

# Preliminary Statistical Data on Filing Applications and Requests

Created on November 30,2021

From April 2021 through September 2021

\*The preliminary data are tentatively compiled figures based on the JPO Statistical Database.  
Please be noted that the data may be subject to change through continual data collection and processing.

# 1. Preliminary Statistical Data

## (1) The Number of Applications

Year/Month	2021 4	5	6	7	8	9	2021 1 - 9	2021/4 - 9 Cum Sum of FY2021	2020 1 - 9	2020/4 - 2021/3 Total of FY2020
Patents & Utility Models	22,636 (6.2)	19,653 (▲ 2.0)	25,346 (▲ 2.2)	22,650 (▲ 4.1)	21,672 (4.9)	24,405 (▲ 10.0)	217,532 (▲ 2.0)	136,362 (▲ 1.7)	221,895 (▲ 5.7)	292,470 (▲ 5.6)
Patents	22,187 (6.5)	19,214 (▲ 1.9)	24,880 (▲ 1.7)	22,225 (▲ 3.5)	21,258 (5.3)	24,081 (▲ 9.3)	213,621 (▲ 1.7)	133,845 (▲ 1.2)	217,409 (▲ 6.1)	286,332 (▲ 5.9)
Utility Models	449 (▲ 7.6)	439 (▲ 7.2)	466 (▲ 23.5)	425 (▲ 27.5)	414 (▲ 14.1)	324 (▲ 43.8)	3,911 (▲ 12.8)	2,517 (▲ 21.6)	4,486 (14.8)	6,138 (16.4)
Designs	2,706 (▲ 5.7)	2,273 (▲ 1.6)	2,979 (3.8)	2,598 (▲ 15.2)	2,336 (▲ 5.5)	2,814 (1.2)	23,701 (0.9)	15,706 (▲ 4.0)	23,482 (0.1)	32,633 (4.7)
National Applications	2,452 (▲ 6.0)	2,132 (8.3)	2,673 (0.9)	2,345 (▲ 14.3)	2,058 (▲ 9.2)	2,552 (0.7)	21,562 (2.0)	14,212 (▲ 3.7)	21,148 (▲ 4.3)	29,732 (3.7)
Trademarks	16,756 (17.4)	13,674 (▲ 33.2)	16,795 (9.4)	15,270 (▲ 4.8)	14,177 (3.5)	15,836 (7.8)	137,466 (1.3)	92,508 (▲ 2.1)	135,741 (▲ 5.5)	184,827 (2.8)
National Applications	15,070 (19.4)	12,313 (▲ 35.4)	15,261 (9.1)	13,488 (▲ 6.9)	12,711 (1.8)	13,871 (3.6)	123,202 (0.6)	82,714 (▲ 3.8)	122,455 (▲ 5.1)	167,206 (3.9)
Total Number	42,098 (9.5)	35,600 (▲ 16.9)	45,120 (2.2)	40,518 (▲ 5.2)	38,185 (3.7)	43,055 (▲ 3.5)	378,699 (▲ 0.6)	244,576 (▲ 2.0)	381,118 (▲ 5.3)	509,930 (▲ 2.1)
Trademarks Class Counts	28,685 (▲ 7.7)	23,461 (▲ 76.1)	28,680 (8.8)	25,797 (▲ 4.2)	23,418 (2.7)	21,932 (▲ 9.5)	227,920 (▲ 25.5)	151,973 (▲ 33.8)	305,833 (▲ 18.9)	382,366 (▲ 8.8)

• The total number represents the sum of the numbers of each type of applications, excluding the Trademark class counts.

## (2) The Number of International Applications

The figures in the brackets indicate the increase/decrease from the previous year.

