# Singapore

#### Patents Rules

Enter into force on 26, May 2022

## TABLE OF CONTENTS

#### PRELIMINARY

- 1. Citation
- 2. Definitions
- 2A. Definitions of "examination", "search" and "supplementary examination" in Act
- 3. Fees
- 4. Forms
- 5. Costs
- 6. Assessment of costs
- 7. Assessment proceedings
- 8. Written evidence in support of circumstances in section 14(4), etc.
- 9. Declaration of priority for purposes of section 17(2)
- 9A. Request to Registrar for permission to make late declaration under section 17(4)
- 9B. Furnishing of application or file number and of priority documents to support declaration under section 17(2)
- 9C. Translation of priority documents

## RIGHT TO APPLY FOR AND OBTAIN A PATENT

- 10. References under section 20(1)(a)
- 11. References by co-proprietors under section 20(1)(b)
- 12. Orders under section 20
- 13. Prescribed period for new application under section 20(3)
- 14. Authorisation under section 20(5)
- 15. Request by joint applicants under section 22
- 16. Reference to Registrar under section 23

# INVENTORS

- 17. Mention of inventor
- 18. Procedure where applicant is not inventor or sole inventor

# APPLICATIONS FOR PATENTS

19. Application for grant of patent

- 19A. Description of patent that discloses sequence
- 20. Micro-organisms
- 21. Drawings
- 22. Abstracts
- 23. Size and presentation of documents
- 24. Form of statements, counter-statements and evidence
- 25. Unity of invention
- 26. Certain matters prescribed under section 26
- 26A. Missing parts
- 27. New applications under section 26(11)
- 28. Extension of period for filing new applications
- 29. Publication of applications
- 30. Completion of preparations for publication

## PROCEDURE FOR GRANT

- 31. Address for service
- 33. Formal requirements
- 34. Preliminary examination
- 36. Request for search report
- 37. Request for search and examination report
- 38. Period for filing request for search report
- 40. Minimum documentation for search
- 41. Prescribed patent offices
- 42. Request for examination report
- 42A. Request for supplementary examination report
- 43. Period for filing request for search and examination report, request for examination report or request for supplementary examination report, etc.
- 45. Search and examination procedure where 2 or more inventions claimed or new application filed under section 26(11)
- 45A. Observation by third party on patentability
- 46. Written opinion of Examiner, etc.
- 46AA. Invitation to amend
- 46A. Review of examination report, etc.
- 47. Putting application in order
- 48. Application for amendment before grant
- 49. Time for making amendments before grant
- 50. Certificate of grant

#### PATENTS AFTER GRANT

- 51. Renewal of patents
- 51A. Extension of term of patent
- 52. Amendment of specification after grant
- 52A. Re-examination after grant
- 53. Restoration of lapsed patent
- 54. Surrender of patents

## REGISTER AND OFFICIAL DOCUMENTS

- 55. Register of patents
- 56. Change of particulars
- 57. Application for registration of transaction, etc.
- 58. Request for correction of errors
- 58A. Advertisement of and opposition to request for correction
- 59. Inspection of register, etc.
- 61. Advertisement of matters contained in register
- 62. Entries in respect of right to a patent
- 62A. Certificate of contested validity of patent
- 63. Certificates and copies supplied by Registrar
- 64. Orders or directions by court

# ENTITLEMENT TO PATENT

- 65. Reference to Registrar of right to patent
- 66. Application for authorisation to carry out directions
- 67. Time limits for making new application
- 68. Request for licence to continue working new patent
- 69. Reference as to entitlement to grant of licence

# LICENCES OF RIGHT

- 70. Entry in register of licence of right
- 71. Settlement of terms of licence of right
- 72. Cancellation of entry in register as to licence of right by proprietor
- 73. Cancellation of entry in register as to licence of right by third party
- 74. Procedure as to cancellation of entry in register as to licence of right
- 75. Action by Registrar on cancellation in register as to licence of right

#### INFRINGEMENT OF PATENTS

# 75A. Particulars prescribed under section 66(4)

## REVOCATION OF PATENTS

- 80. Procedure on application for revocation
- 80A. Material information prescribed under section 80(1)(f)(iii)
- 81. Procedure relating to preparation of re-examination report
- 82. Copies and conclusions of re-examination report
- 83. Award of costs on surrender of patent
- 84. Notification of an opportunity to amend

# AMENDMENT OF PATENTS IN RE-EXAMINATION OR REVOCATION PROCEEDINGS

85. Procedure as to opposition to amendment

## NATIONAL PROCESSING OF INTERNATIONAL APPLICATIONS

86. Treatment of international applications

# HEARINGS, AGENTS AND CORRECTION OF ERRORS

- 87. Registrar's discretionary powers
- 88. Request for hearing
- 88A. Hearing and notice of attendance at hearing
- 88B. Case management conference
- 89. Hearings in public
- 90. Where advocate and solicitor, patent agent, etc., appointed to act for partyRight of audience
- 91. Correction of errors in patents and applications

# INFORMATION AND INSPECTION

- 92. Information about patents and patent applications
- 93. Inspection of documents
- 94. Confidential documents
- 95. Publication of bibliographic information
- 96. Request for information on unpublished patent applications

## ELECTRONIC ONLINE SYSTEM

- 96A. Establishment of electronic online system
- 96B. Documents to be signed, made on oath, etc.
- 96H. Use of electronic online system to be in accordance with

these Rules, etc.

## MISCELLANEOUS

- 97. Service of documents
- 98. Hours of business and excluded days
- 100. Correction of irregularities
- 101. Dispensation by Registrar
- 102. Filing of evidence
- 103. Statutory declarations and affidavits
- 104. Admission of documents
- 105. Directions as to furnishing of documents
- 106. Supporting statements or evidence
- 107. Appointment of scientific advisers by Registrar
- 108. Extension of time limits in general
- 108A. Extension of time limits for proceedings before Registrar
- 108B. Extension of time limits in special circumstances
- 109. Protection and compensation of persons affected by extension of time
- 110. Calculation of times or periods
- 111. Copies of documents
- 112. Translations
- 113. Publication and sale of documents
- 114. Patents Journal
- 115. Reports of cases

## INTERNATIONAL APPLICATIONS

- 116. Filing of international applications
- 117. Fees for international applications
- 118. Certified copies
- 119. Fee currency
- 120. Modified application of Act and Rules to United Kingdom and European Patent Office applications

# FIRST SCHEDULE FEES PAYABLE

SECOND SCHEDULE (Rule 4(3)) DESCRIPTIONS OF FORMS (omitted)
THIRD SCHEDULE (Rules 5 and 7) SCALE OF COSTS
FOURTH SCHEDULE (Rules 20, 28 and 108) MICRO-ORGANISMS
SIXTH SCHEDULE (Rule 107) REMUNERATION OF SCIENTIFIC ADVISERS
SEVENTH SCHEDULE (Rule 120) MODIFIED APPLICATION OF THE ACT
AND RULES TO UNITED KINGDOM AND EUROPEAN PATENT OFFICE

# APPLICATIONS

## **PRELIMINARY**

## 1. Citation

These Rules may be cited as the Patents Rules.

#### 2. Definitions

(1) In these Rules, unless the context otherwise requires "certificate of grant" means a certificate for the purposes of section 35(1);

"convention country" has the same meaning as in section 17(10)

"costs" includes fees, charges, disbursements, expenses, allowances and remuneration;

"declared priority date" means -

- (a) the date of filing of the earliest relevant application specified in a declaration made for the purposes of section 17(2) where the priority date claimed in the declaration has not been lost or abandoned and where the declaration has not been withdrawn before preparations for the publication of the application in suit have been completed by the Registry in accordance with section 27; or
- (b) where an international application for a patent (Singapore) is to be treated as an application for a patent under the Act, the date of filing of the earliest application filed in or for a country which is a convention country or which, in accordance with the law of a convention country or a treaty or international convention to which a convention country is a party, is equivalent to such an application, the priority of which is claimed in a declaration filed for the purposes of Article 8 of the Patent Co-operation Treaty, provided that such priority claim has not been lost or abandoned under the provisions of that Treaty; "electronic online system" means the electronic online system

"electronic online system" means the electronic online system established under rule 96A;

"initiation date", in relation to a new application for a patent, means the date on which the application was initiated by the filing at the Registry of any document which satisfies any condition referred to in section 26(1)(a), (b) or (c); "international preliminary report on patentability" means an international preliminary report on patentability (Chapter I of the Patent Co-operation Treaty), or an international

preliminary report on patentability (Chapter II of the Patent Co-operation Treaty), referred to in the Regulations under the Patent Co-operation Treaty.

"sequence" and "sequence listing" have the same meanings as They have under the Patent Co-operation Treaty.

- (2) In these Rules, except where otherwise indicated -
- (a) references to a section are references to that section of the Act;
- (b) references to a rule are references to that rule in these Rules;
- (c) references to a Schedule are references to that Schedule to these Rules; and
- (d) references to the filing of a form or other document are references to filing it at the Registry.

# 2A. Definitions of "examination", "search" and "supplementary examination" in Act

- (1) For the purposes of the definition of "examination" in section 2(1), the matters to be determined by an Examiner when conducting an examination in relation to an application for a patent are -
- (a) whether, taking into consideration all the relevant prior art, if any, that the Examiner is aware of or that has been discovered in a search -
- (i) the invention defined in each claim of the specification of the application satisfies each condition or requirement for patentability under section 13;
- (ii) the conditions specified in section 25(4) and (5) have been complied with;
- (iii) the application discloses any additional matter referred to in section 84(1) or (1A) or any matter referred to in section 84(2);
- (b) whether the description, or any claim or drawing, in the application is so unclear, or any claim in the application is so inadequately supported by the description, that no meaningful opinion can be formed on whether the claimed invention satisfies all or any of the conditions for patentability under section 13(1);
- (c) whether any claim in the application relates to an invention for which no search has been completed; and

- (d) whether there is -
- (i) any other application for a patent for the same invention, with the same priority date, filed by the same applicant or his successor in title; and
- (ii) any earlier grant of a patent for the same invention, with the same priority date, to the same applicant or his successor in title.
- (2) For the purposes of the definition of "search" in section 2(1), the matters to be discovered by an Examiner when conducting a search in relation to an application for a patent are the relevant prior art contained in -
- (a) the documentation prescribed under rule 40; and
- (b) any additional documentation that the Examiner is aware of and considers to be relevant.
- (3) For the purposes of the definition of "supplementary examination" in section 2(1), the matters to be determined by an Examiner when conducting a supplementary examination in relation to an application for a patent (referred to in this paragraph as the application in suit) are -
- (a) whether each claim in the application is supported by the description of the invention;
- (b) whether, at the time the prescribed documents referred to in section 29(1) (d) are filed, each claim in the application in suit is related to at least one claim which —
- (i) is set out in the prescribed documents relating to the corresponding application, corresponding international application or related national phase application referred to in section 29(1)(d), or to the application in suit during its international phase; and
- (ii) has been examined to determine whether the claim appears to satisfy the criteria of novelty, inventive step (or non-obviousness) and industrial applicability (or utility);
- (ba) whether the invention defined in each claim of the specification of the application constitutes an invention;
- (c) whether the invention is an invention referred to in section 13(2) that is not patentable;
- (d) whether the invention is an invention referred to in section 16(2) that is not to be taken to be capable of industrial application;

- (e) whether there is -
- (i) any other application for a patent for the same invention, with the same priority date, filed by the same applicant or his successor in title; and
- (ii) any earlier grant of a patent for the same invention, with the same priority date, to the same applicant or his successor in title; and
- (f) whether the application discloses any additional matter referred to in section 84(1) or (2) or any matter referred to in section 84(3).

# 3. Fees

- (1) The fees to be paid in respect of matters arising under the Act or these Rules shall be those specified in the First Schedule.
- (2) Unless otherwise provided for in these Rules, or the Registrar permits or directs otherwise —
- (a) where a fee is specified in the First Schedule in respect of any matter, the fee shall be paid at the same time as the filing of the form corresponding to the matter; and
- (b) if the fee is not paid, the form shall not be treated as filed.
- (3) Subject to paragraph (4), a fee must be paid using the mode of payment permitted or directed by the Registrar.
- (4) Where the electronic online system is used to carry out an act referred to in rule 96A(2), the fee payable in connection with that act must, unless otherwise permitted or directed by the Registrar, be paid using the mode of payment designated by the electronic online system.

## 4. Forms

- (1) The Registrar shall publish at the Office's Internet website at http://www.ipos.gov.sg —
- (a) the forms to be used for any purpose relating to (i) any application for or grant of a patent;
- (ii) any proceedings before the Registrar under the Act or these Rules; or
- (iii) any other matter under the Act or these Rules;

- (b) the Registrar's directions relating to the use of any form; and
- (c) any amendment or modification of any such form or direction.
- (2) The Registrar may modify any form in any particular case or class of cases.
- (3) Any reference in these Rules to a numbered form shall be construed as a reference to the current version of the form bearing the corresponding number which is -
- (a) described in the Second Schedule; and
- (b) published at the Internet website referred to in paragraph (1).
- (4) The matters referred to in the Act, including sections 17(6), 25(1) and (9), 26(8), 27(1), 28(4) and (8), 29(9), 29B(2), 31(1), 36A(10), 42(8), 80(9) and 108(1), of the Act, shall be filed with, made to or given to, the Registrar, or done in an effective and efficient manner by means which may be specified by the Registrar by the issuance of practice directions.

# 5. Costs

The Registrar may make an order for costs in respect of -

- (a) any of the matters set out in the second column of the Third Schedule; and
- (b) the expenses and allowances of a person in relation to proceedings under the Act or these Rules.

#### 6. Assessment of costs

- (1) Where the Registrar has made an order for costs in favour of a party to proceedings before the Registrar and the party wishes to have party and party costs assessed by the Registrar, the party shall, within 8 weeks after the relevant date referred to in paragraph (1A) -
- (a) apply for the costs to be assessed by filing a copy of the bill of costs; and
- (b) send at the same time a copy of the bill of costs to every other person entitled to be heard in the assessment proceedings.

- (1A) For the purposes of paragraph (1), the relevant date is
- (a) subject to sub-paragraph (b), the date of the order for costs made by the Registrar; or
- (b) where any appeal is brought in respect of that order for costs, the date on which that appeal is finally disposed of.
- (2) Every bill of costs shall set out in 3 separate sections the following:
- (a) work done in the cause or matter (other than for or in the assessment of costs);
- (b) work done for or in the assessment of costs; and (c) all disbursements made in the cause or matter.
- (3) The costs claimed under paragraph (2)(a), (b) and (c) shall set out the sum claimed for each item.
- (4) The bill of costs shall set out in chronological order, with dates, all relevant events in the cause or matter, all relevant events in the assessment of costs, and all relevant events relating to the making of disbursements.
- (5) Where costs have already been awarded for any of the events set out, this fact and the amount awarded shall be indicated.
- (6) Any party on whom a copy of the bill of costs has been served in accordance with paragraph (1) shall, if he wishes to dispute the bill, within one month after the receipt of the copy of the bill, mark the copy thereof in accordance with paragraph (7) and send a copy thereof duly marked to both the Registrar and the party requesting for assessment.
- (7) A party on whom a copy of the bill of costs has been served shall effect marking on the copy thereof served by writing on the right hand margin against each item the word "Agree" if he agrees with the cost claimed for that item or the word "Disagree" if he disagrees.
- (8) Upon the expiry of the period of time referred to in

paragraph (6), the Registrar shall give to the parties entitled to be heard in the assessment proceedings notice of the date and time appointed for assessment.

# 7. Assessment proceedings

- (1) If any party entitled to be heard in any assessment proceedings before the Registrar does not attend at the time appointed for the assessment, the Registrar may proceed with the assessment.
- (2) The Registrar may, if he thinks it is necessary to do so, adjourn the proceedings from time to time.
- (3) The provisions in the Third Schedule shall apply to the sections of the bill of costs relating to -
- (a) work done in the cause or matter (other than for or in the assessment of costs); and
- (b) work done for or in the assessment of costs.
- (4) When a bill of costs has been assessed, the Registrar must make the Registrar's certificate for the amount of the assessed costs.
- (5) Costs awarded in proceedings before the Registrar are not intended to compensate the parties for the expense to which they have been put.
- (6) Paragraphs (3) and (5) do not apply to any assessment of costs or expenses of a party who is entitled under section 72(2) to the party's costs or expenses as between solicitor and own client.

# 8. Written evidence in support of circumstances in section 14(4), etc.

- (1) The written evidence filed under section 14(8) by an applicant for a patent must comply with the following requirements:
- (a) the written evidence must be by statutory declaration or affidavit, and must enclose all supporting documents;
- (b) where the applicant relies on the circumstances described in section  $14\,(4)\,(c)$ , the written evidence must -

- (i) state that the invention to which the applicant's application relates was in fact displayed at an international exhibition;
- (ii) state the opening date of the exhibition and, where the first disclosure of the invention did not take place on that date, the date of the first disclosure; and
- (iii) enclose one or more supporting documents identifying the invention that was displayed at the exhibition;
- (c) where the applicant claims that the publication by a foreign intellectual property office of an application for an intellectual property right (being an application containing matter constituting the invention to which the applicant's application relates), or a registration of an intellectual property right pursuant to such an application, was erroneous for a reason mentioned in section 14(6)(b) or (7)(b), the written evidence must enclose an acknowledgment from the foreign intellectual property office —
- (i) stating that the publication was erroneous and the reason for the publication being erroneous; and
- (ii) if section 14(7)(b) applies, stating the earliest date on which the publication ought to have been made under the law or treaty governing the application.
- (2) For the purposes of section 2(2), a statement may be published in the journal that an exhibition described in the statement falls within the definition of "international exhibition" in section 2(1).
- (3) In this rule, "foreign intellectual property office" has the same meaning as in section 14(11).

# 9. Declaration of priority for purposes of section 17(2)

- (1) Subject to paragraph (2), a declaration for the purposes of section 17(2) made in or in connection with an application for a patent (referred to in this rule and rules 9A and 9B as the application in suit) shall be made at the time of filing the application in suit.
- (2) A declaration for the purposes of section 17(2) may be made after the date of filing if -
- (a) it would cause -

- (i) the application in suit to have a declared priority date, where there was none previously; or
- (ii) the declared priority date of the application in suit to be brought forward to an earlier date;
- (b) it is made within 16 months from -
- (i) where sub-paragraph (a)(i) applies, the declared priority date; or
- (ii) where sub-paragraph (a)(ii) applies, the earlier date;
- (c) it is made on Patents Form 57;
- (d) the prescribed fee has been paid; and
- (e) the condition referred to in paragraph (4) is satisfied.
- (3) Where a request to the Registrar to correct a mistake in a declaration for the purposes of section 17(2) would, if granted, cause the declared priority date to be changed to a different date, the request shall not be granted unless —
- (a) it is made within 16 months from the declared priority date, as changed;
- (b) it is made on Patents Form 57;
- (c) the prescribed fee has been paid; and
- (d) the condition referred to in paragraph (4) is satisfied.
- (4) For the purposes of paragraphs (2)(e) and (3)(d), the condition is that -
- (a) the applicant has not made any request under section 27(2) to publish the application in suit during the period prescribed for the purposes of section 27; or
- (b) any such request has been withdrawn.
- (5) Subject to paragraph (6), a declaration for the purposes of section 17(2) shall specify, in respect of each priority application —
- (a) the date of filing of the priority application; and
- (b) the country in or for which the priority application was made.
- (6) Where the application in suit is an international application for a patent (Singapore), paragraph (5) shall not apply in respect of a priority application if -
- (a) the date of filing of that priority application; and
- (b) the country in or for which that priority application was

made,

have been indicated in compliance with rule 4.10(a) and (b) of the Regulations under the Patent Co-operation Treaty.

- (7) Where section 26(11) applies, a declaration for the purposes of section 17(2) shall not be made in or in connection with a new application referred to in section 26(11) if the declaration has not also been made in or in connection with the earlier application.
- (8) In this rule and rules 9B and 9C "priority application" means an earlier relevant application specified in a declaration for the purposes of section 17(2); "relevant application" has the same meaning as in section 17(9).

# 9A. Request to Registrar for permission to make late declaration under section 17(4)

- (1) The period prescribed for the purposes of section 17(3)(b) shall be 2 months.
- (2) For the purposes of section 17(6)(a), a request under section 17(4) shall -
- (a) subject to rule 86(3A), be made before the end of the period referred to in section 17(3) (b);
- (b) be made on Patents Form 57;
- (c) be made only if the prescribed fee has been paid;
- (d) if the applicant failed to file the application in suit within the period referred to in section 17(3)(a), state the reason for the failure to file the application in suit within that period; and
- (e) be made only if -
- (i) the request relates to an international application for a patent (Singapore); or
- (ii) where the request does not relate to an international application for a patent (Singapore), the condition referred to in paragraph (3) is satisfied.
- (3) For the purposes of paragraph (2)(e)(ii), the condition is that -
- (a) the applicant has not made any request under section

- 27(2) to publish the application in suit during the period prescribed for the purposes of section 27; or
- (b) any such request has been withdrawn.
- (4) Where the Registrar determines that every applicable condition referred to in section 17(6) for granting a request under section 17(4) has been satisfied, the Registrar shall grant the request and notify the applicant accordingly.
- (5) Where the condition referred to in section 17(6) (b) for granting a request under section 17(4) has not been satisfied, the Registrar shall notify the applicant that the Registrar intends to refuse the request unless -
- (a) observations are made or evidence is filed, within 2 months from the date of the notification, to satisfy the Registrar that the applicant's failure to file the application in suit within the period referred to in section 17(3)(a) —
- (i) occurred in spite of due care required by the circumstances having been taken; or
- (ii) was unintentional; and
- (b) the Registrar is so satisfied on the observations made or evidence filed.
- (6) Where paragraph (5) applies, the Registrar shall refuse the request under section 17(4), and shall inform the applicant accordingly, if -
- (a) the applicant fails to make the observations or file the evidence within 2 months from the date of the Registrar's notification; or
- (b) the Registrar is not satisfied, on all the observations made and evidence filed, that the applicant's failure to file the application in suit within the period referred to in section 17(3)(a) -
- (i) occurred in spite of due care required by the circumstances having been taken; or
- (ii) was unintentional.

# 9B. Furnishing of application or file number and of priority documents to support declaration under section 17(2)

(1) Subject to paragraph (3), the applicant shall, before the

end of the period of 16 months from the declared priority date, furnish to the Registry the application or file number of each priority application.

- (2) Subject to paragraph (3), if the applicant fails to comply with paragraph (1) in respect of any priority application, the declaration for the purposes of section 17 (2) shall be disregarded in so far as it relates to that priority application.
- (3) Where the application in suit is an international application for a patent (Singapore), paragraphs (1) and (2) shall not apply in respect of any priority application the application or file number of which has been indicated in compliance with rule 4.10(a) of the Regulations under the Patent Co-operation Treaty.
- (4) Where the Registrar, by notice sent to the applicant or proprietor (as the case may be), requires that applicant or proprietor to furnish to the Registry, in respect of any priority application, a copy of that priority application that is -
- (a) duly certified by the authority with which it was filed; or
- (b) otherwise acceptable to the Registrar, that applicant or proprietor must, within 2 months starting on the date of the notice, comply with the notice.
- (5) If the applicant or proprietor, as the case may be, fails to comply with paragraph (4) in respect of any priority application, the declaration for the purposes of section 17(2) shall be disregarded in so far as it relates to that priority application.

# 9C. Translation of priority documents

- (1) Where -
- (a) a copy of any priority application is furnished under rule 9B(4);
- (b) that priority application is in a language other than English;
- (c) the validity of the claim to priority is relevant to determining whether the invention concerned is patentable;

and

(d) the Registrar, by notice sent to the applicant or proprietor, as the case may be, requires him to furnish to the Registry an English translation of that priority application,

the applicant or proprietor, as the case may be, shall, within 2 months from the date of the notice -

- (i) furnish to the Registry both of the following at the same time:
- (A) an English translation of that priority application;
- (B) a copy of a verification document -
- (BA) made in accordance with the Registrar's requirements; and
- (BB) verifying that the translation corresponds to the original text of that priority application.
- (2) The Registrar may, by notice, require to be filed at the Registry or sent to the Registrar, within such period as may be specified in the notice, the original of the verification document.
- (3) If the applicant or proprietor, as the case may be, fails to comply with -
- (a) paragraph (1) in respect of any priority application; or
- (b) the Registrar's requirement under paragraph (2) in respect of any verification document relating to any priority application,

the declaration for the purposes of section 17(2) shall be disregarded in so far as it relates to that priority application.

