,670 (▲ 6.1)	15,087 (1.9)	13,538 (▲ 16.2)	13,034 (▲ 8.8)	179,383 (▲ 0.3)	159,681 (▲ 3.2)	179,910 (▲ 7.5)	180,859 (▲ 4.4)
	Utility Models	486 (13.6)	692 (38.4)	812 (108.7)	533 (47.2)	429 (6.5)	405 (4.7)	5,518 (9.6)	5,214 (12.6)	5,033 (▲ 5.1)	4,977 (▲ 2.7)
	Designs	2,031 (5.0)	2,437 (13.5)	2,084 (▲ 22.0)	2,275 (9.0)	2,180 (▲ 4.8)	1,793 (▲ 14.1)	26,417 (▲ 4.1)	23,440 (▲ 6.5)	27,556 (▲ 0.2)	27,644 (1.0)
	Trademarks	13,243 (47.9)	13,640 (54.9)	13,070 (16.8)	14,245 (51.2)	12,223 (18.4)	11,277 (9.3)	135,314 (23.2)	127,081 (20.8)	109,859 (▲ 5.7)	116,260 (2.3)
	National Applications	12,331 (48.4)	12,195 (53.0)	11,958 (16.5)	13,195 (48.8)	10,991 (15.4)	10,115 (6.8)	124,167 (23.4)	116,004 (20.1)	100,651 (▲ 4.1)	106,858 (4.0)
	International Applications	912 (41.8)	1,445 (72.6)	1,112 (20.5)	1,050 (89.2)	1,232 (53.6)	1,162 (37.0)	11,147 (21.1)	11,077 (29.0)	9,208 (▲ 20.7)	9,402 (▲ 13.6)
	Total	29,934 (17.4)	32,395 (27.4)	31,636 (2.3)	32,140 (20.5)	28,370 (▲ 2.7)	26,509 (▲ 2.1)	346,632 (7.5)	315,416 (5.2)	322,358 (▲ 6.3)	329,740 (▲ 1.7)
Class Counts of Trademark Registration	22,490 (47.0)	22,151 (46.4)	22,480 (19.4)	25,056 (48.2)	21,155 (16.7)	19,043 (5.5)	232,899 (24.5)	216,347 (21.3)	187,062 (▲ 2.9)	198,919 (4.4)	
Trademark Application for Renewal	7,641 (0.5)	8,573 (▲ 13.6)	6,402 (▲ 3.7)	7,562 (5.7)	6,964 (▲ 27.7)	6,062 (▲ 20.1)	95,754 (▲ 9.6)	82,392 (▲ 13.4)	105,868 (▲ 0.7)	104,307 (▲ 3.9)	