Year/Month		2021 4	5	6	7	8	9	2021 1 - 9	2021/4 - 9 Cum Sum of FY2021	2020 1 - 9	2020/4 - 2021/3 Total of FY2020
Patents	RO	3,210 (▲ 5.2)	3,448 (9.6)	4,123 (▲ 2.8)	3,635 (▲ 5.7)	3,511 (4.2)	4,329 (▲ 2.2)	36,368 (▲ 2.8)	22,256 (▲ 0.7)	37,398 (▲ 3.3)	48,447 (▲ 7.2)
	DO	6,476 (20.9)	5,471 (6.0)	7,028 (3.8)	5,620 (2.9)	5,602 (11.6)	3,640 (▲ 42.3)	50,515 (0.4)	33,837 (▲ 0.7)	50,324 (1.1)	68,317 (0.8)
Designs	OO	2 (0.0)	1 (0.0)	2 (0.0)	0 (0.0)	4 (0.0)	1 (▲ 80.0)	12 (▲ 25.0)	10 (0.0)	16 (▲ 33.3)	13 (▲ 38.1)
	DO	254 (▲ 2.7)	141 (▲ 58.7)	306 (39.7)	253 (▲ 23.1)	278 (34.3)	262 (6.1)	2,139 (▲ 8.4)	1,494 (▲ 6.9)	2,334 (71.0)	2,901 (16.8)
Trademarks	OO	221 (7.8)	215 (23.6)	286 (22.7)	281 (8.9)	244 (▲ 16.2)	301 (14.9)	2,290 (2.9)	1,548 (8.8)	2,226 (▲ 2.8)	2,972 (▲ 7.1)
	DO	1,686 (2.4)	1,361 (▲ 4.8)	1,534 (12.0)	1,782 (14.3)	1,466 (21.1)	1,965 (51.5)	14,264 (7.4)	9,794 (15.0)	13,286 (▲ 9.0)	17,621 (▲ 6.7)

The number of PCT international applications filed with the JPO as a designated office is also included in the number of applications for patents and utility models.

The number of international applications for design registration filed with the JPO as a designated office is also included in the number of application for designs.

The number of international applications for trademark registration filed with the JPO as a designated offices is also included in the number of trademark applications.

## (3) The Number of Requests for Patent Examination

### and Report of Utility Model Technical Opinion

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2021 4	5	6	7	8	9	2021 1 - 9	2021/4 - 9 Cum Sum of FY2021	2020 1 - 9	2020/4 - 2021/3 Total of FY2020
Request for Patent Examination		18,543 (11.0)	17,378 (6.5)	21,098 (4.4)	19,633 (1.1)	18,774 (5.7)	21,586 (5.3)	179,641 (3.7)	117,012 (5.5)	173,185 (▲ 2.8)	232,585 (▲ 1.6)
	Year-on-Year	16,703 (▲ 16.1)	16,318 (▲ 11.4)	20,210 (2.1)	19,422 (▲ 1.1)	17,767 (▲ 7.2)	20,506 (2.0)	-	-	-	-
Report of Utility Model Technical Opinion		23 (21.1)	17 (30.8)	23 (▲ 17.9)	23 (53.3)	22 (46.7)	13 (▲ 27.8)	182 (12.3)	121 (12.0)	162 (▲ 26.0)	228 (▲ 13.3)