#### RIGHT TO APPLY FOR AND OBTAIN A PATENT

# 10. References under section 20(1)(a)

- (1) A reference under section 20(1)(a) shall be made on Patents Form 2 and shall be accompanied by a statement setting out fully the nature of the question, the facts upon which the person making the reference relies and the order or other relief which he is seeking.
- (2) The Registrar shall send a copy of the reference and statement to  $-\$
- (a) any person (other than the person referred to in paragraph (1)) alleged in the reference to be entitled to be granted a patent for the invention;
- (b) any person (not being a party to the reference) who is shown in the register as having a right in or under the patent application;
- (c) any person (not being a party to the reference) who is an applicant for the patent or has given notice to the Registrar of a relevant transaction, instrument or event; and
- (d) every person who has been identified in the application for a patent or a statement filed under section 24(2)(a) as being, or being believed to be, the inventor or joint inventor of the invention.
- (3) If any person who is sent a copy of the reference and statement under paragraph (2) wishes to oppose the making of the order or the granting of the relief sought, he shall, within 2 months from the date of the Registrar's letter forwarding such copies, file a counter statement on Form HC6 setting out fully the grounds of his opposition and the Registrar shall send a copy of the counter statement to the person making the reference and to those recipients of the copy of the reference and statement who are not party to the counter-statement.
- (4) The person making the reference or any such recipient may, within 2 months from the date of the Registrar's letter forwarding a copy of the counter-statement, file evidence in support of his case and shall send a copy of the evidence (a) in any case, to the opponent; and

- (b) in the case of evidence filed by such a recipient, to the person making the reference.
- (5) Within 2 months from the date of the Registrar's letter forwarding the copy of such evidence to him or, if no such evidence is filed, within 2 months from the expiration of the period within which the copy of such evidence could have been filed, the opponent may file evidence in support of his case and shall send a copy of the evidence so filed to the person making the reference and those recipients; and, within 2 months from the date of receipt of the copy of the opponent's evidence, that person or any of those recipients may file further evidence confined to matters strictly in reply and shall send a copy of it to the persons mentioned in paragraph (4) (a) and (b).
- (6) No further evidence shall be filed except with permission or by direction of the Registrar.
- (7) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the reference.

## 11. References by co-proprietors under section 20(1)(b)

- (1) A reference under section 20(1)(b) shall be made on Patents Form 2 and shall be accompanied by a statement setting out fully the nature of the question, the facts relied upon by the co proprietor making the reference and the order he is seeking.
- (2) The Registrar shall send a copy of the reference and statement to  $\boldsymbol{-}$
- (a) each co-proprietor who is not a party to the reference and who has not otherwise indicated, in writing, his consent to the making of the order sought;
- (b) any person to whom it is alleged in the reference that any right in or under an application for a patent should be transferred or granted;
- (c) any person (not being a party to the reference) who is shown in the register as having a right in or under the patent application;

- (d) any person (not being a party to the reference) who has given notice to the Registrar of a relevant transaction, instrument or event; and
- (e) every person who has been identified in the application for the patent or a statement filed under section 24(2)(a) as being, or being believed to be, the inventor or joint inventor of the invention.
- (3) Any person who receives a copy of the reference and statement and who wishes to oppose the order sought may, within 2 months from the date of the Registrar's letter forwarding copies of the reference and statement, file a counter-statement on Form HC6 setting out fully the grounds of his opposition.
- (4) The Registrar shall, as appropriate, send a copy of any counter statement to -
- (a) each co-proprietor who is a party to the reference; and
- (b) any person to whom a copy of the reference and statement were sent pursuant to paragraph (2).
- (5) Any person who receives a copy of the counter-statement may, within 2 months from the date of the Registrar's letter forwarding such copy, file evidence in support of his case and shall send a copy of the evidence so filed to the coproprietor making the reference and to each person who has filed a counter-statement.
- (6) Any person entitled to receive a copy of the evidence filed under paragraph (5) may, within 2 months from the date of receipt of the copy of such evidence or, if no such evidence is filed, within 2 months from the expiration of the period within which it could have been filed, file evidence in support of his case and shall send a copy of the evidence so filed to each of the other parties listed in paragraph (4).
- (7) Any person who receives a copy of the evidence filed under paragraph (6) may, within 2 months from the date of receipt of the copy of such evidence, file further evidence confined to matters strictly in reply and shall, as

appropriate, send a copy of the evidence so filed to the parties listed in paragraph (4).

- (8) No further evidence shall be filed by any party except with permission or by direction of the Registrar.
- (9) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the reference.

## 12. Orders under section 20

- (1) Where an order is made under section 20 that an application for a patent shall proceed in the name of one or more persons none of whom was an original applicant, the Registrar shall notify all original applicants and their licensees, of whom he is aware, of the making of the order.
- (2) A person notified under paragraph (1) may make a request under section 23(3) within 2 months from the date of the notification received from the Registrar.
- 13. Prescribed period for new application under section 20(3) The prescribed period for the purpose of subsection (3) of section 20 shall be 3 months from the day on which the time for appealing from an order made under that subsection expires without an appeal being brought or, where an appeal is brought, from the day on which it is finally disposed of.

# 14. Authorisation under section 20(5)

- (1) An application under section 20(5) for authority to do anything on behalf of a person to whom directions have been given under section 20(2)(d) or (4) shall be made in writing and shall be accompanied by a statement setting out fully all the facts upon which the applicant relies and the nature of the authorisation sought.
- (2) The applicant shall, at the time the application and the statement are filed, serve on the person alleged to have failed to comply with the directions a copy of the application and a copy of the statement.

(3) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the application.

# 15. Request by joint applicants under section 22

- (1) A request under section 22 by a joint applicant shall be made in writing and shall be accompanied by a statement setting out fully the facts upon which he relies and the directions which he seeks.
- (2) The person making the request shall, at the time the request and the statement are filed, serve on every other joint applicant a copy of the request and a copy of the statement.
- (2A) Any other joint applicant who wishes to oppose the request shall -
- (a) within 2 months after the date on which he is served with the copies of the request and the statement, file a counter statement on Form HC6 setting out fully the grounds of his opposition; and
- (b) at the time the counter-statement is filed, serve on the person making the request, and on every other joint applicant who is not a party to the counter-statement, a copy of the counter-statement.
- (3) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the request.

# 16. Reference to Registrar under section 23

(1) Where, following the making of such an order as is mentioned in section 23(3), a question is referred to the Registrar under section 23(5) as to whether any person is entitled to be granted a licence or whether the period or terms of the licence are reasonable, the reference shall be made in writing and shall be accompanied by a statement setting out fully the facts upon which the person making the reference relies and the terms of the licence which he is prepared to accept or grant.

- (2) The person making the reference shall, at the time the reference and the statement are filed, serve on every relevant person a copy of the reference and a copy of the statement.
- (2A) Any relevant person who does not agree to grant or accept a licence for such period and upon such terms shall (a) within 2 months after the date on which he is served with the copies of the reference and the statement, file a counter statement on Form HC6 setting out fully the grounds of his opposition; and
- (b) at the time the counter-statement is filed, serve on the person making the reference a copy of the counter statement.
- (3) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the reference.
- (4) In this rule, "relevant person" means a person (not being the person making the reference) in whose name the application is to proceed, or who claims to be entitled to be granted a licence, as the case may be.

## **INVENTORS**

## 17. Mention of inventor

- (1) An application to the Registrar under section 24(1) or
- (3) by any person who alleges -
- (a) that he ought to have been mentioned as the inventor or joint inventor of an invention in any patent granted or published application for a patent for the invention; or
- (b) that any person mentioned as sole or joint inventor in any patent granted or published application for a patent for the invention ought not to have been so mentioned, shall be made on Patents Form 7 and shall be accompanied by a statement setting out fully the facts relied upon.
- (2) The Registrar shall send a copy of any such application and statement to -
- (a) every person registered as proprietor of, or applicant for, the patent (other than the applicant under section 24 himself);
- (b) every person who has been identified in the application for the patent or a statement filed under section 24(2)(a) as being, or being believed to be, the inventor or joint inventor of the invention; and
- (c) every other person whose interests the Registrar considers may be affected by the application.
- (3) Any recipient of the copies of the application and the statement who wishes to oppose the application shall —
- (a) within 2 months after the date of the Registrar's letter forwarding such copies to him, file a notice of opposition on Patents Form 58 setting out fully the grounds of his opposition; and
- (b) at the time the notice of opposition is filed, serve a copy of the notice of opposition on every person referred to in paragraph (1) or (2)(a), (b) or (c) who is not a party to the notice of opposition.
- (4) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the application.

(5) The document prescribed for the purposes of section 24(1) shall be an addendum or erratum slip.

# 18. Procedure where applicant is not inventor or sole inventor

- (1) Subject to rules 28 and 86(8) and (8A), the period prescribed for the purposes of section 24 (2) shall be -
- (a) where there is no declared priority date, 16 months from the date of filing of the application for a patent; or
- (b) where there is a declared priority date, 16 months from the declared priority date.
- (1A) A statement filed under section 24(2) shall be made on Patents Form 8.
- (3) Where the application is an international application for a patent (Singapore), the requirements of paragraph (1) shall be treated as having been complied with if the provisions of rules 4.1(a) (iv) and 4.6 of the Regulations under the Patent Co-operation Treaty have been complied with, whether or not there was any requirement that they be complied with.

## APPLICATIONS FOR PATENTS

# 19. Application for grant of patent

- (1) A request for the grant of a patent shall be made on Patents Form 1.
- (2) Where a document containing an indication that a patent is sought in pursuance of an application is filed with the Registrar without Patents Form 1 or the filing fee, the Form shall be filed with, and the fee shall be paid to, the Registry within one month from the date of the filing of the document.
- (3) The specification contained in an application for a patent made under section 25 shall state the title of the invention and continue with the description and the claim or claims and the drawings, if any, in that order.
- (4) The title appearing in the request and the specification shall be the same title, shall be short and precise, and shall indicate the matter to which the invention relates; and if in the opinion of the Registrar it fails to comply with this paragraph, the Registrar may reframe it so that it does so comply.
- (5) The description shall first state the title of the invention as appearing in the request and shall -
- (a) specify the technical field to which the invention relates;
- (b) indicate the background art which, as far as it is known to the applicant, can be regarded as useful for the understanding, searching and examination of the invention;
- (c) disclose the invention as claimed in such terms that the technical problem, even if not expressly stated as such, and its solution can be understood, and shall state the advantageous effects, if any, of the invention with reference to the background art;
- (d) briefly describe the figures in the drawings, if any;
- (e) set forth at least one mode for carrying out the invention claimed, with examples where appropriate, and with reference to the drawings, if any; and

- (f) indicate explicitly, when it is not otherwise obvious from the description or the nature of the invention, the way or ways in which the invention satisfies the condition in section 13(1)(c) of being capable of industrial application.
- (6) The number of claims shall be reasonable in consideration of the nature of the invention claimed.
- (6A) Where there are 2 or more claims, the claims shall be numbered consecutively in Arabic numerals.
- (7) The definition in the claim of the matter for which protection is sought shall be in terms of the technical features of the invention which may be expressed in structural, functional or mathematical terms.
- (8) Claims shall be written -
- (a) in 2 parts, the first part consisting of a statement indicating those technical features of the invention which are necessary in connection with the definition of the claimed subject-matter and which, in combination, appear to be part of the prior art and the second part preceded by the words "characterised in that", "characterised by", "wherein the improvement comprises", or other words to the same effect, followed by a statement stating concisely the technical features which, in combination with the features stated in the first part, define the matter for which protection is sought; or
- (b) in a single statement containing a recitation of a combination of several elements or steps, or a single element or step, which defines the matter for which protection is sought.
- (9) Claims shall not rely, in respect of the technical features of the invention, on references to the description or drawings, unless such a reference is necessary for the understanding of the claim or enhances the clarity or conciseness of the claim.
- (9A) The applicant making the request under paragraph (1) may, at the time of making the request, provide the

information mentioned in section 24(2).

- (10) Where -
- (a) the documents filed at the Registry to initiate an application for a patent include something which -
- (i) is or appears to be a description of the invention for which the patent is sought; and
- (ii) is in a language other than English; and
- (b) the applicant has not filed an English translation of that thing,

the Registrar shall notify the applicant that an English translation of that thing is required.

- (11) Where the applicant has been notified under paragraph
- (10), he shall, within 2 months from the date of the notification, file an English translation of that thing.
- (12) If the applicant fails to comply with paragraph (11), the Registrar shall refuse his application for a patent.
- (13) A request to withdraw an application for a patent shall be made by filing Form CM9.

## 19A. Description of patent that discloses sequence

- (1) Where an application for a patent discloses a sequence, the description of the application for the patent must include a sequence listing, presented as a separate part of the description and in a manner that complies with the practice directions issued by the Registrar.
- (2) Where an application for a patent discloses a sequence and -
- (a) a sequence listing is not included in the description of the application for the patent; or
- (b) the sequence listing that is included is not presented in a manner that complies with the practice directions issued by the Registrar,
- the Registrar may invite the applicant to submit, for the purposes of the search and within the period specified in the invitation, a sequence listing presented in a manner that complies with the practice directions issued by the

Registrar.

- (3) If the applicant does not, within the period specified in the invitation given under paragraph (2), submit the required sequence listing, the Examiner need only search the application to the extent that a meaningful search may be carried out —
- (a) without the sequence listing; or
- (b) with the sequence listing mentioned in paragraph (2) (b), as the case may be.
- (4) Any sequence listing not included in the description of an application for a patent on the date of filing of the application as defined in section 2(1), including a sequence listing submitted pursuant to paragraph (2), does not form part of the application for the patent.
- (5) To avoid doubt, paragraph (4) does not prevent the applicant from amending or correcting, in accordance with any provision of the Act or these Rules, the description of an application for a patent in relation to a sequence listing.

# 20. Micro-organisms

The Fourth Schedule shall have effect in relation to certain applications for patents, and granted patents, for inventions which require for their performance the use of microorganisms.

# 21. Drawings

- (1) Drawings forming part of an application for a patent made under section 25 shall be on sheets the size of which shall be A4 (29.7 cm  $\times$  21 cm).
- (2) The sheets shall not contain frames round the usable or used surface.
- (3) The minimum margins shall be as follows:
- (a) top: 2.5 cm;
- (b) left side: 2.5 cm;
- (c) right side: 1.5 cm; and
- (d) bottom: 1.0 cm.

- (4) The margins, when submitted, must be completely blank.
- (5) Drawings shall be executed as follows:
- (a) without colouring in durable, black, sufficiently dense and dark, uniformly thick and well-defined lines and strokes to permit satisfactory reproduction;
- (b) cross-sections shall be indicated by oblique hatching which should not impede the clear reading of the reference signs and leading lines;
- (c) the scale of the drawings and the distinctiveness of their graphical execution shall be such that a photographic reproduction with a linear reduction in size to two-thirds would enable all details to be distinguished without difficulty. If, as an exception, the scale is given on a drawing, it shall be represented graphically;
- (d) all numbers, letters and reference lines, appearing on the drawings, shall be simple and clear and brackets, circles and inverted commas shall not be used in association with numbers and letters;
- (e) each element of each figure shall be in proper proportion to each of the other elements in the figure, except where the use of a different proportion is indispensable for the clarity of the figure;
- (f) the height of the numbers and letters shall not be less than 0.32 cm and for the lettering of drawings, the Latin and, where customary, the Greek alphabets shall be used;
- (g) the same sheet of drawings may contain several figures; where figures drawn on 2 or more sheets are intended to form one whole figure, the figures on the several sheets shall be so arranged that the whole figure can be assembled without concealing any part of the partial figures; and the different figures shall be arranged without wasting space, clearly separated from one another and shall be numbered consecutively in Arabic numerals, independently of the numbering of the sheets;
- (h) reference signs not mentioned in the description or claims shall not appear in the drawings, and vice versa; and the same features, when denoted by reference signs, shall throughout the application, be denoted by the same signs;
- (i) the drawings shall not contain textual matter, except,

when required for the understanding of the drawings, a single word or words such as "water", "steam", "open", "closed", "section on AA", and in the case of electric circuits and block schematic or flow sheet diagrams, a few short catchwords; and

- (j) the sheets of drawings shall be numbered in accordance with rule 23(13) and (14).
- (6) Flow sheets and diagrams shall be considered drawings for the purposes of these Rules.
- (7) Paragraphs (1) to (4) and (5)(f), (g) and (j) shall not apply to any drawing to be filed with the Registry using the electronic online system, and that drawing shall instead comply with practice directions issued by the Registrar in place of the requirements of those paragraphs.

#### 22. Abstracts

- (2) The abstract shall contain a concise summary of the disclosure as contained in the specification.
- (3) The summary shall indicate the technical field to which the invention belongs and be drafted in such a way which allows a clear understanding of the technical problem to which the invention relates, the gist of the solution of that problem through the invention, and the principal use or uses of the invention.
- (4) Where appropriate, the abstract shall also contain the chemical formula which, among those contained in the specification, best characterises the invention but shall not contain statements on the alleged merits or value of the invention or on its speculative application.
- (5) The abstract shall be as concise as the disclosure permits and shall normally not contain more than 150 words.
- (6) If the specification contains any drawings, the applicant shall indicate on the abstract the figure or, exceptionally, the figures (which must in no case exceed 2) of the drawings which he suggests should accompany the abstract when

published.

- (7) The Registrar may decide to publish one or more other figures if he considers that they better characterise the invention.
- (8) Each main feature mentioned in the abstract and illustrated by a drawing shall be followed by the reference sign used in that drawing.
- (9) The abstract shall be so drafted that it constitutes an efficient instrument for the purposes of searching in the particular technical field, in particular by making it possible to assess whether there is a need to consult the specification itself.

## 23. Size and presentation of documents

- (1) Subject to paragraph (29), this rule shall apply to all documents (including drawings) making up an application for a patent, including any replacement thereof, to be filed with the Registry by any means.
- (1A) All documents referred to in paragraph (1) shall be in English.
- (2) Unless otherwise provided, the specification (including any drawing therein), abstract and any replacement sheet thereof shall be filed singly.
- (3) All documents referred to in paragraph (1) shall be so presented as to permit direct reproduction by photography, electrostatic or electronic processes, scanning, photo offset and microfilming, in an unlimited number of copies.
- (4) All sheets must be free from cracks, creases and folds.
- (5) Only one side of the sheet shall be used.
- (6) All documents referred to in paragraph (1) shall be in A4 paper size (29.7 cm  $\times$  21 cm) and shall be on pliable, strong, white, smooth, matt and durable paper.

- (7) Each sheet (other than drawings) shall be used with its short sides at the top and bottom (upright position).
- (8) The request for the grant of a patent, the description, claims, drawings and abstract shall each commence on a new sheet.
- (9) The sheets shall be so connected that they can be easily turned when consulted, and easily separated and joined again if they have been separated.
- (10) The minimum margins of the sheets containing the description, the claims and the abstract shall be as follows:
- (a) top: 2.0 cm;
- (b) left side: 2.5 cm;
- (c) right side: 2.0 cm; and
- (d) bottom: 2.0 cm.
- (11) The margins of the documents making up the application, when filed, and of any replacement documents, must be completely blank.
- (12) In the application, except in the drawings -
- (a) all sheets in the request shall, when filed, be numbered consecutively; and
- (b) all other sheets shall, when filed, be numbered consecutively as a separate series, and all such numbering shall be in Arabic numerals placed at the top or bottom of the sheet, in the middle, but not in the top or bottom margin.
- (13) All sheets of drawings contained in the application shall be numbered consecutively as a separate series.
- (14) Such numbering shall be in Arabic numerals placed at the top or bottom of the sheet, in the middle, but not in the top or bottom margin.
- (15) Every document (other than drawings) referred to in paragraph (1) shall be typed or printed in a dark, indelible

colour in at least  $1\frac{1}{2}$  line spacing and in characters of which the capital letters are not less than 0.21 cm high except that graphic symbols and characters and chemical and mathematical formulae may be written or drawn, in a dark indelible colour.

- (16) The request for the grant of a patent, the description, claims and abstract shall not contain drawings.
- (17) The description, claims and abstract may contain chemical or mathematical formulae.
- (18) The description and the abstract may contain tables.
- (19) The claims may contain tables only if their subjectmatter makes the use of tables desirable.
- (20) In all documents referred to in paragraph (1), units of weights and measures shall be expressed in terms of the metric system.
- (21) If a different system is used, units of weights and measures shall also be expressed in terms of the metric system.
- (22) Temperatures shall be expressed in degrees Celsius.
- (23) For the other physical values, the units recognised in international practice shall be used, for mathematical formulae the symbols in general use, and for chemical formulae the symbols, atomic weights and molecular formulae in general use shall be employed.
- (24) In general, use should be made of technical terms, signs and symbols generally accepted in the field in question.
- (25) If a formula or symbol is used in the specification, a copy thereof, prepared in the same manner as drawings, shall be furnished if the Registrar so directs.
- (26) The terminology and the signs shall be consistent

throughout the application.

- (27) All documents referred to in paragraph (1) shall be reasonably free from deletions and other alterations, overwritings and interlineations and shall, in any event, be legible.
- (28) Non-compliance with this rule may be authorised by the Registrar if the authenticity of the content is not in question and the requirements for good reproduction are not in jeopardy.
- (29) Paragraphs (2) and (4) to (15) shall not apply to any document to be filed with the Registry using the electronic online system, and that document shall instead comply with practice directions issued by the Registrar in place of the requirements of those paragraphs.

# 24. Form of statements, counter-statements and evidence Rule 23(3) to (7) shall apply, with the necessary modifications, to any statement, counter-statement or evidence filed, unless the Registrar directs otherwise.

#### 25. Unity of invention

- (1) Without prejudice to the generality of section 25(5)(d), where 2 or more inventions are claimed (whether in separate claims or as alternatives within a single claim), such inventions shall be treated as being so linked as to form a single inventive concept only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features.
- (2) In this rule, "special technical features" means those technical features which define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art.

# 26. Certain matters prescribed under section 26

(1) The information on an earlier relevant application prescribed for the purposes of section 26 (1)(c)(ii)(B) shall be -

- (a) the date of filing of the earlier relevant application; and
- (b) the country in or for which the earlier relevant application was made.
- (2) The period prescribed for the purposes of section 26(3)(b) shall be 2 months from the date of the Registrar's notification under section 26(3)(a).
- (3) The period prescribed for the purposes of section 26(7) shall be -
- (a) subject to sub-paragraph (b), 3 months from the date of filing of the application for a patent; or
- (b) where a new application has been filed under section 20(3), 26(11) or 47(4), 3 months from the initiation date.
- (4) The documents prescribed for the purposes of section 26(7) (c) are -
- (a) a copy of the earlier relevant application mentioned in section 26(1) (c) (ii) (C) that is -
- (i) duly certified by the authority with which it was filed; or
- (ii) otherwise acceptable to the Registrar;
- (b) where the earlier relevant application is in a language other than English an English translation of that earlier relevant application; and
- (c) Patents Form 56.
- (4A) Paragraph (4)(a) or (b) does not apply if a copy of the earlier relevant application or an English translation of the earlier relevant application (as the case may be) had previously been filed at the Registry.
- (5) The period prescribed for the purposes of section  $26\,(12)\,(a)$  shall be -
- (a) subject to sub-paragraph (b) -
- (i) where there is no declared priority date, 12 months from the date of filing of the application for a patent; or
- (ii) where there is a declared priority date, whichever following period expires later:
- (A) 12 months from the declared priority date; or

- (B) 2 months from the date of filing of the application; or
- (b) where a new application has been filed under section
- 20(3), 26(11) or 47(4), 2 months from the initiation date.

#### 26A. Missing parts

- (1) The period prescribed for the purposes of section 26(8) shall be -
- (a) if the applicant makes a request under section 26(9) (b) (i) -
- (i) subject to sub-paragraph (ii), 3 months from the date of filing of the application for a patent; or
- (ii) where a new application has been filed under section
- 20(3), 26(11) or 47(4), 3 months from the initiation date; or
- (b) if the applicant does not make any request under section 26(9) (b) (i), any time before the applicant -
- (i) files a request for a search report under section29(1)(a);
- (ii) files a request for a search and examination report under section 29(1)(b);
- (iii) files a request for an examination report under section 29(1)(c); or
- (iv) files a request for a supplementary examination report under section 29(1)(d).
- (2) For the purposes of section 26(8), any missing part of an application for a patent shall be filed on Patents Form 56.
- (3) An applicant may only withdraw a missing part by giving written notice to the Registrar.
- (4) The period prescribed for the purposes of section  $26\,(9)\,(b)$  shall be -
- (a) subject to sub-paragraph (b), 3 months from the date of filing of the application for a patent; or
- (b) where a new application has been filed under section 20(3), 26(11) or 47(4), 3 months from the initiation date.
- (5) Any request under section 26(9)(b) (i) shall -
- (a) be made at the time the applicant files any missing part of the application under section 26(8);
- (b) be accompanied by -

- (i) the statement under section 26(9)(b)(ii);
- (ii) the information under section 26(9) (b) (iii); and (iii) the documents under section 26(9) (b) (iv); and
- (c) be considered never to have been made unless every requirement under section 26(9) has been complied with.
- (6) The information on an earlier relevant application prescribed for the purposes of section 26(9)(b)(iii) shall be
- (a) the date of filing of the earlier relevant application;
- (b) the application or file number of the earlier relevant application; and
- (c) the country in or for which the earlier relevant application was made.
- (7) The documents prescribed for the purposes of section 26(9) (b) (iv) are -
- (a) a copy of the earlier relevant application mentioned in section 26(9) (a) that is -
- (i) duly certified by the authority with which it was filed; or
- (ii) otherwise acceptable to the Registrar; and
- (b) where the earlier relevant application is in a language other than English an English translation of that earlier relevant application or of every part of that earlier relevant application in which the missing part of the application for a patent is contained.
- (8) Paragraph (7) (a) or (b) does not apply if a copy of the earlier relevant application, or an English translation of the earlier relevant application or of every part of the earlier relevant application in which the missing part of the application for a patent is contained (as the case may be), had previously been filed at the Registry.

#### 27. New applications under section 26(11)

- (1) Subject to paragraphs (2) and (3), a new application for a patent which includes a request that it be treated as having as its date of filing the date of filing of an earlier application may be filed in accordance with section 26(11) -
- (a) of the applicant's own volition; or

- (b) to comply with the requirements of section 25(5)(d).
- (2) Where possible, the description and drawings of the earlier application and the new application shall respectively relate only to the matter for which protection is sought by that application.
- (3) Where it is necessary for an application to describe the matter for which protection is sought by another application, it shall include a reference by number to that other application.

#### 28. Extension of period for filing new applications

Where a new application is filed under section 20(3), 26(11) or 47(4) -

- (a) the requirement under rule 9(1) shall be complied with on the initiation date;
- (b) after the expiry of the period referred to in rule 9(2)(b), the requirements under rule 9(2)(c) and (d) shall be complied with on the initiation date;
- (c) after the expiry of the period referred to in rule 9(3)(a), the requirements under rule 9(3)(b) and (c) shall be complied with on the initiation date;
- (d) after the expiry of the period referred to in rule 9A(2) (a), the requirements under rule 9A(2) (b), (c) and (d) shall be complied with on the initiation date;
- (e) after the expiry of the period referred to in rule 9B(1), the requirement under rule 9B(1) shall be complied with on the initiation date;
- (f) after the expiry of the period referred to in rule 18(1), the requirement under section 24(2) read with rule 18(1A) shall be complied with on the initiation date; and
- (g) the requirements under paragraph 1(2)(a)(ii) and (3) of the Fourth Schedule, in a case to which they apply, shall be complied with on or before the time ascertained under paragraph 1(3) of that Schedule or the initiation date, whichever is the later.

# 29. Publication of applications

(1) Subject to paragraphs (2), (3) and (4), an application for a patent shall be published for the purposes of section

27 as soon as possible after the expiration of 18 months from the declared priority date or, where there is no declared priority date, the date of filing the application.

- (2) Where a new application is filed under section 20(3), 26(11) or 47(4), as the case may be, the period prescribed for the purposes of section 27 shall be 18 months from the declared priority date for the earlier application or, where there is no declared priority date, the date of filing the earlier application.
- (3) A request for early publication of an application in accordance with section 27(2) shall be made on Patents Form 9.
- (4) No application for a patent may be published if it is -
- (a) withdrawn by filing Form CM9; or
- (b) treated as having been abandoned or refused, earlier than one month preceding the expiration of the time period applicable under paragraph (1) or (2).

# 30. Completion of preparations for publication

The Registrar may determine when the preparations for publication of an application for a patent are to be treated as having been completed.

#### PROCEDURE FOR GRANT

#### 31. Address for service

- (1) For the purposes of any proceedings before the Registrar, an address for service in Singapore shall be furnished in accordance with paragraph (2) by or on behalf of -
- (a) every applicant for a patent;
- (b) every person who initiates any proceedings with notice before the Registrar under rule 6, 7, 10, 11, 12, 14, 15, 16, 17, 52, 65, 66, 69, 71, 74, 80, 85 or 91, or paragraph 3 of the Fourth Schedule;
- (c) every proprietor of a patent who is a party to any proceedings with notice before the Registrar, but did not initiate those proceedings; and
- (d) every other party to any proceedings before the Registrar.
- (2) Where any form, or any application, request, reference or notice, referred to in paragraph (3) is required to be filed in relation to the proceedings, the address for service shall be furnished on that form, application, request, reference or notice, as the case may be.
- (3) The effect of furnishing an address for service shall be as follows:
- (a) where the address for service is furnished on -
- (i) Patents Form 1 or 37; or
- (ii) any application made under rule 86(9) or (13), the address for service shall be effective for the purposes of all proceedings in respect of the patent, or the application for a patent, in relation to which that form is filed or the application is made, as the case may be;
- (b) where the address for service is furnished on -
- (i) Patents Form 2, 7, 35 or 58;
- (ii) Form HC4 or HC6;
- (iii) Patents Form 28 (in so far as the form relates to an application referred to in rule 73(1));
- (iv) an application referred to in rule 14(1) or 71(1); (v) a request referred to in rule 15(1) or made under paragraph 3(4) of the Fourth Schedule;
- (vi) a reference referred to in rule 16(1); or

(vii) a notice filed under paragraph 3(6) of the Fourth Schedule,

the address for service shall be effective for the purposes of the proceedings in relation to which that form or notice is filed, or that application, request or reference is made, as the case may be;

- (c) where the address for service is furnished on Patents Form 15, 19, 20 or 53, the address for service shall be effective only for the purposes of all renewal applications or proceedings in respect of the patent in relation to which that form is filed;
- (d) where the address for service is furnished on -
- (ii) Form CM1, CM2, CM10 or CM12;
- (iii) an application made under rule 64(1); or
- (iv) a request mentioned in rule 92(1) or (2) or made under paragraph 2(1) of the Fourth Schedule,
- the address for service is effective only for the matter for which that form is filed or that application or request is made, as the case may be;
- (e) where the address for service is furnished on Form CM6, the address for service shall be effective for the purposes of all proceedings in respect of the licence in relation to which that form is filed;
- (f) where the address for service is furnished on Form CM7, the address for service shall be effective for the purposes of all proceedings in respect of the security interest in relation to which that form is filed;
- (g) where the address for service is furnished on Form CM8 -
- (i) if there are 2 addresses for service furnished on that form -
- (A) one address for service shall be furnished for the purpose of registering the transfer of the patent, or the application for a patent, in relation to which that form is filed, and shall be effective only for that purpose; and
- (B) the other address for service shall be effective for the purposes of all other proceedings in respect of that patent or application; or
- (ii) if there is only one address for service furnished on that form, the address for service shall be effective for the purposes of all proceedings in respect of the patent, or the application for a patent, in relation to which that form is

filed.

- (4) Where -
- (a) an address for service is not furnished by or on behalf of any person mentioned in paragraph (1)(b), (c) or (d) as required by that paragraph; and
- (b) no address is furnished under paragraph (3) by or on behalf of the person for the purposes of any of the proceedings mentioned in that paragraph, the Registrar may, subject to paragraph (5), treat the person's trade or business address in Singapore as that person's address for service for the purposes of those proceedings.
- (5) Where the trade or business address of the person is not known to the Registrar, the Registrar may send to the person, at an alternative address of the person, a notice to furnish an address for service for the purposes of the proceedings mentioned in paragraph (4)(b), within 2 months after the date of the notice.
- (5A) Where the Registrar does not have any alternative address of the person to send the notice under paragraph (5), or where the Registrar has sent a notice under that paragraph but no address for service is provided within 2 months after the date of the notice -
- (a) in the case of a person mentioned in paragraph (1) (b) the proceedings in question are treated as withdrawn; and (b) in the case of a proprietor mentioned in paragraph (1) (c) or a party mentioned in paragraph (1) (d) the proprietor or party is not permitted to take part in the proceedings in question.
- (6) Anything sent to or served on a person at his address for service shall be taken to have been duly sent to or served on the person.
- (7) In paragraphs (5) and (5A), "alternative address" means -
- (a) any address (not being an email address) that was previously provided by the person to the Registrar in relation to a matter under these Rules concerning the person

that is the same as the matter at hand; or

(b) any email address that was previously provided by the person to the Registrar in relation to a matter under these Rules that is the same as the matter at hand, where the person had given prior consent for that email address to be used for correspondence with the person for such matter.

#### 33. Formal requirements

- (1) The following shall be formal requirements for the purposes of the Act:
- (a) the requirements of rule 19(1), (4) and (6A);
- (b) the requirements laid down in rules 21(1), (2), (3), (4),
- (5) (other than those contained in paragraph (5)(h)) and (7),
  22 and 23 (other than those contained in paragraphs (19),
  (20) and (26));
- (c) the requirements of rule 31(1)(a); and
- (d) the filing of an abstract referred to in section 25(3) (c).
- (2) Where the application is an international application for a patent (Singapore) which, by virtue of section 85, is to be treated as an application for a patent under the Act, the requirements of rules 19(1), 21, 22 and 23 shall be treated as having been complied with to the extent that the requirements of the corresponding provisions of the Regulations under the Patent Co-operation Treaty, have been fulfilled.

#### 34. Preliminary examination

- (1) Where, on a preliminary examination of an application for a patent (referred to in this paragraph as the application in suit), the Registrar finds that a declaration for the purposes of section 17(2) specifies a date of filing for an earlier relevant application which is more than 12 months before the date of filing of the application in suit, the Registrar shall notify the applicant accordingly.
- (1A) The period prescribed for the purposes of section 28(4) shall be 2 months after the date of the Registrar's notification under section 28(4).

- (2) The period prescribed for the purposes of section 28(8) shall be -
- (a) if the applicant makes a request under section 28(9)(b)(i), 3 months from the date of the Registrar's notification under section 28(7); or
- (b) if the applicant does not make any request under section 28(9) (b) (i), any time before the applicant -
- (i) files a request for a search report under section29(1)(a);
- (ii) files a request for a search and examination report
  under section 29(1)(b);
- (iii) files a request for an examination report under section 29(1)(c); or
- (iv) files a request for a supplementary examination report under section 29(1)(d).
- (3) For the purposes of section 28(8), any drawing or part of the description of the invention that is missing from the application shall be filed on Patents Form 56.
- (4) An applicant may only withdraw the drawing or part of the description of the invention that is missing from the application by giving written notice to the Registrar.
- (5) The period prescribed for the purposes of section 28(9)(b) shall be 3 months from the date of the Registrar's notification under section 28(7).
- (6) Any request under section 28(9)(b)(i) shall -
- (a) be made at the time the applicant files the drawing or part of the description of the invention that is missing from the application under section 28(8);
- (b) be accompanied by -
- (i) the statement under section 28(9)(b)(ii);
- (ii) the information under section 28(9)(b)(iii); and (iii) the documents under section 28(9)(b)(iv); and
- (c) be considered never to have been made unless every requirement under section 28(9) has been complied with.
- (7) The information on an earlier relevant application prescribed for the purposes of section 28(9)(b)(iii) shall be

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- (a) the date of filing of the earlier relevant application;
- (b) the application or file number of the earlier relevant application; and
- (c) the country in or for which the earlier relevant application was made.
- (8) The documents prescribed for the purposes of section 28(9) (b) (iv) are -
- (a) a copy of the earlier relevant application mentioned in section 28(9) (a) that is -
- (i) duly certified by the authority with which it was filed; or
- (ii) otherwise acceptable to the Registrar; and
- (b) where the earlier relevant application is in a language other than English an English translation of that earlier relevant application or of every part of that earlier relevant application in which the drawing or part of the description of the invention that is missing from the application for a patent is contained.
- (9) Paragraph (8) (a) or (b) does not apply if a copy of the earlier relevant application, or an English translation of the earlier relevant application or of every part of the earlier relevant application in which the drawing or part of the description of the invention that is missing from the application for a patent is contained (as the case may be), had previously been filed at the Registry.

# 36. Request for search report

A request for a search report under section 29(1) (a) shall be made on Patents Form 10.

#### 37. Request for search and examination report

A request for a search and examination report under section 29(1)(b) shall be made on Patents Form 11.

# 38. Period for filing request for search report

- (1) The period prescribed for filing a request for a search report under section 29(1) (a) shall be -
- (a) if the application contains no declared priority date, 13

months from the date of filing; or

- (b) if the application contains a declared priority date, 13 months from the declared priority date.
- (2) Notwithstanding paragraph (1), where a new application is filed under section 20(3), 26(11) or 47(4), as the case may be, the period prescribed for filing a request for a search report under section 29(1) (a) shall be 13 months from the actual date of filing of the new application.

#### 40. Minimum documentation for search

- (1) The documentation referred to in rule 2A(2) (a) ("minimum documentation") shall consist of -
- (a) the patents issued by or registered in Singapore; (b) the patent applications published in Singapore;
- (c) the "national patent documents" as specified in paragraph
  (2); and
- (d) the published international (Patent Co-operation Treaty) applications.
- (2) Subject to paragraphs (3) and (4), the "national patent documents" shall be the following:
- (a) the patents issued by Australia, France, the former Reichspatentamt of Germany, Japan, Switzerland (in French and German languages only), the United Kingdom and the United States of America;
- (b) the patents issued by the Federal Republic of Germany; and
- (c) the patent applications, if any, published in the countries referred to in sub-paragraphs (a) and (b).
- (3) The patent documents of Japan, for which no abstracts in the English language are generally available, need not form part of the "minimum documentation" referred to in paragraph (1).
- (4) Only those patents issued and those patent applications published, after a date not more than 50 years before the declared priority date or, where there is no declared priority date, the date of filing of the application being subjected to a search, need form part of the "minimum"

documentation" referred to in paragraph (1).

### 41. Prescribed patent offices

The patent offices prescribed for the purposes of the definitions of "corresponding application", "corresponding patent", "related national phase application" and "related national phase patent" in section 2(1) shall be —

- (a) those of Australia, Canada (in respect of applications for a Canadian patent filed in the English language), Japan, New Zealand, Republic of Korea, the United Kingdom and the United States of America; and
- (b) the European Patent Office (in respect of applications for a European patent filed in the English language).

#### 42. Request for examination report

- (1) A request for an examination report under section 29(1)(c) or (3) shall be made on Patents Form 12.
- (1A) The prescribed documents referred to in section 29(1)(c) are -
- (a) where the applicant relies on the final results of a search in one corresponding application or related national phase application -
- (i) a copy of each of the final search results in respect of the corresponding application or related national phase application, as the case may be, together with a copy of each of the documents referred to in the final search results; and
  (ii) an English translation of each of the final search results that is in a language other than English;
- (b) where the applicant relies on the final results of a search in one corresponding international application -
- (i) a copy of each of the final international search results in respect of the corresponding international application together with a copy of each of the documents referred to in the final international search results; and
- (ii) an English translation of each of the final
  international search results that is in a language other than
  English;
- (c) where the application in suit is an international application for a patent (Singapore) that has entered the national phase in Singapore under section 86(3), and the

- applicant relies on the final results of a search during the international phase of the application in suit -
- (i) a copy of each of the final international search results in respect of the application in suit together with a copy of each of the documents referred to in the final international search results; and
- (ii) an English translation of each of the final
  international search results that is in a language other than
  English;
- (d) a list of references to the patent family members as corresponding to each document in a language other than English that is cited in the final search results referred to in sub-paragraph (a) or final international search results referred to in sub-paragraph (b) or (c), as the case may be; and
- (e) where any list of references to the patent family members referred to in sub-paragraph (d), or any part of that list, is in a language other than English, an English translation of that list or part.
- (1B) A copy of any document mentioned in paragraph (1A)(b)(i) and (c)(i) need not be filed if the Registrar had earlier sent a copy of that document in the English language to the applicant.
- (2) A request for an examination report under section 29(1) (c) shall not be treated as having been made unless the form required under paragraph (1) -
- (a) is filed with the prescribed documents referred to in paragraph (1A), unless this is not required by virtue of paragraph (1B); and
- (b) is accompanied by the prescribed fee.
- (3) Where -
- (a) an applicant has filed a request for an examination report under section 29(1)(c); and
- (b) any document referred to in the final search results referred to in paragraph (1A)(a) or final international search results referred to in paragraph (1A)(b) or (c), as the case may be, is in a language other than English, the Registrar may by letter require the applicant to furnish,

within 2 months after the date of the Registrar's letter -

- (i) an English translation of the whole or any part of that document; and
- (ii) a copy of a verification document -
- (A) made in accordance with the Registrar's requirements; and
- (B) verifying that the translation corresponds to the original text of that document or part thereof.
- (4) Where an applicant fails to comply with the Registrar's requirements under paragraph (3)(i) and (ii) within 2 months after the date of the Registrar's letter referred to in paragraph (3), the request for an examination report shall be treated as having been abandoned.

#### 42A. Request for supplementary examination report

- (1) A request for a supplementary examination report under section 29(1)(d) shall be made on Patents Form 12A.
- (2) The prescribed documents referred to in section 29(1)(d) are -
- (a) where the applicant relies on the final results of any search and examination as to the substance of one corresponding application, corresponding international application or related national phase application —
- (i) either -
- (A) a copy of the patent granted by the patent office in question which is  $\boldsymbol{-}$
- (AA) duly certified by that patent office; or
- (AB) otherwise acceptable to the Registrar, and, where the patent is in a language other than English, an English translation of the patent; or
- (B) all of the following:
- (BA) other documents, to the satisfaction of the Registrar, setting out the final results of the search and examination as to the substance of the corresponding application, corresponding international application or related national phase application, as the case may be;
- (BB) where any document referred to in sub-paragraph (BA) is in a language other than English, an English translation of that document;
- (BC) a copy of the patent claims referred to in the final results; and

- (ii) a table setting out how each claim in the application in suit is related to at least one claim in the corresponding application, corresponding international application or related national phase application, as the case may be, being a claim which has been examined to determine whether the claim appears to satisfy the criteria of novelty, inventive step (or non-obviousness) and industrial applicability (or utility); or
- (b) where the application in suit is an international application for a patent (Singapore) that has entered the national phase in Singapore under section 86(3), and the applicant relies on the final results of any search and examination as to the substance of the application in suit during its international phase -
- (i) an international preliminary report on patentability in respect of the application in suit during its international phase and, where that international preliminary report on patentability is in a language other than English, an English translation of that international preliminary report on patentability; and
- (ii) a table setting out how each claim in the application in suit is related to at least one claim in the application in suit during its international phase, being a claim which has been examined to determine whether the claim appears to satisfy the criteria of novelty, inventive step (or non-obviousness) and industrial applicability (or utility).
- (3) A request for a supplementary examination report under section 29(1) (d) shall not be treated as having been made unless the form required under paragraph (1) -
- (a) is filed with the prescribed documents referred to in paragraph (2); and
- (b) is accompanied by the prescribed fee.
- (4) In this rule, a reference to the final results of the search and examination as to the substance of a corresponding international application is a reference to an international preliminary report on patentability in respect of the corresponding international application.

# 43. Period for filing request for search and examination report, request for examination report or request for supplementary examination report, etc.

- (1) Subject to paragraph (2), the period prescribed for filing a request for a search and examination report under section 29(1) (b) or a request for an examination report under section 29(1) (c) or (3) shall be -
- (a) subject to sub-paragraph (b), 36 months after (i) the declared priority date of the application; or
- (ii) where there is no declared priority date, the date of filing of the application; or
- (b) where a new application is filed under section 20(3), 26(11) or 47(4), 36 months after the actual date of filing of the new application.
- (2) Where the Registrar sends the applicant a copy of the search report under section 29(2)(b) at any time later than one month before the expiry of the period prescribed under paragraph (1)(a) or (b), as the case may be, the period prescribed for filing a request for an examination report under section 29(3) shall be one month from the date of the Registrar's letter forwarding the copy of the search report sent under section 29(2)(b).
- (3) The period prescribed for filing a request for a supplementary examination report under section 29(1) (d) shall be -
- (a) subject to sub-paragraph (b), 54 months after -
- (i) the declared priority date of the application; or
- (ii) where there is no declared priority date, the date of filing of the application; or
- (b) where a new application is filed under section 20(3), 26(11) or 47(4), 54 months after the actual date of filing of the new application.
- (4) The prescribed date mentioned in section 29(12)(a) and
- (b) is 1 January 2020.

# 45. Search and examination procedure where 2 or more inventions claimed or new application filed under section 26(11)

- (1) If during the preparation of a report under section 29(1)(a) or (b) it appears that an application relates to 2 or more inventions, but they are not so linked as to form a single inventive concept, the search may be restricted to one in relation to the first invention specified in the claims of the application, and the Registrar shall notify the applicant of that fact.
- (1A) If during the preparation of an examination report under section 29(1)(c), it appears that an application relates to 2 or more inventions, but they are not so linked as to form a single inventive concept, the examination may be restricted to one in relation to the first invention specified in the claims of the application, and the Registrar must notify the applicant of that fact.
- (2) If the applicant desires a search to be conducted in relation to a second or subsequent invention specified in the claims, he shall, within 2 months from the date of the Registrar's notification referred to in paragraph (1), request on Patents Form 10 for a supplementary search report and pay the prescribed search fee for each invention in respect of which the search is to be made.
- (3) If a new application for a patent is filed under section  $26\,(11)$  in respect of any part of the matter contained in an earlier application for a patent, the applicant may, in lieu of complying with section  $29\,(1)\,(a)$ , notify the Registrar in writing that he intends to rely on -
- (a) any search report or supplementary search report established in the earlier application; or
- (b) where the earlier application is an international application for a patent (Singapore) that has entered the national phase in Singapore under section 86(3), any international search report or international supplementary search report established during the international phase of the earlier application.

(4) Rule 42(2), (3) and (4) shall apply to any request for an examination report that is filed by an applicant who relies on an international search report or international supplementary search report under paragraph (3).

#### 45A. Observation by third party on patentability

- (1) If the Registrar receives an observation in writing on the question of whether an invention is a patentable invention under section 32(1), the Registrar must give written notice of this fact to the applicant in the application for the patent.
- (2) The Registrar must send a copy of the observation to an Examiner who is carrying out an examination of the application under section 29(4)(a) or (5)(a)(ii) or a supplementary examination under section 29(6)(a), and the Examiner must take the observation into consideration.

# 46. Written opinion of Examiner, etc.

- (1) For the purposes of section 29(7), the prescribed matters are the following:
- (a) the description, claims, or drawings are so unclear, or the claims are so inadequately supported by the description, that no meaningful opinion can be formed on -
- (i) the novelty or inventive step of the claimed invention;
- (ii) whether the claimed invention is capable of industrial application;
- (b) the invention defined in any claim -
- (i) does not appear novel;
- (ii) does not appear to involve an inventive step; or (iii) does not appear to be capable of industrial application;
- (c) the conditions specified in sections 13 and 25(4) and (5) have not been complied with;
- (d) the application discloses any additional matter mentioned in section 84(1) or (2) or any matter mentioned in section 84(3);
- (e) a claim relates to an invention in respect of which no search has been completed, and the Examiner has decided not to carry out the examination in respect of that claim;
- (f) there is -

- (i) any other application for a patent for the same invention, with the same priority date, filed by the same applicant or the applicant's successor in title; or
  (ii) any earlier grant of a patent for the same invention, with the same priority date, to the same applicant or the applicant's successor in title.
- (1A) For the purposes of section 29(8), the prescribed matters are the following:
- (a) any claim in the application is not supported by the description of the invention;
- (b) at the time the prescribed documents mentioned in section  $29\,(1)\,(d)$  are filed, any claim in the application in suit is not related to at least one claim which —
- (i) is set out in the prescribed documents relating to the corresponding application, corresponding international application or related national phase application referred to in section 29(1)(d), or to the application in suit during its international phase; and
- (ii) has been examined to determine whether the claim appears to satisfy the criteria of novelty, inventive step (or non-obviousness) and industrial applicability (or utility);
- (c) the invention defined in any claim of the specification of the application does not constitute an invention;
- (d) the invention is an invention mentioned in section 13(2) that is not patentable;
- (e) the invention is an invention mentioned in section 16(2) that is not to be taken to be capable of industrial application;
- (f) there is -
- (i) any other application for a patent for the same invention, with the same priority date, filed by the same applicant or the applicant's successor in title; or
- (ii) any earlier grant of a patent for the same invention, with the same priority date, to the same applicant or the applicant's successor in title; or
- (g) the application discloses any additional matter mentioned in section  $84\,(1)$  or (2) or any matter mentioned in section  $84\,(3)$ ,
- (2) The Registrar shall, on receipt of the written opinion,

forward the opinion as soon as possible to the applicant together with an invitation to the applicant to file a response thereto.