#### (4) The Number of Requests for Trials and Appeals (Patents, Utility Models and Designs)

Year/Month		2021 4	5	6	7	8	9	2021 1 - 9	2021/4 - 9 Cum Sum of FY2021	2020 1 - 9	2020/4 - 2021/3 Total of FY2020
Patents	Appeals against Examiner's Decision of Refusal	1,421 (▲ 9.8)	1,227 (▲ 10.4)	1,484 (▲ 8.2)	1,447 (▲ 3.7)	1,243 (▲ 10.6)	1,474 (1.7)	12,230 (▲ 5.5)	8,296 (▲ 6.8)	12,937 (6.7)	16,803 (0.1)
	Trials for Invalidation	11 (22.2)	7 (▲ 41.7)	5 (▲ 16.7)	14 (55.6)	9 (80.0)	8 (▲ 27.3)	83 (▲ 3.5)	54 (3.8)	86 (17.8)	116 (▲ 4.9)
	Trials for Correction	16 (128.6)	14 (40.0)	7 (▲ 36.4)	21 (50.0)	32 (146.2)	12 (33.3)	156 (75.3)	102 (59.4)	89 (▲ 19.1)	149 (33.0)
	Hantei (Advisory Opinion)	5 (400.0)	1 (0.0)	2 (100.0)	1 (0.0)	0 (▲ 100.0)	3 (▲ 66.7)	19 (▲ 26.9)	12 (▲ 14.3)	26 (100.0)	22 (▲ 15.4)
	Oppositions	121 (12.0)	113 (101.8)	90 (▲ 4.3)	153 (59.4)	112 (▲ 2.6)	111 (▲ 0.9)	1,050 (28.5)	700 (20.5)	817 (▲ 3.0)	1,241 (9.4)
	Total	1,574 (▲ 7.5)	1,362 (▲ 5.9)	1,588 (▲ 8.1)	1,636 (0.8)	1,396 (▲ 8.5)	1,608 (1.1)	13,538 (▲ 3.0)	9,164 (▲ 4.7)	13,955 (6.0)	18,331 (0.8)
Utility Models	Appeals against Examiner's Decision of Refusal	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Trials for Invalidation	1 (0.0)	4 (300.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	5 (0.0)	5 (0.0)	5 (150.0)	6 (500.0)
	Trials for Correction	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
	Hantei (Advisory Opinion)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)
	Total	1 (0.0)	4 (300.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	0 (0.0)	5 (0.0)	5 (0.0)	5 (150.0)	6 (100.0)
Designs	Appeals against Examiner's Decision of Refusal	19 (▲ 42.4)	20 (42.9)	40 (▲ 11.1)	26 (▲ 10.3)	43 (16.2)	33 (43.5)	242 (▲ 11.7)	181 (0.0)	274 (▲ 0.7)	335 (▲ 17.1)
	Trials for Invalidation	2 (0.0)	2 (▲ 33.3)	3 (200.0)	1 (0.0)	0 (0.0)	2 (0.0)	13 (85.7)	10 (66.7)	7 (40.0)	10 (66.7)
	Hantei (Advisory Opinion)	2 (0.0)	0 (0.0)	1 (0.0)	0 (0.0)	0 (0.0)	0 (▲ 100.0)	4 (0.0)	3 (200.0)	4 (▲ 60.0)	4 (▲ 75.0)
	Total	23 (▲ 34.3)	22 (29.4)	44 (▲ 4.3)	27 (▲ 6.9)	43 (16.2)	35 (45.8)	259 (▲ 9.1)	194 (3.2)	285 (▲ 2.1)	349 (▲ 18.1)

**(5) The Number of Requests for Trials and Appeals (Trademarks, Total)**

Year/Month		2021 4	5	6	7	8	9	2021 1 - 9	2021/4 - 9 Cum Sum of FY2021	2020 1 - 9	2020/4 - 2021/3 Total of FY2020
Trademarks	Appeals against Examiner's Decision of Refusal	95 (37.7)	81 (47.3)	146 (19.7)	96 (81.1)	86 (56.4)	104 (62.5)	793 (40.9)	608 (45.5)	563 (▲ 17.8)	784 (▲ 0.3)
	Trials for Invalidation	11 (1000.0)	3 (▲ 40.0)	3 (▲ 70.0)	6 (50.0)	5 (25.0)	13 (30.0)	53 (▲ 26.4)	41 (20.6)	72 (18.0)	61 (▲ 43.0)
	Trials for Cancellation	96 (35.2)	78 (20.0)	85 (▲ 25.4)	92 (35.3)	91 (19.7)	112 (30.2)	817 (13.2)	554 (15.4)	722 (▲ 7.8)	1,032 (4.1)
	Hantei (Advisory Opinion)	1 (0.0)	0 (▲ 100.0)	2 (0.0)	0 (0.0)	0 (0.0)	2 (▲ 33.3)	8 (100.0)	5 (25.0)	4 (33.3)	12 (100.0)
	Oppositions	45 (45.2)	37 (12.1)	58 (205.3)	34 (78.9)	37 (32.1)	29 (▲ 23.7)	374 (41.7)	240 (42.9)	264 (▲ 9.6)	408 (8.8)
	Total	248 (44.2)	199 (25.2)	294 (10.9)	228 (58.3)	219 (34.4)	260 (29.4)	2,045 (25.8)	1,448 (31.2)	1,625 (▲ 10.9)	2,297 (1.4)
Total	Appeals against Examiner's Decision of Refusal	1,535 (▲ 8.5)	1,328 (▲ 7.6)	1,670 (▲ 6.3)	1,569 (▲ 1.0)	1,372 (▲ 7.4)	1,611 (4.9)	13,265 (▲ 3.7)	9,085 (▲ 4.4)	13,774 (5.3)	17,922 (▲ 0.3)
	Trials for Invalidation	25 (92.3)	16 (▲ 23.8)	11 (▲ 35.3)	21 (61.5)	14 (16.7)	23 (9.5)	154 (▲ 9.4)	110 (13.4)	170 (20.6)	193 (▲ 18.2)
	Hantei (Advisory Opinion)	8 (700.0)	1 (0.0)	5 (400.0)	1 (0.0)	0 (▲ 100.0)	5 (▲ 61.5)	31 (▲ 8.8)	20 (5.3)	34 (30.8)	38 (▲ 24.0)
	Trials for Correction (Patents & Utility Models)	16 (128.6)	14 (40.0)	7 (▲ 36.4)	21 (50.0)	32 (146.2)	12 (33.3)	156 (75.3)	102 (59.4)	89 (▲ 19.1)	149 (33.0)
	Trials for Cancellation (Trademarks)	96 (35.2)	78 (20.0)	85 (▲ 25.4)	92 (35.3)	91 (19.7)	112 (30.2)	817 (13.2)	554 (15.4)	722 (▲ 7.8)	1,032 (4.1)
	Oppositions (Patents & Trademarks)	166 (19.4)	150 (68.5)	148 (31.0)	187 (62.6)	149 (4.2)	140 (▲ 6.7)	1,424 (31.7)	940 (25.5)	1,081 (▲ 4.7)	1,649 (9.3)
	Total	1,846 (▲ 3.3)	1,587 (▲ 2.3)	1,926 (▲ 5.5)	1,891 (5.3)	1,658 (▲ 4.1)	1,903 (4.8)	15,847 (▲ 0.1)	10,811 (▲ 0.9)	15,870 (3.9)	20,983 (0.5)