- (3) The applicant may file a response to the written opinion in Patents Form 13A containing -
- (a) written submissions on the Examiner's opinion; or (b) an amendment of the specification of the application (whether or not he makes the amendment of his own volition), and where the applicant wishes to make written submissions and amend the specification of the application, he shall do both at the same time.
- (3A) Subject to paragraph (3B), where an amendment of the specification of the application is filed under paragraph (3), it shall be in the form of a copy of the specification
- with the amendment indicated in the following manner:
  (a) by striking through any text, figure or other matter to
- (b) by underlining any replacement text, figure or other matter.

be replaced or deleted; and

- (3B) Paragraph (3A) shall not apply if the amendment is filed using the electronic online system, and the amendment shall instead comply with practice directions issued by the Registrar in place of the requirements of that paragraph.
- (4) Any response under paragraph (3) to the first written opinion in respect of -
- (a) an examination report under section 29(4); or
- (b) a search and examination report under section 29(5), shall be filed within 5 months after the date of the Registrar's letter forwarding the written opinion.
- (4A) Any response under paragraph (3) to the written opinion in respect of a supplementary examination report under section 29(6) shall be filed within 3 months after the date of the Registrar's letter forwarding the written opinion.
- (5) Where the applicant has filed a response under paragraph
- (3) to a written opinion in respect of an examination report

under section 29(4) or a search and examination report under section 29(5) -

- (a) the Examiner may, in his discretion, issue a further written opinion to the Registrar stating fully the reasons for the opinion;
- (b) paragraphs (2) and (3) shall accordingly apply; and
- (c) any response under paragraph (3) to the further written opinion shall be filed within 5 months after the date of the Registrar's letter forwarding the further written opinion.
- (6) In deciding whether to issue any further written opinion, the Examiner may also take into consideration whether sufficient time remains for the applicant to respond to his written opinion and for him to draw up the examination report or the search and examination report.
- (7) A further opinion or report issued by the Examiner need not take into account any response filed by the applicant after he has commenced drawing up his opinion or report.
- (8) Subject to paragraphs (9), (10) and (11), the Examiner shall -
- (a) send the examination report, or the search and examination report, to the Registrar before the expiry of 18 months after the date of the Registrar's invitation under paragraph (2) to file a response to the first written opinion in respect of the examination report or the search and examination report, as the case may be; and
- (b) send the supplementary examination report to the Registrar before the expiry of 6 months after the date of the Registrar's invitation under paragraph (2) to file a response to the written opinion in respect of the supplementary examination report.
- (9) Where the applicant does not file a response to the first written opinion in respect of an examination report or a search and examination report within the period specified in paragraph (4) —
- (a) the Registrar shall inform the Examiner accordingly; and
- (b) upon the expiry of that period, the first written opinion shall be treated as the examination report or the search and

examination report, as the case may be.

- (10) Where the applicant does not file a response to the written opinion in respect of a supplementary examination report within the period specified in paragraph (4A) -
- (a) the Registrar shall inform the Examiner accordingly; and
- (b) upon the expiry of that period, the written opinion shall be treated as the supplementary examination report.
- (11) Where the applicant does not file a response to a further written opinion in respect of an examination report or a search and examination report within the period specified in paragraph (5)(c) -
- (a) the Registrar shall inform the Examiner accordingly; and
- (b) upon the expiry of that period, the further written opinion shall be treated as the examination report or the search and examination report, as the case may be.

#### 46AA. Invitation to amend

For the purposes of section 29(7C), an applicant for a patent to whom an Examiner gives an invitation to amend mentioned in section 29(7A) or (7B) may, within 2 months after the date of the invitation to amend and in Patents Form 13A —

- (a) amend the specification of the application in the following manner:
- (i) by striking through any text, figure or other matter to be replaced or deleted;
- (ii) by underlining any replacement text, figure or other matter; or
- (b) reject the invitation to amend.

# 46A. Review of examination report, etc.

- (1) A request for a review under section 29B(1) of an examination report issued under section 29(4), a search and examination report issued under section 29(5) or a supplementary examination report issued under section 29(6) shall be made on Patents Form 12B.
- (2) The period prescribed for the purposes of sections 29A(4)(a) and 29B(1)(a) shall be 2 months after the date of the Registrar's letter forwarding to the applicant the notice

under section 29A(3).

- (3) The applicant may amend the specification of the application under section 29B(2) by filing the amendment on Patents Form 13.
- (4) Where an amendment of the specification of an application is filed under paragraph (3), it shall be in the form of a copy of the specification with the amendment indicated in the following manner:
- (a) by striking through any text, figure or other matter to be replaced or deleted; and
- (b) by underlining any replacement text, figure or other matter.
- (5) The period prescribed for the purposes of section 29B(7) shall be 2 months after the date of the Registrar's letter forwarding the notice under section 29B(5)(b)(ii).

#### 47. Putting application in order

- (1) The period prescribed for the purposes of section 29A(2) (a) shall be 2 months after the date of the notice of eligibility to proceed to the grant of a patent under section 29A(1).
- (2) The period prescribed for the purposes of section 29B(6) (a) shall be 2 months after the date of the notice of eligibility to proceed to the grant of a patent under section 29B(5) (b) (i).
- (3) Payment of the prescribed fee for the grant of a patent must be accompanied by Patents Form 14 duly completed and filed by the applicant.
- (4) Where the specification of the application for a patent has been amended or corrected, or one or more missing parts have been included in the application, since the filing of the application -
- (a) in any case where the Registrar has issued to the applicant a notice of eligibility to proceed to the grant of a patent under section 29A(1) —

- (i) if any such amendment or correction is made before or at the time of compliance with section 29A(2)(a), the applicant shall, unless the Registrar specifies otherwise, file a specification incorporating every such amendment or correction at the time of compliance with section 29A(2)(a); (ii) if any such amendment or correction is made after the time of compliance with section 29A(2)(a), the applicant shall file a specification incorporating every such amendment or correction within such period as the Registrar may specify; and
- (iii) any such missing part shall be included before or at the time of compliance with section 29A(2) (a), and the applicant shall file a specification incorporating every such missing part at the time of compliance with section 29A(2) (a); or
- (b) in any case where the Registrar has sent the applicant a notice of eligibility to proceed to the grant of a patent under section 29B(5) (b) (i) -
- (i) if any such amendment or correction is made before or at the time of compliance with section 29B(6)(a), the applicant shall file a specification incorporating every such amendment or correction at the time of compliance with section 29B(6)(a);
- (ii) if any such amendment or correction is made after the time of compliance with section 29B(6)(a), the applicant shall file a specification incorporating every such amendment or correction within such period as the Registrar may specify; and
- (iii) any such missing part shall be included before or at the time of compliance with section 29B(6)(a), and the applicant shall file a specification incorporating every such missing part at the time of compliance with section 29B(6)(a).
- (4A) The documents prescribed for the purposes of section  $30\,\mathrm{(c)}$  shall be -
- (a) a duly completed Patents Form 14; and
- (b) every specification required to be filed under paragraph
- (4), if any.
- (6) No amendment or correction (other than an amendment or

correction introduced in order to comply with the formal requirements) may be introduced in the specification to be filed under paragraph (4).

(7) Paragraphs (4) and (6) shall not apply to an international application for a patent (Singapore) if the only amendment to the specification since the filing of the application was an amendment referred to in section 86(5) of the Act which has been incorporated in the specification of the application before it was published in accordance with the Patent Co-operation Treaty.

#### 48. Application for amendment before grant

- (1) Subject to rules 46(3) and (3A) and 56(1), an application for amendment of -
- (a) the request for the grant of a patent on Patents Form 1;
- (b) the description, claims, drawings and abstract, shall be made on Patents Form 13.
- (2) The application for amendment of a document referred to in paragraph (1)(a) or (b) shall be accompanied by a copy of that document with the proposed amendment indicated therein in the following manner:
- (a) by striking through any text, figure or other matter to be replaced or deleted; and
- (b) by underlining any replacement text, figure or other matter.
- (4) Paragraph (2) shall not apply if the application for amendment is to be filed using the electronic online system; and the application for amendment shall instead comply with practice directions issued by the Registrar in place of the requirements in that paragraph, including the filing of such other document prepared in such manner as may be specified therein.

# 49. Time for making amendments before grant

(1) The applicant may, of his own volition, amend the request for the grant of a patent at any time before payment of the fee for the grant of the patent.

- (2) Subject to paragraph (3), the applicant may, unless the Registrar otherwise requires, of the applicant's own volition, amend the description, claims, drawings and abstract at any time before payment of the fee for the grant of a patent.
- (3) Subject to section 29B(2), an amendment shall not be made under paragraph (2) -
- (a) at any time after the filing of a request for a search report under section 29(1)(a) and before the receipt of that report by the applicant;
- (b) at any time after the filing of a request for a search and examination report under section 29(1)(b), unless the amendment is contained in a response filed under rule 46(3) in respect of that report;
- (c) at any time after the filing of a request for an examination report under section 29(1)(c) or (3), unless the amendment is contained in a response filed under rule 46(3) in respect of that report;
- (d) at any time after the filing of a request for a supplementary examination report under section 29(1)(d), unless the amendment is contained in a response filed under rule 46(3) in respect of that report; or
- (e) at any time after a request for a review under section 29B(1) is made.

# 50. Certificate of grant

A certificate of grant under section 35(1) shall be in Certificate Form 1.

#### PATENTS AFTER GRANT

#### 51. Renewal of patents

- (1) If it is desired to keep a patent in force for a further year or part thereof after the expiration of the fourth or any succeeding year from the date of filing an application for that patent as determined in accordance with section 26, section 116(6) of the Patents Act (Cap. 221, 1995 Ed.) or section 117(4), an application for its renewal, in respect of the next succeeding year, accompanied by the prescribed renewal fee for that year, shall be filed in the 3 months ending with the fourth or, as the case may be, succeeding anniversary of the date of filing.
- (2) Where a patent is granted under section 30 after the expiry of the period of 45 months from the date of filing, the application for the renewal of the patent may be filed, and any renewal fees which have become due (including any fees due in respect of preceding years) may be paid, at any time up to the end of the period of 3 months from the date on which the patent is granted.
- (2A) Where the Registrar has extended the term of a patent under section 36A after the expiry of the period of 20 years referred to in section 36(1), an application for the renewal of the patent may be filed, and any renewal fees which have become due (including any fees due in respect of preceding years) may be paid, within 3 months from the date of the certificate of extension of patent term issued under section 36A(11) in respect of the patent.
- (3) An application for the renewal of a patent under this rule, or a late application for the renewal of a patent referred to in section 36(3), shall be filed —

  (a) on Patents Form 15.
- (3A) For the purposes of section 36(3), the prescribed period is 6 months.
- (3B) On receipt by the Registrar of -
- (a) an application for the renewal of a patent that is filed

- in accordance with the Act and these Rules; and
  (b) payment for the renewal fee and (if applicable) the
  additional fee for late application, that is made in
  accordance with the Act and these Rules,
  the patent shall be renewed.
- (4) Where the period for payment of a renewal fee pursuant to paragraph (1) has expired, the Registrar shall, not later than one month from the last date for payment under that paragraph and if the fee still remains unpaid, send to the proprietor of the patent a notice reminding him that payment is overdue and of the consequences of non-payment.
- (5) Where a patent to which section 117(3) applies has never been renewed under paragraph (1), the application of section 36(4) in relation to the patent is modified to the extent that the Registrar is not required to send a notice reminding the proprietor of the patent that the payment is overdue.

#### 51A. Extension of term of patent

- (1) For the purposes of section 36A(10), an application to extend the term of a patent under section 36A(1) (a) or (b) shall be -
- (a) made on Patents Form 54 within 6 months from the date of the grant of the patent; and
- (b) accompanied by -
- (i) the prescribed fee; and
- (ii) all documentary evidence which the applicant wishes to rely on to support the application.
- (2) For the purposes of section 36A(10), an application to extend the term of a patent under section 36A(1) (c) shall be
- (a) made on Patents Form 54 -
- (i) within 6 months from -
- (A) the date of the grant of the patent; or
- (B) the date marketing approval was obtained, whichever is the later;
- (ii) not later than 6 months before the end of the period of 20 years referred to in section 36(1); and
- (iii) in any case, while the patent is still in force; and

- (b) accompanied by -
- (i) the prescribed fee;
- (ii) a certificate under section 36A(7); and(iii) all other documentary evidence which the applicant wishes to rely on to support the application.
- (3) Notwithstanding paragraph (1) (b) or (2) (b) -
- (a) the Registrar may by letter direct an applicant for an extension of the term of a patent to furnish to the Registrar, within 2 months after the date of the Registrar's letter, such additional evidence in support of the application as the Registrar may reasonably require; and (b) if the applicant fails to comply with the Registrar's direction under sub-paragraph (a), the application for the extension of the term of the patent shall be treated as having been abandoned.
- (4) A certificate of extension of patent term under section 36A(11) shall be in Certificate Form 2.
- (5) For the purposes of section 36A(2), a delay by the Registrar in granting a patent shall not be treated as an unreasonable delay under section 36A(1) (a) unless —
- (a) the interval between the date of filing of the application for the patent and the date of issue of the certificate of grant, excluding any period attributable to an act or omission of the applicant, exceeds 4 years; or
- (b) the interval between the date on which the applicant -
- (i) filed a request for a search and examination report in accordance with section 29(1)(b); or
- (ii) filed a request for an examination report in accordance with section 29(1) (c) or (3), and the date of issue of the certificate of grant, excluding any period attributable to an act or omission of the

applicant, exceeds 2 years.

(6) For the purposes of section 36A(3), where the proprietor of a patent has made an application under section 36A(1) (a) and has satisfied the Registrar that there was in fact an unreasonable delay by the Registrar in granting the patent, the Registrar shall extend the term of the patent —

- (a) in a case to which paragraph (5)(a) applies, by the period by which the interval referred to in paragraph (5)(a) exceeds 4 years;
- (b) in a case to which paragraph (5)(b) applies, by the period by which the interval referred to in paragraph (5)(b) exceeds 2 years; or
- (c) in a case to which both sub-paragraphs (a) and (b) of paragraph (5) apply, by the longer of the following periods:
- (i) the period by which the interval referred to in paragraph(5)(a) exceeds 4 years;
- (ii) the period by which the interval referred to in paragraph (5)(b) exceeds 2 years.
- (7) For the purposes of section 36A(5), a curtailment of the opportunity to exploit a patent, the subject of which includes a substance which is an active ingredient of any pharmaceutical product, caused by the process of obtaining marketing approval for a pharmaceutical product, being the first pharmaceutical product to obtain marketing approval which uses the substance as an active ingredient, shall not be treated as an unreasonable curtailment under section 36A(1) (c) unless -
- (a) the marketing approval was obtained after the date of issue of the certificate of grant; and
- (b) the interval between the date the application for marketing approval was filed and the date marketing approval was obtained, excluding any period attributable to an act or omission of the applicant for marketing approval, exceeds 2 years.
- (8) For the purposes of section 36A(6), subject to section 36A(7), (8) and (9), where the proprietor of a patent has made an application under section 36A(1) (c) and has satisfied the Registrar that there was in fact an unreasonable curtailment of the opportunity to exploit the patent under section 36A(1) (c), the Registrar shall extend the term of the patent by the shortest of the following periods:
- (a) a period equivalent to the interval between the date of issue of the certificate of grant and the date marketing approval was obtained;
- (b) the period by which the interval referred to in paragraph

- (7) (b) exceeds 2 years;
- (c) a period of 5 years.

period started and ended.

- (9) For the purposes of section 36A(7), the Registrar shall not extend the term of the patent under section 36A(6) unless the applicant has procured and submitted to the Registrar a certificate from the relevant authority stating —
- (a) the date the application for marketing approval was filed;(b) the date marketing approval was obtained; and(c) for each period attributable to an act or omission of the applicant for marketing approval, the dates on which the
- (10) In paragraph (5), "period attributable to an act or omission of the applicant" includes (without prejudice to the generality of the expression) any of the following periods which is applicable:
- (a) the period taken by the applicant to pay the filing fee under section 25(1)(b), calculated from the date of filing of the application for the patent to the date on which the filing fee is paid;
- (b) the period taken by the applicant to make observations under section 26(3)(b)(i) which satisfy the Registrar that the documents filed at the Registry to initiate the application satisfy all of the conditions in section 26(1)(a), (b) and (c)(i) or (ii), calculated from the date of the Registrar's notification to the applicant under section 26(3)(a) to the date on which the applicant makes the observations;
- (c) the period taken by the applicant to file the documents referred to in section 26(7)(a), (b) and (c), calculated from the date of filing of the application for a patent to the date on which the applicant files the documents;
- (d) the period taken by the applicant to comply with section 26(9)(b), if the applicant, after filing at the Registry any missing part of the application, makes a request to the Registrar for section 26(8)(b) not to apply to the application, calculated from the date on which the applicant filed at the Registry the missing part of the application to the date on which the applicant complies with section 26(9)(b);

- (e) the period taken by the applicant to make a new application for a patent under section 26(11)(a), calculated from the date of filing of the earlier application for a patent referred to in section 26(11) to the date on which the new application is made;
- (f) the period taken by the applicant to file one or more claims for the purposes of the application under section 26(12)(a), calculated from the date of filing of the application for the patent to the date on which the applicant files the claim or claims;
- (g) the period taken by the applicant to -
- (i) make observations under section 28(4)(a) which satisfy the Registrar that all the formal requirements have been complied with; or

(ii) amend his application under section 28(4)(b) to comply

- with all the formal requirements, calculated from the date of the Registrar's notification under section 28(4) to the date on which the applicant makes the observations or the earliest date on which the application, as amended by the applicant, complies with all the formal requirements;
- (h) the period taken by the applicant to comply with section 28(9)(b), if the applicant, after filing any drawing or part of the description of the invention which is missing from the application, makes a request to the Registrar for section 28(8)(b) not to apply to the application, calculated from the date of the Registrar's notification under section 28(7) to the date on which the applicant complies with section 28(9)(b);
- (i) the period taken by the applicant to -
- (i) file a request for a search report under section 29(1)(a) and pay the prescribed fee for that report; or
- (ii) file a request for a search and examination report under section 29(1)(b) and pay the prescribed fee for that report, calculated from the date of the Registrar's notification under section 28(11) to the date on which the applicant files the request and pays the fee;
- (j) the period taken by the applicant to file the prescribed documents and a request for an examination report under section 29(1)(c) and pay the prescribed fee for that report, calculated from the date of the Registrar's notification

under section 28(11) to the date on which the applicant files the documents and request and pays the fee;

- (k) the period taken by the applicant to file the prescribed documents and a request for a supplementary examination report under section 29(1)(d) and pay the prescribed fee for that report, calculated from the date of the Registrar's notification under section 28(11) to the date on which the applicant files the documents and request and pays the fee; (1) the period taken by the applicant to file a request for
- (1) the period taken by the applicant to file a request for an examination report under section 29(3), calculated from the date of the Registrar's letter forwarding a copy of the search report under section 29(2)(b) to the date on which the applicant files the request;
- (la) the period taken by the applicant to respond to an invitation to amend, calculated from the date the invitation is given to the date on which the applicant responds to the invitation (whether by amending the specification of the application or by rejecting the invitation), or the last day of the period prescribed under section 29(7C), whichever is earlier;
- (m) the period taken by the applicant to respond to a written opinion under section 29(9)(a), calculated from the date of the Registrar's letter forwarding a copy of the written opinion under section 29(7) or (8) to the date on which the applicant responds to the written opinion;
- (n) the period taken by the applicant to amend the specification of the application under section 29(9)(b), calculated from the date of the Registrar's letter forwarding a copy of the written opinion under section 29(7) or (8) to the date on which the applicant makes the amendment;
- (o) the period taken by the applicant to apply for a review of the examination report, search and examination report or supplementary examination report under section 29A(4)(a), calculated from the date of the Registrar's notice under section 29A(3) to the date on which the applicant files the request;
- (p) the period taken by the applicant to amend the specification of the application under section 29B(2), calculated from the date of the Registrar's notice under section 29A(3) to the date on which the applicant makes the amendment;

- (q) the period taken by the applicant to satisfy the conditions under section 30(a) and (c), calculated from the date of the notice of eligibility to proceed to the grant of a patent under section 29A(1) or 29B(5)(b)(i) that is received by the applicant to the date on which the applicant satisfies the conditions under section 30(a) and (c); (r) where the application is an international application for a patent (Singapore) that has entered the national phase in Singapore under section 86(3), the period taken by the applicant to cause the application to enter the national phase in Singapore, calculated from the date of the filing of the application in accordance with the Patent Co-operation Treaty to the date on which the national phase of the application begins under section 86(3);
- (s) the period taken by the applicant to rectify any failure to comply with any requirement under the Act or these Rules, such period  ${\mathord{\text{--}}}$
- (i) to be calculated from the date by which the applicant is required to comply with the requirement to the date on which the applicant rectifies the failure to comply with the requirement; and
- (ii) to include any period taken by the Registrar or the Registry to detect or to notify the applicant of the applicant's failure to comply with the requirement;
- (t) the period taken by the applicant to respond to any request by the Registrar for any document, information or evidence, calculated from the date of the Registrar's notification to the applicant of the request to the date on which the applicant responds to the request;
- (u) any extension or alteration of any period to do any thing that is granted by the Registrar or the court at the request or on the application of the applicant.
- (11) In paragraphs (7) and (9), "period attributable to an act or omission of the applicant for marketing approval" includes (without prejudice to the generality of the expression) -
- (a) the period taken by the applicant for marketing approval to correct deficiencies in his application for marketing approval, calculated from the date of the relevant authority's notification to the applicant for marketing

approval of the deficiencies to the date on which the applicant for marketing approval corrects the deficiencies;

- (b) the period taken by the applicant for marketing approval to respond to any request by the relevant authority for clarification or information, calculated from the date of the relevant authority's request to the date on which the relevant authority receives the response of the applicant for marketing approval; and
- (c) any extension of any period to do any thing that is granted by the relevant authority at the request or on the application of the applicant for marketing approval.

### 52. Amendment of specification after grant

- (1) An application to the Registrar for permission to amend the specification of a patent shall be made on Patents Form 17.
- (1A) If the Registrar is satisfied that the application may be allowed, the Registrar shall advertise the application by publishing the application and the reasons for the proposed amendment in the journal and in such other manner, if any, as the Registrar may direct.
- (2) Any person wishing to oppose the application to amend shall, within 2 months from the date of the advertisement in the journal, file notice to the Registrar on Patents Form 58.
- (3) The notice shall be supported by a statement setting out fully the facts upon which the opponent relies and the relief which he seeks.
- (3A) The opponent shall, at the time the notice and the statement are filed, serve on the applicant a copy of the notice and a copy of the statement.
- (4) Within 2 months after the date on which the applicant is served with the copies of the notice and the statement, he shall, if he wishes to continue with the application, file a counter-statement on Form HC6 setting out fully the grounds upon which the opposition is resisted.

- (4A) The applicant shall, at the time the counter-statement is filed, serve on the opponent a copy of the counter-statement.
- (5) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the application.
- (6) An application under this rule shall be accompanied by a copy of the specification with the proposed amendment indicated therein in the following manner:
- (a) by striking through any text, figure or other matter to be replaced or deleted; and
- (b) by underlining any replacement text, figure or other matter.
- (7) Where notice of opposition has been filed, the Registrar, in determining whether permission to amend should be granted, may cause the patent as proposed to be amended to be examined by an examiner to determine whether the amendment is allowable under section 84 (3), in which case the application for permission to amend together with the notice of opposition, the accompanying statement and any counterstatement, shall be taken into consideration by the examiner during his examination.
- (8) Where permission to amend a specification is given, the applicant shall, if the Registrar so requires, and within a time to be fixed by him, file a new specification as amended, which shall be prepared in accordance with rules 19, 21 and 23.
- (8B) No amendment or correction (other than an amendment or correction introduced in order to comply with rule 19, 21 or 23) may be introduced in the new specification to be filed under paragraph (8).
- (9) Where no notice of opposition is received by the Registrar under paragraph (2) or where such notice fails to comply with paragraph (3) and the Registrar is satisfied with the reasons for making the proposed amendments, he may give

permission to the applicant to amend the specification of the patent.

- (10) Where an application to amend is filed using the electronic online system -
- (a) paragraph (6) shall not apply to the application; and
- (b) the application shall instead comply with practice directions issued by the Registrar in place of the requirements under that paragraph.

### 52A. Re-examination after grant

- (1) A request for the Registrar to conduct a re-examination of the specification of a patent under section 38A(1) must be made on Patents Form 36, and be accompanied by -
- (a) the prescribed fee;
- (b) a statement -
- (i) identifying each ground under section 38A(1) on which the request is made; and
- (ii) explaining how that ground or grounds is or are satisfied; and
- (c) any document mentioned in section 38A(2)(c)(ii).
- (2) Where any document mentioned in section 38A(2)(c)(ii) that is filed is in a language other than English, the Registrar may require the person who filed the request to provide, within the time specified by the Registrar —
- (a) an English translation of the whole or any part of that document; and
- (b) a copy of a document verifying, to the Registrar's satisfaction, that the translation corresponds to the original text of that document or part of that document.
- (3) If the person fails to comply with paragraph (2)(a) or
- (b) within the time specified by the Registrar, the request is treated as having been abandoned.
- (4) Where the Registrar grants a request under section 38A(1), the Registrar must forward to an Examiner  $-\$
- (a) the request;
- (b) the statement mentioned in paragraph (1) (b); and (c) each document mentioned in paragraph (1) (c).
- (5) The proprietor of the patent may, within 2 months after the date on which the Registrar sends the proprietor a copy of the Examiner's written opinion under section 38A(8),

request an interview with the Examiner, and the Registrar must grant such a request.

- (6) The Registrar may, in any particular case, grant a request for an interview with the Examiner that is made after the period mentioned in paragraph (5).
- (7) If the proprietor of the patent wishes to respond to the written opinion given under section 38A(8), the proprietor must file a response in Patents Form 13A, containing -
- (a) written submissions on the Examiner's written opinion; or
- (b) an application to amend the specification of the patent, with the amendment indicated by  ${\mathord{\text{--}}}$
- (i) striking through any text, figure or other matter to be replaced or deleted; and
- (ii) underlining any replacement text, figure or other matter,

and where the proprietor wishes to make written submissions and apply to amend the specification of the patent, the proprietor must do both at the same time.

- (8) The proprietor of the patent must file the response mentioned in paragraph (7) with the Registrar within 3 months after the date on which the Registrar sends to the proprietor a copy of the written opinion.
- (9) If the proprietor of the patent fails to respond to the written opinion within the period mentioned in paragraph (8)
- (a) the Registrar must inform the Examiner accordingly; and
- (b) the written opinion is treated as the re-examination report mentioned in section 38A(10).
- (10) Where an application to amend the specification of the patent has been filed by the proprietor under paragraph (7)(b) and it appears to the Examiner that the proposed amendment would resolve any objection mentioned in the written opinion, the Examiner must state so in the reexamination report and specify in the report the objection that would be so resolved.
- (11) The Registrar may direct the proprietor of the patent to

file with the Registrar, within the time specified by the Registrar -

- (a) in a case where paragraph (10) applies a specification incorporating the amendment mentioned in paragraph (10); or
- (b) in a case where the Registrar makes an order mentioned in section 38A(12) (b) a specification incorporating any amendment (including any proposed amendment mentioned in paragraph (10)) relating to the order to the satisfaction of the Registrar.

### 53. Restoration of lapsed patent

- (1) An application under section 39 for the restoration of a patent -
- (a) may be made at any time within 18 months from the day on which it ceased to have effect; and
- (b) shall be made on Patents Form 19 together with a statutory declaration or affidavit setting out the grounds for the application and the evidence in support thereof, and the Registrar shall publish in the journal notice of the making of the application.
- (1A) Where the application is for the restoration of a patent to which section 117(3) applies, the evidence referred to in paragraph (1)(b) shall include —
- (a) that which establishes that the patent remained in force in the United Kingdom at the time the first renewal was due if the patent had never been renewed under the Act; and
- (b) a statutory declaration stating that the patent has not been revoked in the United Kingdom.
- (2) If, upon consideration of the grounds and evidence, the Registrar is not satisfied that a case for an order under section 39 has been made out, he shall notify the applicant accordingly and, unless within one month from the date of the Registrar's notification the applicant requests to be heard in the matter in accordance with rule 88, the Registrar shall refuse the application.
- (3) If the applicant requests a hearing within the time allowed, the Registrar shall determine, in accordance with rule 88, whether the application shall be allowed or refused.

- (4) If the Registrar decides to allow the application, he shall notify the applicant accordingly and require him, within 2 months from the date of the Registrar's notification to him, to file Patents Form 20 accompanied by the prescribed additional fee and the amount of any unpaid renewal fee, upon receipt of which the Registrar shall order the restoration of the patent and publish the fact in the journal.
- (5) Paragraph (1)(a) as amended by the Patents (Amendment) Rules 1997 shall also apply to any patent to which section 117(3) applies which had ceased to have effect before 1st April 1997.

### 54. Surrender of patents

- (1) A notice of an offer under section 40 by a proprietor of a patent to surrender his patent shall be given on Form CM3.
- (2) A notice under paragraph (1) shall have no effect unless the proprietor of the patent states in the notice that each person having a right in the patent -
- (a) has been given not less than 3 months' notice of the proprietor's intention to surrender the patent; and
- (b) either is not affected by the surrender of the patent, or has no objection to the surrender of the patent.
- (3) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the surrender of the patent.

### REGISTER AND OFFICIAL DOCUMENTS

## 55. Register of patents

- (1) The Registrar shall maintain an electronic form of the register to which access may be gained using the electronic online system.
- (1A) No entry shall be made in the register in respect of any application for a patent before the application has been published in accordance with section 27.
- (2) Upon such publication, the Registrar shall cause to be entered in the register -
- (a) the name and address of the applicant or applicants;
- (b) the name and address of the person or persons stated by the applicant or applicants to be believed to be the inventor or inventors;
- (c) the title of the invention;
- (d) the date of filing and the file number of the application for the patent;
- (e) the date of filing and the file number of any application declared for the purposes of section 17(2) and the country in or for which the application was made;
- (f) the date on which the application was published; (g) the address for service of the applicant or applicants; and
- (h) the symbol or symbols of the International Patent Classification allocated to the application.
- (3) The Registrar shall also cause to be entered in the register -
- (b) the date on which the application is withdrawn, treated as having been abandoned, treated as having been withdrawn, refused or treated as having been refused;
- (c) the date on which the patent is granted;
- (d) the name and address of the person or persons to whom the patent is granted if different to the entries made in accordance with paragraph (2)(a);
- (e) the address for service, if different to the entry made in accordance with paragraph (2)(g);
- (f) notice of any transaction, instrument or event referred to in section 43(3);
- (g) the date of renewal of the patent, if any;

- (ga) the date of any application under section 36A to extend the term of the patent;
- (gb) the period of the extension of the term of the patent under section 36A, if any;
- (h) the cessation of the patent, if any;
- (i) the restoration of the patent, if any;
- (j) particulars of any decision to revoke the patent; and (k) particulars of any court order in relation to the patent.
- (4) The Registrar may at any time enter in the register such other particulars as he may think fit.

### 56. Change of particulars

- (1) A request by a person (including an advocate and solicitor or any other person appointed by a party to act on the party's behalf) to change the person's name or other particular in the register or any application or other document filed at the Registry, must be made in Form CM2.
- (2) If the Registrar is satisfied that a request in paragraph
- (1) may be allowed, the Registrar must cause the register, application or other document to be updated accordingly.

### 57. Application for registration of transaction, etc.

- (1) An application to register, or to give notice to the Registrar of, any transaction, instrument or event to which section 43 applies shall be made -
- (a) on Form CM6, in the case of the grant, assignment, amendment or termination of a licence or sub-licence under a patent or an application for a patent;
- (b) on Form CM7, in the case of the grant, assignment, amendment or termination of a mortgage of, or any other security interest in, a patent or an application for a patent, or a licence or sub-licence under a patent or an application for a patent;
- (c) on Form CM8, in the case of any assignment of a patent or an application for a patent, or of a right in a patent or an application for a patent (being a right other than a licence or sub-licence under the patent or application for a patent, or a mortgage of, or any other security interest in, the patent or application for a patent, or a licence or sub-

licence under the patent or application for a patent);

(d) by way of a written request, in the case of the death of a proprietor of a patent or an application for a patent, or of any person having a right in or under a patent or an application for a patent, and the vesting, by an assent of the personal representatives of the deceased, of the patent, application or right, referred to in section 43(3)(d); or

(e) by way of a written request that is accompanied by a copy of the order or directions of the court or the competent authority concerned, in the case of any order or directions of a court or other competent authority mentioned in section 43(3)(e).

- (2) Where an application under paragraph (1)(a), (b), (c) or
- (d) is filed other than by means of the electronic filing system, the application must be signed by or on behalf of relevant party
- (3) Where an application under paragraph (1)(a), (b), (c) or
- (d) is filed by means of the electronic online system, the application shall be authorised by each relevant party and validated by such means as the Registrar considers fit.
- (4) In paragraphs (2) and (3), "relevant party" means -
- (a) in the case of paragraph (1)(a) the person who granted the licence or sub-licence;
- (b) in the case of paragraph (1) (b) the person who granted the mortgage or security interest;
- (c) in the case of paragraph (1)(c) each assignor; or
- (d) in the case of paragraph (1) (d) each personal representative of the deceased.
- (5) Where an application under paragraph (1)(a), (b), (c) or
- (d) is not signed in accordance with paragraph (2), or not authorised and validated in accordance with paragraph (3), the application must be accompanied by -
- (a) in the case of an assignment of a patent or an application for a patent, at the option of the applicant -
- (i) a copy of the contract of assignment;
- (ii) an extract of the contract of assignment, being an extract that shows the change in the ownership of the patent

### or application;

- (iii) a certificate of transfer of the patent or application in such form as the Registrar may require, being a certificate signed by all parties to the assignment;
- (iv) a transfer document relating to the patent or application in such form as the Registrar may require, being a document signed by all parties to the assignment; or
- (v) a copy of any documentary evidence that in the Registrar's view is sufficient to establish the assignment;
- (b) in the case of a grant of a licence or sub-licence under a patent or an application for a patent, at the option of the applicant -
- (i) an extract of the licence or sub-licence contract, being an extract that shows the parties to the contract and the rights that are licensed under the contract;
- (ii) a statement of the licence or sub-licence containing such information as the Registrar may require, being a statement signed by both the person who granted the licence or sub-licence, and the licensee; or
- (iii) a copy of any documentary evidence that in the Registrar's view is sufficient to establish the grant;
- (c) in the case of an amendment to, or a termination of, a licence or sub-licence under a patent or an application for a patent, at the option of the applicant —
- (i) a statement of the amendment or termination (as the case may be) containing such information as the Registrar may require, being a statement signed by both the person who granted the licence or sub-licence, and the licensee; or
- (ii) a copy of any documentary evidence that in the Registrar's view is sufficient to establish the amendment or termination, as the case may be; or
- (d) in any other case, a copy of any documentary evidence that in the Registrar's view is sufficient to establish the transaction, instrument or event.
- (6) The Registrar may require the applicant to furnish any document, instrument or information in support of the application within any period that the Registrar may specify.
- (7) The Registrar must refuse an application under paragraph
- (1) if paragraph (2), (3) or (5), or a requirement under

paragraph (6), is not complied with.

### 58. Request for correction of errors

- (1) A request for the correction of each error in the register or in any document filed at the Registry in connection with registration shall be made on Form CM4; and the correction shall be clearly identified on a document filed together with the form or, if not, on the form itself.
- (2) Where a request is sought to correct the same error in both the register and any document filed at the Registry in connection with the registration, the request may be made on a single form.
- (3) Subject to rule 58A, the Registrar may call for such written explanation of the reasons for the request or evidence in support of it as he may require in order to satisfy himself that there is an error and, upon being so satisfied, shall make such correction as may be agreed between the proprietor of the patent or applicant and the Registrar.
- (4) This rule is without prejudice to the power of the Registrar to correct any entry in the register which is attributable wholly or in part to an error, default or omission on the part of the Registry.

# 58A. Advertisement of and opposition to request for correction

- (1) This rule applies where a person (X) makes a request under rule 58 on or after 26 May 2022 for the correction of any of the following:
- (a) an error in the name or other particular of a person in the register or any document under rule 58;
- (b) an error in any information pertaining to a priority application.
- (2) If the Registrar determines that the interests of any person may be affected by the proposed correction, the Registrar may advertise the proposed correction by publishing the request and the nature of the proposed correction in the

journal and in any other manner that the Registrar determines.

- (3) Any person (Y) may, at any time within 2 months after the date of the advertisement, give notice to the Registrar of opposition to the request on Patents Form 58.
- (4) The notice of opposition must be supported by a statement setting out fully the facts on which Y relies.
- (5) Y must, at the time the notice and the statement are filed, serve on X a copy of the notice and a copy of the statement.
- (6) If X desires to proceed with the request, X must -
- (a) within 2 months after the date on which X is served the copies of the notice and the statement, file a counterstatement on Form HC6 setting out fully the grounds on which X contests the opposition; and
- (b) at the time the counter-statement is filed, serve on Y a copy of the counter-statement.
- (7) The Registrar may give such directions as the Registrar thinks fit with regard to any aspect of the procedure for the request or the opposition to the request.
- (8) The Registrar must consider the opposition in deciding whether to grant the request.

### 59. Inspection of register, etc.

Any person may make use of the electronic online system - (a) to inspect the register; or

- (b) to search and retrieve -
- (i) published patents specifications in respect of a patent or application for a patent;
- (ii) an entry in the register; or
- (iii) information as to the renewal of a patent.

## 61. Advertisement of matters contained in register

The Registrar may arrange for the publication and advertisement of such things done under the Act or these

Rules in relation to the register as he may think fit.

### 62. Entries in respect of right to a patent

On the reference to the Registrar of a question under section  $20\,(1)$  or  $47\,(1)$ , he shall, subject to rule  $55\,(1\text{A})$ , cause an entry to be made in the register of the fact and of such other information relating to the reference as he may think fit.

### 62A. Certificate of contested validity of patent

- (1) This rule applies where the court or the Registrar has certified under section 72(1) that a patent is found to be wholly or partially valid in any proceedings before the court or the Registrar (as the case may be), and the fact that the validity of the patent was contested in the proceedings.
- (2) If the certificate is given by the court, the proprietor of the patent may file a written request with the Registrar to add to the entry of the patent in the register a note that the certificate has been given in the course of the proceedings, and a copy of the certificate must be filed together with the written request.
- (3) The Registrar must, on receipt of the request mentioned in paragraph (2) and the copy of the certificate, add the note to the entry of the patent in the register.
- (4) If the certificate is given by the Registrar, the Registrar must add to the entry of the patent in the register a note that such certificate has been given in the course of the proceedings.

## 63. Certificates and copies supplied by Registrar

- (1) Upon request made on Form CM12 and payment of the appropriate fee, but subject to paragraph (3), the Registrar shall supply -
- (a) a certified copy or certified extract falling within section 45; or
- (c) a certificate for the purposes of section 45.
- (3) The restrictions on making documents available for

inspection contained in rule 93(4) shall apply equally to the supply by the Registrar under this rule of copies of or extracts from such documents or requests as are referred to in rule 93(4); and nothing in this rule shall be construed as imposing upon the Registrar the duty of supplying copies of or extracts from any document or file of a description referred to in rule 93(5).

# 64. Orders or directions by court

- (1) Notwithstanding any other provision in these Rules, where any order or direction has been made or given by any court or other competent authority in any matter under the Act, the person, or one of the persons, in whose favour the order is made or the direction is given -
- (a) shall file an application to record the order or direction, accompanied by a copy of the order or direction; and
- (b) if the Registrar so requires and within such period as the Registrar may specify, shall file a specification as amended (prepared in accordance with rules 19, 21 and 23).
- (2) Upon a filing under paragraph (1), the specification shall be amended or the register rectified or altered, as the case may require.

### ENTITLEMENT TO PATENT

# 65. Reference to Registrar of right to patent

- (1) A reference under section 47(1) shall be made on Patents Form 2 and shall be accompanied by a statement setting out fully the nature of the question, the facts upon which the person making the reference relies and the order which he is seeking.
- (2) The Registrar shall send a copy of the reference and statement to every person who is not a party to the reference being -
- (a) a person who is shown on the register as having any right in or under the patent;
- (b) a person who has given notice to the Registrar of a relevant transaction, instrument or event; or
- (c) a person who is alleged in the reference to be entitled to a right in or under the patent.
- (3) If any person who is sent a copy of the reference and statement under paragraph (2) wishes to oppose the making of the order sought, he shall, within 2 months from the date of the Registrar's letter forwarding such copies to him, file a counter-statement on Form HC6 setting out fully the grounds of his opposition and the Registrar shall send a copy of the counter-statement to the person making the reference and to those recipients of the copy of the reference and statement who are not party to the counter-statement.
- (4) The person making the reference or any such recipient may, within 2 months from the date of the Registrar's letter forwarding the copy of the counter-statement, file evidence in support of his case and shall send a copy of the evidence
- (a) in any case, to the opponent; and
- (b) in the case of evidence filed by such a recipient, to the person making the reference.
- (5) Within 2 months from the date of receipt of the copy of such evidence or, if no such evidence is filed, within 2 months from the expiration of the time within which the copy

of such evidence could have been filed, the opponent may file evidence in support of his case and shall send a copy of that evidence to the person making the reference and to those recipients; and, within 2 months from the date of receipt of the copy of the opponent's evidence, that person or any of those recipients may file further evidence confined to matters strictly in reply and shall send a copy of it to the persons mentioned in paragraph (4)(a) and (b).

- (6) No further evidence shall be filed by any party except with permission or by direction of the Registrar.
- (7) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the reference.

### 66. Application for authorisation to carry out directions

- (1) An application under section 47(3) for authority to do anything on behalf of a person to whom directions have been given under section 47(2)(d) shall be made in writing and shall be accompanied by a statement setting out fully the facts upon which the applicant relies and the nature of the authorisation sought.
- (2) The applicant shall, at the time the application and statement are filed, serve on the person referred to in paragraph (1) a copy of the application and a copy of the statement.
- (3) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the application.

# 67. Time limits for making new application

Where the Registrar orders that a new application may be made under section 47(4), it shall be made within 3 months from the day on which the time for appealing from that order expires without an appeal being brought or, where an appeal is brought, from the day on which it is finally disposed of.

### 68. Request for licence to continue working new patent

- (1) Where an order is made under section 47 that a patent shall be transferred to one or more persons none of whom was an old proprietor of it or that a person other than an old proprietor may make a new application for a patent, a request under section 48(3) for the grant of a licence to continue working or, as the case may be, to work the invention shall be made within 2 months from the date of notification by the Registrar of the making of the order.
- (2) Where such an order is made, the Registrar shall notify the old proprietor or proprietors, and their licensees of whom he is aware, of the making of the order.

### 69. Reference as to entitlement to grant of licence

- (1) Where a question is referred to the Registrar under section 48(5) as to whether any person is entitled to be granted a licence or whether the period or terms of a licence are reasonable, the reference shall be made in writing and shall be accompanied by a statement setting out fully the facts upon which the person making the reference relies and the terms of the licence which he is prepared to accept or grant.
- (2) The person making the reference shall, at the time the reference and the statement are filed, serve on every new proprietor and every person claiming to be entitled to be granted a licence (each such proprietor or person not being the person making the reference) a copy of the reference and a copy of the statement.
- (2A) Any proprietor or person referred to in paragraph (2) who does not agree to grant or accept a licence for such period and upon such terms shall -
- (a) within 2 months after the date on which he is served with the copies of the reference and the statement, file a counter statement on Form HC6 setting out fully the grounds of his objection; and
- (b) at the time the counter-statement is filed, serve on the person making the reference a copy of the counter statement.

(3) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the reference.

### LICENCES OF RIGHT

# 70. Entry in register of licence of right

- (1) An application under section 53(1) shall be made on Patents Form 28.
- (2) Every entry made in the register consequent upon such an application shall be published in the journal and in such other manner (if any) as the Registrar thinks necessary.

### 71. Settlement of terms of licence of right

- (1) An application under section 53(3)(a) or (b) shall be made in writing together with -
- (a) in the case of an application by the proprietor of the patent, one copy of a draft of the licence he proposes and of a statement of the facts he relies on; and
- (b) in the case of an application by any other person, one copy of a draft of the licence he seeks.
- (2) The applicant shall -
- (a) in the case of an application by the proprietor, at the time the application and the documents referred to in paragraph (1)(a) are filed, serve on the person to whom the applicant proposes to grant the licence a copy of the application and a copy of each document referred to in paragraph (1)(a); and
- (b) in the case of an application by any other person, at the time the application and the document referred to in paragraph (1)(b) are filed, serve on the proprietor a copy of the application and a copy of the document referred to in paragraph (1)(b).
- (3) Within 2 months after the date on which the application is served on him in accordance with paragraph (2) -
- (a) in the case of an application by the proprietor, the person referred to in paragraph (2) (a) may -
- (i) file a counter-statement on Form HC6 setting out fully the grounds of his objection; and
- (ii) at the time the counter-statement is filed, serve on the proprietor a copy of the counter-statement; or
- (b) in the case of an application by any other person, the

proprietor may -

- (i) file a statement setting out fully the grounds of his objection; and
- (ii) at the time the statement is filed, serve on the person referred to in paragraph (2)(b) a copy of the statement.
- (4) Within 2 months after the date on which a statement under paragraph (3)(b) is served on him, the person therein referred to -
- (a) may file a counter-statement on Form HC6; and
- (b) if he does so, shall at the time the counter-statement is filed, serve on the proprietor a copy of the counter statement.
- (5) No further statement or counter-statement shall be served by either party without the permission or direction of the Registrar.
- (6) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the application.

# 72. Cancellation of entry in register as to licence of right by proprietor

An application under section 54(1) shall be made on Patents Form 28.

# 73. Cancellation of entry in register as to licence of right by third party

- (1) An application under section 54(3) shall be made on Patents Form 28 within 2 months from the date of publication in the journal of the relevant entry and shall be supported by a statement setting out fully the nature of the claimant's interest and the facts upon which he relies.
- (2) The claimant shall, at the time the application is filed, serve on the proprietor of the patent a copy of the application and a copy of the statement.

# 74. Procedure as to cancellation of entry in register as to licence of right

- (1) Every application under section 54(1) or (3) shall be advertised in the journal and the period within which notice of opposition to the cancellation of an entry may be given under section 54(7) shall be 2 months from the date of the advertisement.
- (2) Such notice of opposition shall be given on Patents Form 58 and shall be supported by a statement setting out fully the facts upon which the opponent relies.
- (3) The opponent shall, at the time the notice of opposition and the supporting statement are filed, serve on the applicant for cancellation of the entry a copy of the notice and a copy of the statement.
- (3A) If the applicant for cancellation of the entry desires to proceed with the application, he shall -
- (a) within 2 months after the date on which he is served the copies of the notice and the statement, file a counter statement on Form HC6 setting out fully the grounds on which the opposition is contested; and
- (b) at the time the counter-statement is filed, serve on the opponent a copy of the counter-statement.
- (4) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the opposition to the cancellation of the entry.

# 75. Action by Registrar on cancellation in register as to licence of right

- (1) Where the Registrar has received an application from the proprietor of a patent to cancel an entry in the register under section 54(1), the Registrar shall notify the proprietor of the patent who shall, within 2 months from the date of the Registrar's notification to him, pay fees equal to the amount of the balance of all renewal fees which would have been payable if the entry had not been made.
- (2) Upon receipt of the fees under paragraph (1), the

Registrar may proceed to cancel the entry if he is satisfied that there is no existing licence under the patent or that all licensees under the patent consent to the application.

- (3) Where the Registrar cancels an entry in the register in respect of a patent under section 54(3), the Registrar shall notify the proprietor of the patent who shall, within 2 months from the date of the Registrar's notification to him, pay fees equal to the amount of the balance of all renewal fees which would have been payable if the entry had not been made.
- (4) Patents Form 15 shall be filed with the Registry when fees are paid to the Registry under this rule.

### INFRINGEMENT OF PATENTS

## 75A. Particulars prescribed under section 66(4)

A written notice to an importer under section 66(4) shall contain the following particulars:

- (a) a statement that the notice is issued in accordance with section 66(4);
- (b) the patent number of the patent relied on, as stated in the certificate of grant;
- (c) the date of filing of the application pursuant to which that patent was granted;
- (d) the date on which that patent was granted;
- (e) the name and address of each proprietor of that patent;
- (f) the address for service of the proprietor or proprietors, as the case may be;
- (g) the name and address of the person referred to in section 66(3)(b)(ii);
- (h) a detailed statement explaining how the import of the patented product by the importer would result in the product being distributed in breach of the contract referred to in section 66(3)(b);
- (i) the text of all clauses in the contract referred to in section 66(3) (b) which are relied on or referred to in the statement under paragraph (h).