## (6) The Number of Registrations

The percentages in the brackets ( ) indicate the increase/decrease from the previous year.

Year/Month		2021 4	5	6	7	8	9	2021 1 - 9	2021/4 - 9 Cum Sum of FY2021	2020 1 - 9	2020/4 - 2021/3 Total of FY2020
The Number of Registrations	Patents	16,344 (18.1)	14,895 (18.4)	13,556 (▲ 12.9)	15,995 (▲ 5.6)	14,810 (8.7)	16,016 (13.0)	135,440 (1.8)	91,616 (5.6)	133,000 (▲ 1.1)	133,109 (▲ 26.4)
	Utility Models	344 (17.4)	440 (587.5)	534 (7.9)	544 (3.8)	502 (4.4)	455 (▲ 6.4)	4,208 (20.9)	2,819 (20.3)	3,481 (▲ 8.0)	4,380 (▲ 12.0)
	Designs	2,206 (▲ 5.4)	2,072 (22.0)	2,408 (15.9)	2,609 (7.7)	2,229 (5.8)	2,354 (15.9)	20,284 (3.4)	13,878 (9.5)	19,621 (▲ 5.0)	19,467 (▲ 29.6)
	Trademarks	14,841 (68.1)	12,569 (75.0)	14,666 (51.2)	15,325 (28.2)	14,557 (24.2)	14,206 (7.3)	125,226 (32.7)	86,164 (37.6)	94,359 (17.3)	103,581 (▲ 10.9)
	National Applications	13,389 (68.5)	11,199 (75.3)	13,567 (47.7)	13,749 (25.1)	13,222 (23.4)	13,494 (9.4)	114,132 (31.5)	78,620 (36.6)	86,819 (18.0)	94,898 (▲ 11.2)
	International Applications	1,452 (64.4)	1,370 (72.3)	1,099 (113.4)	1,576 (64.0)	1,335 (32.2)	712 (▲ 21.9)	11,094 (47.1)	7,544 (48.6)	7,540 (9.4)	8,683 (▲ 7.6)
	Total	33,735 (33.4)	29,976 (39.2)	31,164 (12.0)	34,473 (8.2)	32,098 (14.9)	33,031 (10.3)	285,158 (13.9)	194,477 (18.3)	250,461 (4.7)	260,537 (▲ 21.0)
Class Counts of Trademark Registration	25,857 (72.7)	20,681 (72.6)	25,896 (50.0)	26,114 (28.8)	24,209 (24.3)	25,145 (11.8)	215,691 (32.2)	147,902 (38.9)	163,212 (19.8)	176,149 (▲ 11.4)	
Trademark Application for Renewal	8,022 (2.8)	7,129 (10.2)	8,484 (▲ 4.8)	7,630 (▲ 11.5)	7,258 (▲ 1.7)	7,513 (▲ 1.7)	68,003 (▲ 7.1)	46,036 (▲ 1.7)	73,217 (▲ 10.9)	69,366 (▲ 33.5)	