### REVOCATION OF PATENTS

### 80. Procedure on application for revocation

- (1) An application to the Registrar for the revocation of a patent shall be made on Patents Form 35 and shall be accompanied by a statement setting out fully the grounds of revocation, including the facts upon which the applicant relies and the relief which he seeks.
- (2) The applicant shall, at the time the application and the statement are filed, serve on the proprietor of the patent a copy of the application and a copy of the statement.
- (3) Within 3 months from the date of receipt of the copies of the application and statement, the proprietor of the patent, if he wishes to contest the application, shall file a counter-statement on Form HC6 setting out fully the grounds upon which the application is contested and he may, at the same time file an amendment of the specification of the patent in accordance with section 83, and shall at the same time send a copy of the amendment (if any) and the counter-statement to the applicant.
- (4) In the case of an application for revocation of the patent specifying a ground mentioned in section 80(1), the application for the revocation of the patent must be granted if the proprietor of the patent fails to file the counterstatement in accordance with paragraph (3).
- (4A) An order for the revocation of a patent made under paragraph (4) may be set aside by the Registrar, on the application of the proprietor of the patent, on any terms that the Registrar thinks fit.
- (4B) An application under paragraph (4A) must be made within 14 days after the date of the Registrar's notification of the order for the revocation of the patent made under paragraph (4).
- (5) The applicant may, within 3 months from the date of receipt of the copy of the amendment (if any) and the

counter-statement, file evidence as he may desire to adduce in support of his case and shall at the same time send a copy of that evidence to the proprietor.

- (6) If the applicant files no evidence in accordance with paragraph (5), he shall, unless the Registrar otherwise directs, be deemed to have abandoned his application.
- (7) If the applicant files evidence in accordance with paragraph (5), then, within 3 months from the date of receipt of the copy of the applicant's evidence, the proprietor of the patent may file evidence as he desires to adduce in support of his case and shall at the same time send a copy of that evidence to the applicant.
- (8) Within 3 months from the date of receipt by the applicant of the copy of the proprietor's evidence, the applicant may file further evidence confined to matters strictly in reply and shall at the same time send a copy of that evidence to the proprietor.
- (9) No further evidence shall be filed by either party, but in any proceedings before the Registrar, he may at any time, if he thinks fit, give permission to either party to file any evidence upon such terms as to costs or otherwise as he may think fit.
- (10) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the application.

# 80A. Material information prescribed under section 80(1)(f)(iii)

The material information for the purposes of section  $80\,(1)\,(\mathrm{f})\,(\mathrm{iii})$  shall be -

- (a) any prescribed information referred to in -
- (i) repealed section 29(2)(c)(ii) or (d)(ii) in force immediately before 14th February 2014; or
- (ii) repealed section 29(5) in force immediately before 1st July 2004;
- (b) any prescribed documents referred to in section 29(1)(c)

or (d); and

(c) where the prescribed information or prescribed documents referred to in paragraph (a) or (b) includes any document that is not in English, the English translation of such document that was filed.

# 81. Procedure relating to preparation of re-examination report

- (1) If the Registrar decides to cause the patent to be re examined -
- (a) the Registrar shall direct the applicant for revocation of the patent to file Patents Form 36 requesting reexamination of the patent, together with the prescribed reexamination fee and any security for the costs or expenses of the proceedings specified by the Registrar, within 2 months from the date of the direction, and if the applicant fails to do so within that period he shall be deemed to have abandoned his application; and
- (b) the Examiner shall during re-examination take into consideration the statement by the applicant, any amendment of the specification of the patent, the counter-statement by the proprietor of the patent and any evidence filed under rule 80 and shall recommend whether or not the patent should be revoked on any of the grounds specified in the application for revocation, and shall notify the Registrar of the conclusions in the re-examination report stating fully the reasons for such conclusions.
- (2) If amendments have been proposed under section 83(1) by the proprietor of the patent, the Examiner shall in the reexamination report include an opinion as to whether the amendment proposed -
- (a) is allowable under section 84(4); and
- (b) would overcome, in whole or in part, any of the grounds of revocation specified in the application for revocation.

### 82. Copies and conclusions of re-examination report

The Registrar shall send a copy of the re-examination report to both the applicant for revocation of the patent and the proprietor of the patent, and he shall take into consideration the conclusions of the re-examination report in making his determination on the patent or the patent as amended.

### 83. Award of costs on surrender of patent

If, in proceedings before the Registrar under section 80, the proprietor of a patent offers to surrender his patent under section 40, the Registrar shall, in deciding whether costs should be awarded to the applicant for revocation, consider whether proceedings might have been avoided if the applicant had given reasonable notice to the proprietor before the application was filed.

### 84. Notification of an opportunity to amend

- (1) Where it appears to the Registrar in accordance with section 81 that the patent ought to be revoked, the proprietor of the patent shall be so notified and afforded an opportunity, within 3 months from the date of such notification, to make observations and to amend the specification of the patent.
- (2) Where the Registrar allows under section 83 the proprietor of the patent to amend the specification of the patent, he may, before the specification is amended, require the applicant to file a new specification, as amended, prepared in accordance with rules 19, 21 and 23.

# AMENDMENT OF PATENTS IN RE-EXAMINATION OR REVOCATION PROCEEDINGS

# 85. Procedure as to opposition to amendment

- (1) Where in proceedings before the Registrar a proposed amendment under section 83 is advertised, notice of opposition to such an amendment may, within 2 months from the date of advertisement in the journal, be filed on Patents Form 58.
- (2) Such notice of opposition shall be supported by a statement setting out fully the facts upon which the opponent relies and the relief which he seeks.
- (3) The opponent shall, at the time the notice of opposition and the statement are filed, serve, on the proprietor of the patent and on every other party to the proceedings before the Registrar, a copy of the notice of opposition and a copy of the statement.
- (4) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the opposition to the amendment.

### NATIONAL PROCESSING OF INTERNATIONAL APPLICATIONS

## 86. Treatment of international applications

- (1) Subject to this rule, in relation to an international application for a patent (Singapore) which is, under section 85, to be treated as an application for a patent under the Act, the prescribed period for the purposes of section 86(3) and (5) shall be -
- (a) in a case where -
- (i) the relevant date is on or after 1st May 2002, and the national phase of the application begins on or after 1st January 2004; or
- (ii) Singapore has been elected in accordance with Chapter II of the Patent Co-operation Treaty,
- 30 months from the relevant date; or
- (b) in any other case, 20 months from the relevant date.
- (2) Payment of the prescribed fee shall be made within the period prescribed in paragraph (1)(a) or (b), as the case may be, and if the applicant so wishes, together with the filing of Patents Form 37.
- (3A) Where an international application for a patent (Singapore) has begun the national phase, a request may be made under section 17(4) within one month from the date the national phase of the application begins.
- (4) In the case of an international application for a patent (Singapore), where an English translation of a document or part of a document is required by the Act or these Rules to be filed before or at the time limit for entering the national phase, verification of the translation, as required under rule 112 and permitted by rule 51 bis 1(d) of the Regulations under the Patent Co-operation Treaty, may be given to the Registrar at any time before the end of 2 months after that time limit.
- (5) For the purposes of section 86(3) and (5), where an English translation of the application or any amendment of the application is required, the translation —
- (a) shall exclude the request and abstract unless -

- (i) the applicant expressly requests the Registrar to proceed earlier than the expiry of the period prescribed in paragraph (1); and
- (ii) the application has not been published in accordance with the Patent Co-operation Treaty;
- (b) shall include any textual matter in the drawings in a form which complies with rule 49.5(d) of the Regulations under the Patent Co-operation Treaty;
- (c) where a title has been established by the International Searching Authority under rule 37.2 of the Regulations under the Patent Co-operation Treaty which differs from the title included in the application as originally filed, shall include the former title in place of the latter; and (d) may exclude any textual matter contained in a sequence listing forming part of the description if such textual matter complies with rule 12.1(d) of the Regulations under the Patent Co-operation Treaty and the description complies with rule 5.2(b) of those Regulations.
- (6) Where an applicant is required to file a translation into English both of an application as originally filed and of the amendment to it, in accordance with paragraph (5), in order to satisfy the relevant conditions of section 86(3) and (5) and at the expiry of the applicable period referred to in paragraph (1), the prescribed fee has been paid and one but not both of the necessary translations has been filed (a) the Registrar shall give notice to the applicant at the
- (a) the Registrar shall give notice to the applicant at the address furnished by the applicant in accordance with rule 31 requiring the applicant to file the required translation within 2 months from the date on which the notice is sent; and
- (b) the applicable period shall be treated in respect of that translation as not expiring until the end of the period specified in the notice given under sub-paragraph (a).
- (8) In the case of an international application for a patent (Singapore) in respect of which the conditions specified in section 86(3)(a) are satisfied, the period prescribed for the purposes of section 24(2) shall be 2 months from the time limit for entering the national phase.

- (8A) In the case of an international application for a patent (Singapore) in respect of which the conditions specified in section 86(3)(b) are satisfied, the period prescribed for the purposes of section 24(2) shall be the later of the following periods to expire:
- (a) the period prescribed by rule 18(1);
- (b) 2 months from the date on which those conditions are satisfied.
- (9) Where, in relation to an international application for a patent (Singapore), the applicant desires that section 85(1) shall not cease to apply to the application by virtue of the operation of section 85(3), application shall be made to the Registrar in writing, accompanied by a statement of the facts upon which the applicant relies.
- (10) An international application for a patent (Singapore) shall not be treated as withdrawn under the Act if it, or the designation of Singapore in it, is deemed to be withdrawn under the Patent Cooperation Treaty where, in the same or comparable circumstances in relation to an application under the Act (other than an international application for a patent) —
- (a) the Registrar could have directed that an irregularity be rectified under rule 100 or that an extension be granted under rule 108; and
- (b) the Registrar determines that the application would not have been treated as withdrawn under the Act.
- (11) Where under section 85(3) an application is not to be treated as withdrawn and the applicant wishes to proceed -
- (a) the Registrar may amend any document received by the Registry from the receiving Office or the International Bureau and alter any period or time which is specified in the Act or these Rules upon such terms (including payment of any appropriate prescribed fee) as he may direct; and
- (b) the fee prescribed under section 86(3) shall not be payable.
- (12) Where the applicant satisfies the Registrar that -
- (a) because of an error made by the receiving Office, an

international application for a patent (Singapore) has been accorded a date of filing which is incorrect; or

(b) the priority claim made under Article 8(1) of the Patent Cooperation Treaty has been considered not to have been made by the receiving Office or the International Bureau because of an error made by the Office or the Bureau, the Registrar may amend any document received by the Registry from the receiving Office or the International Bureau or alter any period or time which is specified in the Act or these Rules as if the error were an error on the part of the Registry.

#### (13) Where -

- (a) an international application for a patent (Singapore) purports to designate Singapore; and
- (b) the applicant alleges that he has been refused a filing date under the said Treaty on account of an error or omission in any institution having functions under the said Treaty, the applicant may apply to the Registrar in writing for it to be treated as an application under the Act, accompanied by a statement of the facts upon which he relies; and the Registrar may amend any document filed by the applicant and alter any period or time which is specified in the Act or these Rules upon such terms as he may direct.
- (14) In this rule, "receiving Office" has the same meaning as in the Patent Co-operation Treaty.
- (15) In this rule, in relation to an international application for a patent (Singapore) "relevant date" means the declared priority date or, where there is no declared priority date, the date of filing of that application;

"time limit for entering the national phase" means the end of the relevant period prescribed by paragraph (1) or, in a case where that period has been extended under paragraph (6), rule 100 or 108, the end of that period as so extended.

### HEARINGS, AGENTS AND CORRECTION OF ERRORS

## 87. Registrar's discretionary powers

- (1) Without prejudice to any of the provisions of the Act or these Rules requiring the Registrar to hear any party to proceedings under the Act or these Rules, or to give such party an opportunity to be heard, but subject to paragraph (2), the Registrar shall, before exercising any discretionary power vested in him by or under the Act or these Rules adversely to any party to a proceeding before him, give that party an opportunity to be heard.
- (2) Paragraph (1) and rule 88 do not apply to either of the following:
- (a) the issue of a notice of intention to refuse an application for a patent under section 29A(3);
- (b) the sending of a notice of refusal of an application for a patent under section 29B(5)(b)(ii).

### 88. Request for hearing

- (1) A request for the exercise of the discretionary powers of the Registrar under rule 87 in proceedings at which only the party making the request is present, whether interlocutory or otherwise, shall be made on Form HC4 and shall be filed within one month from the date of notification by the Registrar of any objection to an application or the date of any other indication that he proposes to exercise a discretionary power, and the Registrar may refuse to hear any party who has not filed the request within the time allowed.
- (2) Upon receipt of a request under paragraph (1), the Registrar shall send to the person making the request a notice of a time when he may be heard which shall be not less than 14 days from the date of the notice.
- (3) A request for the exercise of the discretionary powers of the Registrar under rule 87 in interlocutory proceedings with notice shall be made to the Registrar in writing.
- (4) A person shall, at the time he makes a request under paragraph (3), serve on every other party to the proceedings

a copy of the request.

- (5) Except as provided in paragraph (1) or (3), no request shall be made for the exercise of the discretionary powers of the Registrar under rule 87.
- (6) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for a hearing under this rule.
- (7) After hearing each party, the Registrar shall notify every party of his decision in relation to the exercise of the discretionary power.
- (8) Where any party wishes to have the Registrar's grounds of decision in respect of a request under paragraph (1) -
- (a) the party shall, within 6 weeks after the date of the Registrar's decision, by filing Form HC5, request the Registrar to state the Registrar's grounds of decision; and
- (b) the Registrar shall as soon as practicable send the grounds of decision to the party.
- (9) Where any party wishes to have the Registrar's grounds of decision in respect of a request under paragraph (3) -
- (a) the party shall -
- (i) request the Registrar in writing to state the Registrar's grounds of decision; and
- (ii) at the time the party makes the request under subparagraph (i), serve on every other party to the proceedings a copy of that request; and
- (b) the Registrar shall as soon as practicable send the grounds of decision to every party to the proceedings.
- (10) The date on which the Registrar's grounds of decision are sent to the party making the request under paragraph (8)(a) or (9)(a)(i), as the case may be, shall be deemed to be the date of the Registrar's decision for the purpose of an appeal.

### 88A. Hearing and notice of attendance at hearing

(1) This rule shall apply only to proceedings with notice

under rule 10, 11, 12, 14, 15, 16, 17, 52, 65, 66, 69, 71, 74, 80, 85 or 91, or paragraph 3 of the Fourth Schedule, where the parties have filed evidence pursuant to any of those rules or to a direction by the Registrar.

- (2) Upon completion of the filing of evidence by the parties, the Registrar shall give notice to the parties of the date or dates on which he will hear arguments on the case.
- (3) The parties shall file with the Registrar their written submissions and bundles of authorities at least one month before the first date of hearing notified under paragraph (2), and shall at the same time as filing, exchange with one another their respective written submissions and bundles of authorities.
- (4) Any party who intends to appear at the hearing shall file Form HC1 with the Registrar before the date he intends to appear at that hearing.
- (5) Any party who does not file Form HC1 with the Registrar before the date he intends to appear at the hearing may be treated as not desiring to be heard, and the Registrar may proceed with the hearing in the absence of that party or may, without proceeding with the hearing, give his decision or dismiss the proceedings, or make such other order as he thinks fit.
- (6) If, after filing Form HC1 with the Registrar, a party does not appear at the hearing, the Registrar may proceed with the hearing in the absence of that party, or may, without proceeding with the hearing, give his decision or dismiss the proceedings, or make such other order as he thinks fit.
- (7) If neither party appears at the hearing, the Registrar may dismiss the proceedings.
- (8) Upon making any decision or order under paragraph (5) or
- (6) or dismissing any proceedings under paragraph (5), (6) or
- (7), the Registrar shall notify every party of the decision,

order or dismissal, as the case may be, in writing.

- (9) Any decision or order made by the Registrar under paragraph (5) or (6) in the absence of any party may, on the application of that party, be set aside by the Registrar on such terms as the Registrar thinks fit.
- (10) Any proceedings dismissed under paragraph (5), (6) or (7) may, on the application of any party, be restored on the direction of the Registrar.
- (11) An application under paragraph (9) or (10) shall be made within 14 days after the date of the Registrar's notification under paragraph (8).

### 88B. Case management conference

- (1) Notwithstanding anything in these Rules, at any stage of any application to or proceedings before the Registrar, the Registrar may direct the applicant or parties to attend a case management conference in order that the Registrar may make such order or give such direction as he thinks fit for the just, expeditious and economical disposal of the matter.
- (2) At a case management conference, the Registrar may -
- (a) consider any matter, including the possibility of settlement of all or any of the issues in the application or proceedings; and
- (b) direct the parties to furnish him with such information as he may require.
- (3) If any party fails to comply with any direction or order given under paragraph (1) or (2), the Registrar may -
- (a) where that direction or order was given in relation to any application or proceedings initiated by that party, dismiss the application or proceedings, as the case may be; or
- (b) make such other order as the Registrar thinks fit.
- (4) Any direction given or order made by the Registrar under paragraph (1), (2) or (3) may be set aside or varied by him on such terms as he thinks fit.

- (5) If, during or pursuant to a case management conference, the parties are agreeable to a settlement of all or some of the matters in dispute in any application or proceedings, the Registrar may —
- (a) give his decision in relation to the application or proceedings; or
- (b) make such order as he thinks just to give effect to the settlement.
- (6) If any party does not attend a case management conference, the Registrar may -
- (a) where the case management conference pertains to any application or proceedings initiated by that party, dismiss the application or proceedings, as the case may be;
- (b) make such other order as the Registrar thinks fit; or
- (c) adjourn the case management conference.
- (7) An order made by the Registrar in the absence of a party under paragraph (6) may be set aside by the Registrar, on the application of that party, on such terms as the Registrar thinks fit.
- (8) Any application or proceedings dismissed under paragraph
- (3) or (6) may, on the application of any party, be restored on the direction of the Registrar.

## 89. Hearings in public

- (1) Subject to paragraph (2), where a hearing before the Registrar of any dispute between 2 or more parties relating to any matter in connection with a patent or an application for a patent takes place after the publication of the application under section 27, the hearing of the dispute shall be in public.
- (2) After consulting the parties to the dispute mentioned in paragraph (1), the Registrar may direct that the hearing not be held in public.

# 90. Where advocate and solicitor, patent agent, etc., appointed to act for party

- (1) Unless the Registrar directs otherwise in any particular case -
- (a) a party may -
- (i) for the purposes of appearing before the Registrar at any proceedings before the Registrar under the Act or these Rules or any treaty to which Singapore is a party appoint an advocate and solicitor or a registered patent agent to act on the party's behalf; or
- (ii) for any other matter under the Act or these Rules appoint an advocate and solicitor, a registered patent agent or any other person to act on the party's behalf; and(b) every notice, application or other document filed under the Act by the party may be signed by the advocate and solicitor or the person so appointed.
- (2) Where any party to any proceedings before the Registrar or any other matter under the Act or these Rules appoints an advocate and solicitor, a registered patent agent or any other person -
- (a) to act on his behalf; or
- (b) to act on his behalf in substitution for another, the advocate and solicitor, registered patent agent or person shall file a declaration of authorisation, and furnish an address for service in Singapore, on Form CM1 on the first occasion when he acts on behalf of the party.
- (3) Notwithstanding paragraph (2), where these Rules require any form, or any application, request, reference or notice, referred to in paragraph (4) to be filed, the advocate and solicitor, registered patent agent or person referred to in paragraph (2) may file the declaration of authorisation, and furnish the address for service, on that form, application, request, reference or notice, as the case may be.
- (4) The effect of filing a declaration of authorisation, and furnishing an address for service, shall be as follows:
- (a) where the declaration of authorisation is filed, and the address for service is furnished, on -
- (i) Patents Form 1 or 37; or

- (ii) any application made under rule 86(9) or (13), the declaration of authorisation and address for service shall be effective for the purposes of all proceedings in respect of the patent, or the application for a patent, in relation to which that form is filed or the application is made, as the case may be;
- (b) where the declaration of authorisation is filed, and the address for service is furnished, on -
- (i) Patents Form 2, 7, 35 or 58;
- (ii) Form HC4 or HC6;
- (iii) Patents Form 28 (in so far as the form relates to an application referred to in rule 73(1));
- (iv) an application referred to in rule 14(1) or 71(1); (v) a request referred to in rule 15(1) or made under paragraph 3(4) of the Fourth Schedule;
- (vi) a reference referred to in rule 16(1); or
- (vii) a notice filed under paragraph 3(6) of the Fourth Schedule,

the declaration of authorisation and address for service shall be effective for the purposes of the proceedings in relation to which that form or notice is filed, or that application, request or reference is made, as the case may be:

- (c) where the declaration of authorisation is filed, and the address for service is furnished, on Patents Form 15, 19, 20 or 53, the declaration of authorisation and address for service shall be effective only for the purposes of all renewal applications or proceedings in respect of the patent in relation to which that form is filed;
- (d) where the declaration of authorisation is filed, and the address for service is furnished, on -
- (ii) Form CM2, CM10 or CM12;
- (iii) an application made under rule 64(1); or
- (iv) a request mentioned in rule 92(1) or (2) or made under paragraph 2(1) of the Fourth Schedule, the declaration of authorisation and address for service are effective only for the matter for which that form is filed or that application or request is made, as the case may be;
- (e) where the declaration of authorisation is filed, and the address for service is furnished, on Form CM6, the declaration of authorisation and address for service shall be

effective for the purposes of all proceedings in respect of the licence in relation to which that form is filed;

- (f) where the declaration of authorisation is filed, and the address for service is furnished, on Form CM7, the declaration of authorisation and address for service shall be effective for the purposes of all proceedings in respect of the security interest in relation to which that form is filed;
- (g) where the declaration of authorisation is filed, and the address for service is furnished, on Form CM8, the declaration of authorisation is effective for both the purpose of registering the transfer of the patent, or the application for a patent, in relation to which that form is filed (each called in this sub-paragraph purpose A), and for the purpose of every other proceeding in respect of that patent or application (called in this sub-paragraph purpose B), and —
- (i) if 2 addresses for service are provided on that form, then one of them is for purpose A and the other is for purpose B, as specified by the person who filed the form; or (ii) if one address for service is provided on that form, then it is for both purpose A and purpose B.
- (5) The Registrar, in dealing with any matter under the Act or these Rules in respect of which a party has appointed an advocate and solicitor, a registered patent agent or any other person to act on the party's behalf, may require the personal signature or presence of either or both of the following:
- (a) the advocate and solicitor, registered patent agent or person;
- (b) the party.
- (6) The Registrar may, by notice in writing sent to an advocate and solicitor, a registered patent agent, or any other person, appointed by a party to act on his behalf, require the advocate and solicitor, registered patent agent or person to produce evidence of his authority to act for the party.
- (7) No act under the Act or these Rules may be done by or to

an advocate and solicitor, a registered patent agent, or any other person, appointed by a party to act on the party's behalf, until after the advocate and solicitor, registered patent agent or person has filed a declaration of authorisation, and furnished an address for service, in accordance with paragraph (2) or (3) or, in the case of a change in his name or address for service or both, until he has filed Form CM2 in respect of that change.

- (8) Where an advocate and solicitor, a registered patent agent or any other person (called in this paragraph the agent), having been appointed by a party to act on the party's behalf, intends to cease to act on the party's behalf, the agent -
- (a) must file with the Registrar on Form CM1 -
- (i) a notice of the intention;
- (ii) the party's latest address, including any email address, on the agent's records; and
- (iii) a statement that the agent has given reasonable notice to the party of the intention, and informed the party of the consequences set out in rule 31(5A) as applied by paragraph (11); and
- (b) must serve Form CM1 on the party at the same time the form is filed with the Registrar.
- (9) On receipt of the form mentioned in paragraph (8)(a), the Registrar must send to the party a notice requesting the party to provide an address for service within 3 months after the date of the notice.
- (10) The notice in paragraph (9) must be sent to the address of the party filed under paragraph (8)(a)(ii) or any alternative address of the party.
- (11) Rule 31(5A)(a) or (b) (whichever is applicable) applies, with the necessary modifications, if -
- (a) the Registrar does not have any alternative address of the party to which to send the notice under paragraph (9); or
- (b) the Registrar sent the notice under paragraph (9) and no address for service was provided within 3 months after the date of the notice.

(12) In paragraphs (10) and (11), "alternative address" has the meaning given by rule 31(7).

## 91. Correction of errors in patents and applications

- (1) Subject to paragraph (12) and unless the Registrar directs otherwise, a request for the correction of an error of translation or transcription or of a clerical error or mistake in any specification of a patent, in an application for a patent or in any document filed in connection with a patent or such an application shall be made on Form CM4.
- (1A) Where the request relates to an error in any document other than a form, the request shall be accompanied by a copy of the document with the proposed correction indicated in the following manner:
- (a) by striking through any text, figure or other matter to be replaced or deleted; and
- (b) by underlining any replacement text, figure or other matter.
- (1C) Paragraph (1A) shall not apply if the request is to be filed using the electronic online system; and the request shall instead comply with practice directions issued by the Registrar in place of the requirements in that paragraph, including the filing of such other document prepared in such manner as may be specified therein.
- (2) Where such a request relates to a specification, no correction shall be made therein unless the correction is obvious in the sense that it is immediately evident that nothing else would have been intended than what is offered as the correction.
- (3) The Registrar may advertise the proposed correction by publishing the request and the nature of the proposed correction in the journal and in such other manner, if any, as the Registrar may determine.
- (5) Any person may, at any time within 2 months from the date of the advertisement, give notice to the Registrar of

opposition to the request on Patents Form 58.

- (6) Such notice of opposition shall supported by a statement setting out fully the facts on which the opponent relies and the relief which he seeks.
- (7) The opponent shall, at the time the notice and the statement are filed, serve on the person making the request a copy of the notice and a copy of the statement.
- (7A) If the person making the request desires to proceed with the request, he shall -
- (a) within 2 months from the date on which he is served the copies of the notice and the statement, file a counter statement on Form HC6 setting out fully the grounds on which he contests the opposition; and
- (b) at the time the counter-statement is filed, serve on the opponent a copy of the counter-statement.
- (8) The Registrar may give such directions as he may think fit with regard to any aspect of the procedure for the request or the opposition to the request.
- (9) Before the Registrar grants the request for correction to a specification, the person making the request shall, if the Registrar so requires, and within the time specified by the Registrar, file a new specification as corrected, which shall be prepared in accordance with rules 19, 21 and 23.
- (11) No amendment or correction (other than an amendment or correction introduced in order to comply with the formal requirements) may be introduced in the new specification to be filed under paragraph (9).
- (12) Paragraph (1) shall not apply to -
- (a) any request for correction referred to in rule 9(3), 31(5) or 56(3);
- (b) any request for the correction of an error of translation or transcription, or of a clerical error or mistake, in any document filed in connection with any proceedings with notice under rule 6, 7, 10, 11, 12, 14, 15, 16, 52, 58A(3), (4) or

- (6), 65, 66, 69, 71, 74, 80, 85 or 91, or paragraph 3 of the Fourth Schedule;
- (c) any request for the correction of an error of translation or transcription, or of a clerical error or mistake, in -
- (i) any document filed in connection with any proceedings with notice under rule 17 (other than paragraph (3) thereof);
- (ii) any counter-statement on Form HC6 filed in connection with any proceedings with notice under rule 17(3);
- (iii) any document filed under rule 53 (other than paragraph
- (2) thereof) or rule 88 (other than paragraph (1) thereof) in connection with any proceedings at which only the party making a request for the exercise of the discretionary powers of the Registrar is present; or
- (iv) any request on Form HC4 filed under rule 53(2) or 88(1) in connection with any proceedings at which only the party making the request is present; and
- (d) any request for the correction of an error of translation or transcription, or of a clerical error or mistake, in any document filed under rule 88A or 108A.
- (13) A request referred to in paragraph (12)(b), (c) or (d) shall be made to the Registrar in writing.
- (14) The Registrar may, on the Registrar's own initiative, make any consequential amendment to the register arising from any correction pursuant to a request under paragraph (1) that is made on or after 26 May 2022, and the Registrar must notify the applicant making the request of the amendment.

#### INFORMATION AND INSPECTION

### 92. Information about patents and patent applications

- (1) A request under section 108 for information relating to any patent or application for a patent may be made as to -
- (a) the date on which any report, document or English translation referred to in section 29, 29B or 30(c) or rule 42 or 42A was received by the Registrar;
- (b) when the specification of a patent or application for a patent was published;
- (c) when an application for a patent was withdrawn, was treated as having been withdrawn, was treated as having been abandoned, was refused or was treated as having been refused;
- (d) whether a renewal fee was not paid within the period prescribed for the purposes of section 36(2);
- (e) whether a renewal fee was paid within the period prescribed under section 36(3);
- (f) when a patent has ceased to have effect and/or an application for restoration of a patent was filed;
- (g) when an entry was made in the register or an application was made for the making of such entry;
- (h) when any application or request was made or action taken involving an entry in the register or advertisement in the journal, if the nature of the application, request or action is specified in the request;
- (i) when any document may be inspected in accordance with rule 93 or 94; and
- (j) such other particulars referred to in any patent or application for a patent as the Registrar may in any particular case allow.
- (2) As regards information relating to any patent in force by virtue of section 117(3), a request may also be made as to when -
- (a) a certificate of registration was issued;
- (b) the patent was first renewed under this Act; and
- (c) an entry was made in the Register of Patents under the repealed Registration of United Kingdom Patents Act (Cap. 271, 1985 Ed.).
- (3) Every request mentioned in paragraph (1) or (2) must be

made in writing.

## 93. Inspection of documents

- (1) Subject to paragraph (5), and to the restrictions prescribed in paragraph (4), after the date of publication of an application for a patent in accordance with section 27, the Registrar shall, upon request made on Form CM10 and upon payment of the prescribed fee, permit all documents filed or kept at the Registry in relation to the application or any patent granted in pursuance of it, to be inspected using the electronic online system.
- (2) Subject to the same restrictions and to rule 96 where the circumstances specified in section 108(4) or (5) exist, the Registrar shall, upon request made on Form CM10, and upon payment of the prescribed fee, permit inspection of such documents before the publication in accordance with section 27.
- (4) The restrictions referred to in paragraph (1) are -
- (a) that no document shall be open to inspection until 14 days after it has been filed at the Registry;
- (b) that documents prepared in the Registry solely for use therein shall not be open to inspection;
- (c) that any document sent to the Registry, at its request or otherwise, for inspection and subsequent return to the sender, shall not be open to inspection;
- (d) that no request made under rule 59 or 92 or this rule, or rule 63(2) in force immediately before 14th February 2014, shall be open to inspection; and
- (e) that documents in respect of which the Registrar issues directions under rule 94 that they are to be treated as confidential shall not be open to inspection, except as permitted in accordance with that rule.
- (5) Nothing in this rule shall be construed as imposing on the Registrar any duty of making available for public inspection any document or any part of a document —
- (a) which in his opinion disparages any person in a way likely to damage him; or
- (b) the publication or exploitation of which would in his

opinion be generally expected to encourage offensive, immoral or anti-social behaviour.

(6) No appeal shall lie from a decision of the Registrar under paragraph (5) not to make a document or part of a document available for public inspection.

#### 94. Confidential documents

- (1) Where a document other than a form is filed at, or sent to, the Registry, and the person filing or sending it or any party to the proceedings to which the document relates so requests, giving his reasons, within 14 days from the filing or sending of the document, the Registrar may direct that it be treated as confidential, and the document shall not be open to public inspection while the matter is being determined by the Registrar.
- (2) Where such a direction has been given and not withdrawn, nothing in this rule shall be taken to authorise or require any person to be allowed to inspect the document to which the direction relates except with permission of the Registrar.
- (3) The Registrar shall not withdraw any direction given under this rule nor shall he give permission for any person to inspect any document to which a direction which has not been withdrawn relates without prior consultation with the person at whose request the direction was given, unless the Registrar is satisfied that such prior consultation is not reasonably practicable.
- (4) Where such a direction is given or withdrawn, a record of the fact shall be filed with the document to which it relates.
- (5) Where the period referred to in paragraph (1) is extended under rule 108, the relevant document shall not be, or, if the period is extended upon expiry, the relevant document shall cease to be open to public inspection until the expiry of the extended period, and, if a request for a direction is made, the document shall not be open to public inspection while the matter is being determined by the Registrar.

### 95. Publication of bibliographic information

The following bibliographic information is prescribed for the purposes of section 108(3)(a):

- (a) the number of the application;
- (b) the date of filing of the application and, where a declaration has been made under section 17(2), the filing date, country and file number, when available, of each application referred to in that declaration;
- (c) the name of the applicant or applicants including any applicant or applicants resulting from an order under section 20;
- (d) an alteration of the name of the applicant or applicants under rule 56;
- (e) the title of the invention; and
- (f) if the application has been withdrawn, has been treated as having been withdrawn, has been treated as having been abandoned, has been refused or has been treated as having been refused, that fact.

# 96. Request for information on unpublished patent applications

- (1) Where the circumstances specified in section 108(4) exist, a request under section 108(1) shall be accompanied by a statutory declaration verifying their existence and such documentary evidence (if any) supporting the request as the Registrar may require.
- (2) The Registrar shall send a copy of the request, the declaration and the evidence (if any) to the applicant for the patent and shall not comply with the request until the expiry of 14 days thereafter.

#### ELECTRONIC ONLINE SYSTEM

## 96A. Establishment of electronic online system

- (1) There shall be established an electronic online system for the purposes of the Act and these Rules.
- (2) Unless the Registrar permits otherwise in a particular case, the electronic online system must be used by any person for giving or sending to, filing with or serving on the Registrar or the Registry any document (other than a notice or document to be served in proceedings in court).
- (2A) The electronic online system may be used -
- (a) by the Registrar or the Registry for giving, sending to or serving on any person any notice, direction or other document; and
- (b) by a party for the giving, sending or serving on another party (other than the Registrar or the Registry) of any document required to be given, sent to or served on that other party under the Act or these Rules.
- (2B) To avoid doubt, paragraph (2A) does not apply to the service of any notice or document to be served in proceedings in court.
- (3) The Registrar may issue practice directions specifying -
- (a) the documents that are to be filed at or submitted to the Registry using the electronic online system;
- (b) the manner in which any document is to be given or sent to, filed with or served on the Registrar or the Registry under paragraph (2);
- (c) the manner in which the Registrar or the Registry may give, send or serve any notice, direction or document under paragraph (2A);
- (d) the procedures and conditions for the setting-up, operation and use of the electronic online system; and
- (e) in the event of any interruption in the operation of the electronic online system, the manner in which a document filed or kept at the Registry may be inspected, in which any document is to be given or sent to, filed with or served on the Registrar or the Registry under paragraph (2), or in

which the Registrar or the Registry may give, send or serve any notice, direction or document under paragraph (2A).

- (4) The Registrar -
- (a) may correct any error or omission in any document or information that has occurred or arisen as a result of any interruption in the operation of the electronic online system; and
- (b) must maintain a record of the correction so made.

## 96B. Documents to be signed, made on oath, etc.

- (1) Where any document to be given, sent, filed or served using the electronic online system is to be signed or made on oath or by affirmation, it shall be signed, made on oath or affirmed in the usual way on the original paper document.
- (2) Where any document to be given, sent, filed or served using the electronic online system is to be attested, it shall be attested in the usual way in which the original paper document is attested.
- (3) The giving, sending, filing or service of any such document using the electronic online system shall be effected by sending a true and complete electronic image of the original paper document.

# 96H. Use of electronic online system to be in accordance with these Rules, etc.

A person shall comply with these Rules and practice directions issued by the Registrar when using the electronic online system for any purpose under these Rules.

#### **MISCELLANEOUS**

#### 97. Service of documents

- (1) Where the Act or these Rules authorise or require any document to be given or sent to, filed with or served on the Registrar or the Registry, the giving, sending, filing or service must be effected on the Registrar or the Registry (as the case may be) by sending an electronic communication of the document using the electronic online system.
- (2) Where the Act or these Rules authorise or require a party to give or send a document to, or serve a document on, another party (other than the Registrar or the Registry), the giving, sending or service may be effected —
- (a) by post;
- (b) by hand;
- (c) by courier;
- (ca) by sending an electronic communication using the electronic online system; or
- (d) subject to paragraph (3B), by sending an electronic communication of the document by any electronic means.
- (3) Where the Act or these Rules authorise or require any notice, direction or other document to be given or sent to or served on any party by the Registrar or Registry, the Registrar or Registry may effect the giving, sending or service on the party —
- (a) by sending the notice, direction or other document by post;
- (b) by sending an electronic communication of the notice, direction or other document using the electronic online system or, subject to paragraph (3B), any other electronic means;
- (c) by hand; or
- (d) by courier.
- (3A) Subject to paragraph (3B), the Registrar may, in a particular case, permit the giving, sending, filing or serving of document in a manner other than provided by paragraph (1) or (2).

- (3B) However, the use of electronic means under paragraph (2)(d), (3)(b) or (3A), other than the use of the electronic online system, must be with the consent (express or implied) of -
- (a) the party; or
- (b) the Registrar or the Registry, to whom the notice, direction or other document is being given or sent, filed with or served by those means.
- (4) Where any notice, direction or other document is sent by post under paragraph (2) or (3), the giving, sending or service, as the case may be, of the notice, direction or other document shall, until the contrary is proved, be treated as having been effected at the time at which the notice or document would have been delivered in the ordinary course of post.
- (5) For the purposes of paragraphs (2) and (3), any notice, direction or other document is sent to a party by post if the notice, direction or other document is sent by pre-paid post to the party at his address for service referred to in rule 31 or 90 (as the case may be).
- (6) Where the Registrar has permitted any person under paragraph (3A) to send a document by facsimile transmission for the purposes of paragraph (1) -
- (a) if any part or all of the document received by the Registrar or Registry is illegible or if any part of the document is not received by the Registrar or Registry, the document shall be treated as not having been submitted; and (b) the burden of proving the legibility and completeness of the document lies with the person who has attempted to send the document.
- (7) Notwithstanding the availability of an address for service furnished in accordance with rule 31 or 90 (as the case may be), where any notice, direction or other document is given, sent, filed or served by sending an electronic communication in the manner permitted by paragraph (2)(ca) or (d), (3)(b) or (3A), it shall be taken to have been duly given, sent to or served on the person.

- (8) Service of any notice, direction or other document under the Act or these Rules on a person by electronic communication using the electronic online system may be effected only if the person is registered as an account holder in accordance with any practice directions issued by the Registrar under rule 96A(3).
- (9) A notice, direction or other document sent, filed or served by means of an electronic communication (other than the electronic online system) is treated as sent, filed or served -
- (a) if the time and the day the electronic communication becomes capable of being retrieved by the person to whom the notice, direction or document is sent, filed or served does not fall on an excluded day for the business of sending, filing or serving the notice, direction or document at that time and on that day; or
- (b) if that time falls on such excluded day on the next following day that is not such excluded day.
- (9A) A notice, direction or other document that is -
- (a) transmitted to the Registrar, the Registry or a party by means of the electronic online system; and
- (b) received, by the server of that system set up to receive such transmissions, at any time before midnight on any day, is treated as sent to, filed with or served on, and received by, the Registrar, the Registry or the party —
- (c) if that day is not an excluded day for the business of sending to, filing with or serving on the Registrar, the Registry or the party the notice, direction or document by means of that system at that time and on that day; or
- (d) if that day is such excluded day on the next following day that is not such excluded day.
- (9B) For the purposes of paragraph (9A), the notice, direction or document is treated as sent to, filed with or served on, and received by, the Registrar, the Registry or the party if and only if the last byte of the transmission containing the notice, direction or document is received by the server mentioned in that paragraph.

- (9C) Any person who sends, files or serves a notice or other document by means of the electronic online system may produce a record of transmission issued through that system as evidence of -
- (a) the sending, filing or service of that notice or document; and
- (b) the date and time when the sending, filing or service took place.
- (10) This rule shall not apply to notices and documents to be served in proceedings in court.

### 98. Hours of business and excluded days

- (1) Any business done under the Act or these Rules -
- (a) on any day after the hours of business of the Registry for that class of business; or
- (b) on any day which is an excluded day for that class of business,
- shall be taken to have been done on the next following day which is not an excluded day for that class of business.
- (2) Where the time for doing any business under the Act or these Rules expires on an excluded day for the doing of that class of business, that time shall be extended to the next following day which is not an excluded day for the doing of that class of business.
- (6) For the avoidance of doubt, where the time for carrying out any transaction referred to in rule 96A(2) or (2A) expires on an excluded day for the carrying out of that transaction, that time shall be extended to the next following day which is not an excluded day for the carrying out of that transaction, notwithstanding the availability of the electronic online system for the carrying out of that transaction.
- (12) In the Act and these Rules, "excluded day", in relation to any specific class of business, means any day on which the Registry shall be taken to be closed for the purposes of the transaction by the public of that class of business.

### 100. Correction of irregularities

- (1) Subject to paragraph (3), the Registrar may, if he thinks fit, authorise the rectification of any irregularity of procedure connected with any proceeding or other matter before the Registrar, an Examiner or the Registry.
- (2) Any rectification made under paragraph (1) shall be made
- (a) after giving the parties such notice as the Registrar may direct; and
- (b) subject to such conditions as the Registrar may direct.
- (3) Any time or period prescribed or specified in the Act or these Rules, whether expired or not, may be extended under paragraph (1) if, and only if -
- (a) the irregularity or prospective irregularity is attributable, wholly or in part, to a default, omission or other error by the Registrar, an Examiner or the Registry; and
- (b) it appears to the Registrar that the irregularity should be rectified.

#### 101. Dispensation by Registrar

Where, under these Rules, any person is required to do any act or thing, or any document or evidence is required to be produced or filed, and it is shown to the satisfaction of the Registrar that from any reasonable cause that person is unable to do that act or thing, or that document or evidence cannot be produced or filed, the Registrar may, upon the production of such evidence and subject to such terms as he thinks fit, dispense with the doing of any such act or thing, or the production or filing of such document or evidence.

### 102. Filing of evidence

- (1) Where under these Rules evidence may be filed, it shall be by statutory declaration or affidavit.
- (2) The original statutory declaration or affidavit shall be filed, unless the Registrar directs in any particular case that a copy thereof may be filed.

- (3) Unless the Registrar directs otherwise, he -
- (a) may, if he thinks fit in any particular case, in lieu of or in addition to such evidence —
- (i) take oral evidence; or
- (ii) accept any written statement or documentary evidence; and
- (b) shall allow any witness to be cross-examined on any evidence given by the witness.

#### 103. Statutory declarations and affidavits

Any statutory declaration or affidavit, the original or a copy of which is to be filed under the Act or these Rules, shall be made and subscribed as follows:

- (a) in Singapore, before any justice of the peace, or any commissioner or other officer authorised by law to administer an oath for the purpose of any legal proceedings;
- (b) in any part of the Commonwealth except Singapore, before any judge, justice of the peace, or any officer authorised by law to administer an oath for the purpose of any legal proceedings; and
- (c) elsewhere, before a Singapore consul or vice-consul, or other person performing the functions of a Singapore vice consul, or before a notary public, judge or magistrate, or any officer authorised by law to administer an oath for the purpose of any legal proceedings.

## 104. Admission of documents

Any document purporting to have affixed, impressed or subscribed thereto or thereon the seal or signature of any person authorised by rule 103 to take a declaration, in testimony that the declaration was made and subscribed before him, may be admitted by the Registrar without proof of the genuineness of the seal or signature or of the official character of the person or his authority to take the declaration.

## 105. Directions as to furnishing of documents

At any stage of -

- (a) any proceedings before the Registrar; or
- (b) any other matter under the Act or these Rules,

the Registrar may direct that such documents, information or evidence as he may require shall be furnished within such period as he may fix.

### 106. Supporting statements or evidence

- (1) Where, by virtue of rule 52(3), 58A(4), 74(2), 85(2) or 91(6), any notice is required to be supported by a statement or evidence, such a statement or evidence shall be filed on, or within 14 days after, the date on which the notice is given.
- (2) Where, by virtue of rule 53(1), 73(1) or 108(5), any application is required to be supported by a statement or evidence, such a statement or evidence shall be filed on, or within 14 days after, the date on which the application is made.

### 107. Appointment of scientific advisers by Registrar

- (1) The Registrar may at any time and on his own motion nominate a scientific adviser or scientific advisers from a panel of advisers to assist him in any proceeding under the Act or these Rules, either -
- (a) by sitting with the Registrar at the hearing of the proceedings; or
- (b) by inquiring and reporting on any question of fact or opinion not involving a question of law or construction, as the Registrar may direct.
- (2) Upon the nomination of a scientific adviser under paragraph (1), the Registrar shall notify all parties to the proceedings of his nominated adviser.
- (3) The question to be submitted to the nominated adviser and the instructions to be given to him shall, failing agreement between the parties, be settled by the Registrar.
- (4) The remuneration of the nominated adviser for assisting in proceedings under the Act or these Rules, including his allowance and expenses, shall be as determined by the Registrar in accordance with the provisions of the Sixth Schedule.

- (5) Without prejudice to any other order of the Registrar in providing for payment of a nominated adviser's remuneration, the party shall be liable, or where there are more than one party to the proceedings be jointly and severally liable, to pay the amount determined by the Registrar as the nominated adviser's remuneration.
- (6) The payment of the remuneration of the nominated adviser by the party, or where more than one party to the proceedings are liable for such remuneration by the parties, shall be through such mode and in such manner as the Registrar may direct.
- (7) Where the proceedings under the Act or these Rules are initiated by a party who neither resides nor carries on business in Singapore, the Registrar may require the party to give security for the remuneration of the nominated adviser, and in default of such security being given, may treat the proceedings as being abandoned.

## 108. Extension of time limits in general

- (1) Subject to paragraphs (2), (3) and (4) and rules 108A and 108B, any time or period prescribed by these Rules for doing any act or taking any proceedings, and any time or period specified by the Registrar under these Rules, may, on written request by a party made no later than 6 months after the first expiry of the time or period, be extended by the Registrar if he thinks fit, upon such notice to the parties and upon such terms as he may direct.
- (2) Any time or period prescribed in any of the following provisions shall not be extended:
- (a) paragraphs (1), (3) and (5);
- (aa) paragraph (4) (except where paragraph (7) provides otherwise);
- (b) rules 9(1), (2) and (3), 9A(1) and (2)(a), 19(2), 26(5), 26A(1) and (5)(a), 28(a), (b), (c) and (d), 29(4), 34(2), 46(4), (4A) and (5)(c), 46AA, 49, 51(1), (2), (2A) and (3A), 51A(1) and (2), 52(2), 52A(8), 53(1), 58A(3), 73(1), 74(1), 75, 85(1), 86(3A), 88(8)(a), 88A(11) and 91(5) and paragraph

- 4(2) of the Fourth Schedule;
- (c) rule 26(3) (except so far as it relates to the filing of the documents prescribed under rule 26(4)(a) and (b));
- (d) rule 26A(4) (except so far as it relates to the furnishing of the information prescribed under rule 26A(6) (b) and the filing of the documents prescribed under rule 26A(7)); and
- (e) rule 34(5) (except so far as it relates to the furnishing of the information prescribed under rule 34(7) (b) and the filing of the documents prescribed under rule 34(8)).
- (3) Any time or period prescribed in any of the following provisions shall be extended for a total period not exceeding 6 months, beginning immediately after the first expiry of the time or period for which the extension is sought, upon filing Patents Form 45 no later than 6 months after the first expiry of the time or period for which the extension is sought:

  (a) rule 9A(5), 9B(1) or (4), 9C(1), 17(3), 28(e) or (g), 45(2), 46A(2) or (5), 51A(3), 53(4), 67, 68, 84(1), 88(1) or 112(4) or (5) (b) or paragraph 1(3) of the Fourth Schedule; (b) rule 26A(4) (so far as it relates to the furnishing of the information prescribed under rule 26A(6) (b) and the filing of the documents prescribed under rule 26A(7)); or (c) rule 34(5) (so far as it relates to the furnishing of the information prescribed under rule 34(7) (b) and the filing of the documents prescribed under rule 34(8)).
- (4) Any time or period prescribed in any of the following provisions shall be extended for a total period not exceeding 18 months, beginning immediately after the first expiry of the time or period for which the extension is sought, upon filing Patents Form 45 no later than 18 months after the first expiry of the time or period for which the extension is sought:
- (a) rule 18(1), 19(11), 26(2), 28(f), 34(1A), 38, 42(3), 43, 47(1) or (2) or 86(1), (6), (8) or (8A); or
- (b) rule 26(3) (so far as it relates to the filing of the documents prescribed under rule 26(4)(a) and (b)).
- (5) Without prejudice to paragraphs (3) and (4), the Registrar may, if he thinks fit, allow any time or period

prescribed in any provision listed in paragraph (3) or (4) to be further extended, upon such terms as he may direct, if all of the following requirements are satisfied:

- (a) a request to further extend the time or period is made on Patents Form  $45\,-$
- (i) in the case of a time or period prescribed in any provision listed in paragraph (3), no later than 6 months after the first expiry of the time or period; or
- (ii) in the case of a time or period prescribed in any provision listed in paragraph (4), no later than 18 months after the first expiry of the time or period;
- (b) the time or period has already been extended -
- (i) in the case of a time or period prescribed in any provision listed in paragraph (3), by 6 months; or
- (ii) in the case of a time or period prescribed in any provision listed in paragraph (4), by 18 months;
- (c) the request is accompanied by a statutory declaration, or an affidavit, setting out the grounds for the request.
- (6) A single request may be made under paragraph (5) for the extension of more than one time or period in the same proceedings if the extensions are to be made to a common date (but not otherwise).
- (7) Where -
- (a) after receiving the search report under section 29(2)(b) from the Registrar, the applicant does not file a request for an examination report under section 29(3) within the period prescribed in rule 43(1) or (2) (whichever is applicable) for filing that request; and
- (b) the time prescribed under paragraph (4) for filing Patents Form 45 to extend that period expires before, or within 3 months after, 30 October 2017, the time prescribed under paragraph (4) for filing that Form is extended to the end of 6 months after that date.

# 108A. Extension of time limits for proceedings before Registrar

(1) Notwithstanding rule 108, but subject to this rule, any time or period referred to in sub-paragraph (a) or (b) may, at the request of any party or person (being a person

affected, or likely to be affected, by the time or period) made by filing Form HC3, be extended by the Registrar upon such terms as he may direct:

- (a) any time or period prescribed under rule 6, 10, 11, 12,
- 13, 14, 15, 16, 52(4), 58A(6)(a), 65, 66, 69, 71, 74(3A)(a),
- 80, 81, 91(7A)(a) or 106(1) for doing any act or taking any proceedings;
- (b) any time or period specified by the Registrar under rule 6, 10, 11, 12, 13, 14, 15, 16, 52(5), 58A(7), 65, 66, 69, 71, 74(4), 80, 81, 88B, 91(8) or 106(1) for doing any act or taking any proceedings.
- (2) A request under paragraph (1) for an extension of a time or period shall be made before the expiry of the time or period, unless the time or period expired before 14th February 2014.
- (3) Before making any request under paragraph (1), the party or person seeking the extension of the time or period shall serve, on every other party or person (being a person affected, or likely to be affected, by the extension), a notice containing -
- (a) a statement of the intention of the first-mentioned party or person to seek the extension, the particulars of the extension, and the reason for the extension; and
- (b) a request that the other party or person give his written consent to the extension within 2 weeks after the date on which the notice is served on him.
- (4) A request under paragraph (1) shall be accompanied by -
- (a) a copy of the notice under paragraph (3); and
- (b) each written consent, if any, that has been given pursuant to a request under paragraph (3)(b).
- (5) The Registrar may refuse to grant an extension of a time or period under paragraph (1), if the Registrar is not satisfied of either or both of the following:
- (a) that there is a good and sufficient reason for the extension;
- (b) the notice under paragraph (3) has been served in accordance with that paragraph.

(6) Notwithstanding that any party or person on whom a notice under paragraph (3) is served refuses or fails to give his written consent to the extension of the time or period within 2 weeks after the date on which the notice is served on him, the Registrar may grant the extension without conducting a hearing in accordance with rule 88, if the Registrar is satisfied that there is a good and sufficient reason for the extension.

#### 108B. Extension of time limits in special circumstances

- (1) Despite anything in these Rules, where the Registrar is of the opinion that there are -
- (a) circumstances beyond the control of a party concerned; or
- (b) other special circumstances,
- the Registrar may, before the expiration of the time or period prescribed or allowed by or under these Rules for -
- (c) the giving, sending, filing or serving of any notice, application or other document; or
- (d) the doing of any act, extend that time or period for one or more periods of time, and subject to conditions, as the Registrar thinks fit.
- (2) Paragraph (1) does not apply where the Act specifies the circumstances in which the time or period for any matter referred to in paragraph (1)(c) or (d) may be extended.

## 109. Protection and compensation of persons affected by extension of time

- (1) Where the Registrar has granted a total extension of time of more than 6 months under rule 108(1), or an extension of time under rule 108(5), for any act in relation to an application for a patent that had been published under section 27, or in proceedings under the Act or these Rules which has not been so done within the time required, he shall publish in the journal a notification of his decision.
- (2) Where the Registrar has granted or refused a total extension of time of more than 6 months under rule 108(1), or an extension of time under rule 108(5), for any act in relation to an application for a patent that had been

published under section 27, or in proceedings under the Act or these Rules, and where the court reviews the decision of the Registrar under section 90 or 91 and decides to vary the decision under review or set aside the decision under review and make a decision in substitution for the decision so set aside, the Registrar shall cause to be published in the journal a notification of the decision of the court.

- (3) Where a notification referred to in paragraph (1) or (2) has been published, a person who availed himself, or took definite steps by way of contract or otherwise to avail himself, of the invention and the subject of the application for the patent concerned by reason of the act or step in relation to which the extension was granted not having been done or taken within the time allowed shall have the right to continue to avail himself of the invention but this right does not extend to granting a licence to another person in respect of the invention.
- (4) If the person entitled to the right conferred by paragraph (3) availed himself, or took the steps to avail himself, of the invention in the course of business, that person may -
- (a) authorise any partners of his for the time being in that business to avail themselves of the invention; and
- (b) assign that right, or transmit it on death (or in the case of a body corporate, on its dissolution), to any person who acquires that part of the business.
- (5) Where a product is disposed of to another in exercise of the rights conferred by paragraph (3) or (4), that other person and any person claiming through him may deal with the product in the same way as if it has been disposed by the registered proprietor of the patent.

### 110. Calculation of times or periods

- (1) Where, on any day, there is an interruption in (a) the postal service of Singapore;
- (b) the operation of the Registry; or
- (c) the operation of the electronic online system, the Registrar may issue practice directions to declare that day

as one on which there has been an "interruption" and, where any period of time specified in the Act or these Rules for the making, filing, giving, sending or serving of any notice, application or other document expires on a day so declared, the period is extended to the first following day (not being an excluded day) which is not so declared.

- (3) Where, in or in connection with an application for a patent ("the application in suit"), it is desired to make a declaration specifying for the purposes of section 17(2) an earlier relevant application and the period of 12 months immediately following the date of filing the earlier relevant application ends on a day which is an excluded day for the purposes of section 111, then if the declaration is made on the first following day on which the Registry is open for the transaction of such business, such period shall be altered so as to include both the day of filing of the earlier relevant application and the day on which the declaration is made in or in connection with the application in suit.
- (4) Where it is desired to make a declaration under section 17(2) and the period of 12 months referred to in paragraph (3) from the date of filing the earlier relevant application ends on a day declared under paragraph (1) as being one on which there is an interruption, and if the declaration under section 17(2) is made on the first day after the end of the interruption, the period shall be altered so as to include both the day of filing of the earlier relevant application and the day on which the declaration under section 17(2) is made in or in connection with the application in suit.
- (5) Where an application for a patent is filed on the day immediately following a day which is declared under paragraph (1) as being one on which there is an interruption or which is an excluded day for the purposes of section 111, the period of 12 months specified in section 14(4) shall be computed from the day after the next preceding day which is neither so declared nor so excluded.
- (6) If in any particular case the Registrar is satisfied that the failure to make, file, give, send or serve any notice,

application or other document within -

- (a) any period of time specified in the Act or these Rules for such making, filing, giving, sending or serving;
- (b) the period of 12 months following a disclosure of matter constituting an invention falling within paragraph (a), (b),
- (c) or (d) of section 14(4); or
- (c) the period of 12 months referred to in paragraph (3), was wholly or mainly attributable to a failure or undue delay in the postal services in Singapore (in the case of where a party is authorised or required to give, send or serve a document on another party) or the electronic online system, the Registrar may, if he thinks fit —
- (i) extend the period of time for the making, filing, giving, sending or serving so that it ends on the day of the receipt by the addressee of the notice, application or other document (or, if the day of such receipt is an excluded day, on the first following day which is not an excluded day);
- (ii) determine that the period of 12 months referred to in sub-paragraph (b) shall be altered so that it begins on the day of the disclosure and ends on the day of receipt by the Registry of the application for the patent (or, if the day of such receipt is an excluded day, on the first following day which is not an excluded day); or
- (iii) determine that the period of 12 months referred to in paragraph (3) shall be altered so as to include both the day of filing of the earlier relevant application and the day on which the declaration specifying that application is received by the Registry (or, if the day of such receipt is an excluded day, the first following day which is not an excluded day), as the case may be, in each case upon such notice to other parties and upon such terms as he may direct.
- (7) In paragraphs (1) and (6), "excluded day" means an excluded day for the business of making, filing, giving, sending or serving the notice, application or other document mentioned in that paragraph.

## 111. Copies of documents

(1) Where a document, other than a published Singapore specification or application, is referred to in any reference, notice, statement, counter-statement or evidence

required by the Act or these Rules to be filed at the Registry or sent to the Registrar, one copy of that document shall be furnished to the Registry within the period that the reference, notice, statement, counter-statement or evidence may be filed or sent.

(2) Where a copy of any evidence is required by the Act or these Rules to be sent direct to any person, a copy of any document referred to in that document shall also be sent direct to that person.

#### 112. Translations

- (1) Except as expressly provided in the Act or these Rules, this rule shall apply where, in pursuance of the Act or these Rules, there is filed at the Registry or sent to the Registrar -
- (a) any document or part of a document which is in a language other than English; or
- (b) an English translation of any document or part of a document.
- (2) Subject to paragraph (3), where any document or part of a document filed at the Registry or sent to the Registrar is in a language other than English, an English translation of the document or part shall be filed or sent together with the document or part.
- (3) Where the document or part has been or will be translated into English under the Patent Co-operation Treaty, the Registrar may permit the English translation to be filed or sent after the document or part has been filed at the Registry or sent to the Registrar.
- (4) Where any English translation of a document or part of a document is filed at the Registry or sent to the Registrar under paragraph (2) -
- (a) the Registrar may refuse to accept the translation if it is in his opinion inaccurate; and
- (b) if he does so -
- (i) he shall notify the person who filed or sent the translation of his refusal to accept the translation; and

- (ii) another English translation of the document or part shall be filed or sent within 2 months from the date of the Registrar's notification.
- (5) Where any English translation of a document or part of a document is filed at the Registry or sent to the Registrar under paragraph (2) -
- (a) there shall be filed or sent together with the translation a copy of a verification document —
- (i) made in accordance with the Registrar's requirements; and
- (ii) verifying that the translation corresponds to the original text of the document or part; and
- (b) the Registrar may, by notice, require to be filed at the Registry or sent to the Registrar, within 2 months from the date of the notice, the original of the verification document.
- (6) Where any document or part of a document filed at the Registry or sent to the Registrar is in a language other than English, the Registrar may treat the document or part as not having been filed or sent unless -
- (a) there is sent or filed together with the document or part -
- (i) an English translation of the document or part; and
- (ii) a copy of a verification document under paragraph (5)(a) made in respect of the translation; and
- (b) if the Registrar has issued a notice under paragraph
- (5) (b), the original of the verification document is filed at the Registry or sent to the Registrar within 2 months from the date of the notice.
- (7) Paragraphs (4), (5) and (6)(a)(ii) and (b) shall not apply to any document or part of a document translated into English under the Patent Co-operation Treaty.

### 113. Publication and sale of documents

The Registrar may arrange for the publication and sale of copies of specifications and other documents in the Registry and of indexes to, and abridgements or abstracts of, such documents.

#### 114. Patents Journal

- (1) The Registrar shall publish a journal containing particulars of applications for and grant of patents and other proceedings under the Act and any other information that he may deem to be generally useful or important.
- (2) The journal shall be entitled "The Patents Journal".
- (3) Unless the Registrar otherwise directs, the journal shall be published weekly.

### 115. Reports of cases

The Registrar may from time to time publish reports of -

- (a) cases relating to patents decided by him; and
- (b) cases relating to patents (whether under the Act or otherwise) decided by any court or body (whether in Singapore or elsewhere),

being cases which he considers to be generally useful or important.

#### INTERNATIONAL APPLICATIONS

### 116. Filing of international applications

Where the Registry is the competent receiving Office under the Patent Co-operation Treaty, an international application for a patent shall be filed at the Registry in English or Chinese.

### 117. Fees for international applications

- (1) Subject to paragraph (5), payment of the transmittal fee referred to in rule 14 of the Regulations under the Patent Co-operation Treaty shall be made to the Registry within one month from the date on which the international application for a patent to which it relates is filed at the Registry.
- (2) Where, in accordance with rule 19.4(a) of the Regulations under the Patent Co-operation Treaty, an international application for a patent is considered to have been received by the Registry on behalf of the International Bureau as receiving Office, the transmittal of the application by the Registry shall be subject to a payment of the fee under rule 19.4(b) of those Regulations.
- (3) Subject to paragraph (5), payment of the international filing fee referred to in rule 15.1 of the Regulations under the Patent Co operation Treaty shall be made to the Registry within the period referred to in rule 15.4 of those Regulations.
- (4) Subject to paragraph (5), payment of the search fee referred to in rule 16.1 of the Regulations under the Patent Co-operation Treaty shall be made to the Registry within the period referred to in rule 16.1(f) of those Regulations.
- (5) Where the payment of a fee referred to in paragraph (1),
- (3) or (4) has not been made to the Registry within the period prescribed under the applicable paragraph (referred to in this paragraph as the specified period) -
- (a) the Registry shall give notice to the applicant requiring the applicant to pay the Registry the outstanding fee and a late payment fee calculated in accordance with rule 16bis.2

of the Regulations under the Patent Co-operation Treaty within one month from the date on which the notice is sent to the applicant; and

- (b) the specified period shall be treated in respect of the outstanding fee as not expiring until the end of the one month period referred to in sub-paragraph (a).
- (6) Where an international application for a patent is filed at the Registry as receiving Office, and a request is made under rule 26bis.3(a) of the Regulations under the Patent Cooperation Treaty, payment of the prescribed fee shall be made to the Registry within the period referred to in rule 26bis.3(e) of those Regulations.

### 118. Certified copies

A request under rule 17.1 or rule 21.2 of the Regulations under the Patent Co-operation Treaty for a certified copy of an international application for a patent as filed with the Registry as receiving Office and of any corrections thereto shall be made on Form CM12 and shall be accompanied by the appropriate fee.

#### 119. Fee currency

The fees referred to in rules 117 and 118 shall be paid in Singapore dollars.

# 120. Modified application of Act and Rules to United Kingdom and European Patent Office applications

The Seventh Schedule shall have effect in respect of applications for patents filed under section 116(6) of the Patents Act (Cap. 221, 1995 Ed.) and patents granted thereon.

## FIRST SCHEDULE FEES PAYABLE

Matter	Amount \$	Corresponding Form
1. Filing a request for t	170	Patents Form 1
grant of a patent		
2. Reference under section	450	Patents Form 2
20(1) or 47(1)		
3. Application under sect	50	_
20(5) or 47(3) for		
authorisation by the Regi		
4. Request for directions	450	_
under section 22		
5. Reference under section	450	
23(5) or 48(5) to determi		
the question of a licence		
6. Application to the	450	Patents Form 7
Registrar under section 2		
or (3)		
7. [Deleted]		
8. Request for early	50	Patents Form 9
publication under section		
27 (2)		
9. Filing a request for a	1 <b>,</b> 735	Patents Form 10
search report or a		
supplementary search repo		
10. Filing a request for		Patents Form 11
search and examination re		
(a) in any case where an		
international application	1,750 plu	
a patent (Singapore) has	for each	
entered the national phas	in excess	
and before the filing of	20 claims	
request for a search and		
examination report, an		
international search repo		
an international prelimin	2,050 plu	
report on patentability w	for each	
established in that	in excess	
application by the Office	20 claims	
under the Patents Co-oper		
Treaty		

(b) in any other case		
11. [Deleted]		
12. [Deleted]		
13. [Deleted]		
14. Filing a request for	1,420	Patents Form 12
examination report	plus 40 f	
	each clai	
	excess of	
	claims	
15. [Deleted]		
16. Request for an examin	1,420	Patents Form 12B
review report		
17. [Deleted]		
18. [Deleted]		
19. Request that a certif		Patents Form 14
of grant be issued		
(a) where the application	170	
a patent has -		
(i) a date of filing befo		
1st July 2004; and		
(ii) a date of lodgment b		
14th February 2014		
(b) where the application	210	
a patent has -		
(i) a date of filing befo		
1st July 2004;		
(ii) a date of lodgment o		
after 14th February 2014;		
(iii) not more than 20 cl		
in the patent specificati		
when rule 47(3) is compli		
with		
(c) where-	210 plus	
(i) the application for a	_	
patent has -	in excess	
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1st July 2004;		
(B) a date of lodgment on		
(b) a date of fouginetic off		

I	1
after 14th February 2014;	
(C) more than 20 claims i	
patent specification when	
47(3) is complied with	
(ii) a supplementary	
examination report is rel	
upon for the issue of the	
certificate of grant	
(ca) where-	210 plus
(i) the application for a	for each
patent has -	in excess
(A) a date of filing befo	20 claims
July 2004;	
(B) a date of lodgment on	
after 14 February 2014; a	
(C) more than 20 claims i	
patent specification when	
47(3) is complied with;	
(ii) a search and examina	
report or an examination	
report is relied upon for	
issue of the certificate	
grant; and	
(iii) there were not more	
20 claims in the patent	
specification when the re	
for that report was made	
(cb) where -	210 plus
(i) the application for a	_
patent has -	
	in excess
(A) a date of filing befo	
July 2004;	claims in
(B) a date of lodgment on	_
after 14 February 2014; a	
(C) more than 20 claims i	
patent specification when	
_	that repo
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report or an examination	
report is relied upon for	
issue of the certificate	

grant; and	1	
(iii) there were more tha		
claims in the patent		
specification when the re		
for that report was made	210	
(d) where the application	210	
a patent has -		
(i) a date of filing on o		
after 1st July 2004; and		
(ii) not more than 20 cla		
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when rule 47(3) is compli		
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patent has -	in excess	
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(B) more than 20 claims i		
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certificate of grant		
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patent has -	in excess	
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47(3) is complied with;		
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report is relied upon for		
issue of the certificate		
grant; and		
(iii) there were not more		
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for that report was made		
(g) where -	210 plus	
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report is relied upon for	was made	
issue of the certificate		
grant; and		
(iii) there were more tha		
claims in the patent		
specification when the re		
for that report was made		
20. Payment of renewal fe		Patents Form 15
(not including payment of		
renewal fees pursuant to		
restoration or cancellati		
entry in the register tha		
licences are available as		
right) —		
(a) for each year in resp	165	
of the 5th, 6th or 7th ye		
the patent		
(b) for each year in resp	430	
of the 8th, 9th or 10th y		
of the patent		
(c) for each year in resp	600	
of the 11th, 12th or 13th		
of the patent		
(d) for each year in resp	775	
of the 14th, 15th or 16th		
of the patent		
(e) for each year in resp	945	

of the 17th, 18th or 19th		
of the patent	1 100	
1	1,120	
patent (a) famous house a fit and the	1 200	
(g) for each year after t	1,380	
20th year of the patent		Data at a . Da 15
21. Payment, where item 2		Patents Form 15
applies, of an additional for renewal under section		
36(3) -		
(a) not exceeding one mon	5.0	
(b) each succeeding month not exceeding 6 months)	100	
22. Application to amend	1250	Patents Form 17
specification after grant		racencs rolm 1/
23. Application for the	500	Patents Form 19
restoration of a patent	300	racenes roim ry
24. Payment of an additio	300	Patents Form 20
fee on the application fo		racencs roim 20
restoration of a patent		
25. Payment of back renew		Patents Form 20
fee pursuant to restorati		racenes rom 20
a patent		
(a) for each year in resp	165	
of the 5th, 6th or 7th ye		
the patent		
(b) for each year in resp	430	
of the 8th, 9th or 10th y		
of the patent		
(c) for each year in resp	600	
of the 11th, 12th or 13th		
of the patent		
(d) for each year in resp	775	
of the 14th, 15th or 16th		
of the patent		
(e) for each year in resp	945	
of the 17th, 18th or 19th		
of the patent		
(f) for the 20th year of	1,120	
patent		

(g) for each year after t	1,380	
20th year of the patent		
26. Application for entry	40	Patents Form 28
be made in the register t		
effect that licences unde		
patent are to be availabl		
of right		
27. Application for	40	Patents Form 28
cancellation of entry in		
register under section 54		
or (3)		
28. Application for settl	380	_
of terms of licence of ri		
29. [Deleted]		
30. [Deleted]		
31. Application under rep	100	Patents Form 34
section 79(1) in force		
immediately before 1st Ju		
2004 for information on		
corresponding application		
a patent		
32. Application under sec	500	Patents Form 35
80 for the revocation of		
patent		
33. Request for re-examin	900	Patents Form 36
of a patent in response t		
Registrar's direction		
33A. Request for re-	3,200	Patents Form 36
examination of a patent u		
section 38A.		
34. Payment of prescribed	210	Patents Form 37
for entry into the nation		
phase under section 86(3)		
35. Payment of prescribed	70	Patents Form 38
and request for publicati		
translation		
36. Application to the	160	_
Registrar for an internat		
application for a patent		
(Singapore) to be treated		

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an application under the				
37. [Deleted]				
38. [Deleted]				
39. Request for extension	200	Patents	Form	45
each time or period under				
revoked rule 108(3) in fo				
immediately before 14th				
February 2014—for each mo				
or part thereof for which				
extension is sought				
40. Request for extension	200	Patents	Form	46
each time or period under				
revoked rule 108(4) in fo				
immediately before 14th				
February 2014				
41. Payment of additional	200	Patents	Form	47
for extension of each tim				
period under revoked rule				
108(6) in force immediate				
before 14th February 2014				
each month or part thereo				
which the extension is gr				
42. Request for extension	200	Patents	Form	45
each period under rule 10				
or (4) for each month or				
thereof for which the				
extension is sought				
43. Request for extension	200 plus	Patents	Form	45
each period under rule 10	for each			
	or part			
	thereof f			
	which the			
	extension			
	sought			
44. Request for extension		Patents	Form	45A
periods under repealed				
sections 29(7) and 30(1)(				
force immediately before				
February 2014				
(a) where the application	1800			

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not an international		
application for a patent		
(Singapore) that has ente		
the national phase in		
Singapore under section 8		
(b) [Deleted]		
45. Payment of transmitta	150	_
under rule 14 of the		
Regulations under the Pat		
Co-operation Treaty		
46. Payment of fee under	250	_
117(6) in respect of a re		
under Rule 26bis.3(a) of		
Regulations		
under the Patent Co-opera		
Treaty		
47. [Deleted]		
48. [Deleted]		
49. Notice of objection t	100	_
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organizam co an expere		
50. Payment of renewal fe		Patents Form 15
		Patents Form 15
50. Payment of renewal fe under section 53 or 54		Patents Form 15
50. Payment of renewal feunder section 53 or 54  (a) for each year in resp	82.50	Patents Form 15
50. Payment of renewal feunder section 53 or 54  (a) for each year in respondent the 5th, 6th or 7th year	82.50	Patents Form 15
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50. Payment of renewal feunder section 53 or 54  (a) for each year in responding the 5th, 6th or 7th year the patent  (b) for each year in responding to the 5th, 6th or 7th year the patent	82.50	Patents Form 15
50. Payment of renewal feunder section 53 or 54  (a) for each year in responsible of the 5th, 6th or 7th year the patent  (b) for each year in responsible of the 8th, 9th or 10th year	82.50	Patents Form 15
50. Payment of renewal fe under section 53 or 54  (a) for each year in resp of the 5th, 6th or 7th ye the patent  (b) for each year in resp of the 8th, 9th or 10th ye of the patent	82.50	Patents Form 15
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50. Payment of renewal fe under section 53 or 54  (a) for each year in responsible of the 5th, 6th or 7th year the patent  (b) for each year in responsible of the 8th, 9th or 10th year of the patent  (c) for each year in responsible of the 11th, 12th or 13th	82.50 215 300	Patents Form 15
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50. Payment of renewal fe under section 53 or 54  (a) for each year in responsor the 5th, 6th or 7th year the patent  (b) for each year in responsor the 8th, 9th or 10th you found the patent  (c) for each year in responsor the 11th, 12th or 13th of the patent  (d) for each year in responsor the patent	82.50 215 300 387.50	Patents Form 15
50. Payment of renewal fe under section 53 or 54  (a) for each year in responsor the 5th, 6th or 7th year the patent  (b) for each year in responsor the 8th, 9th or 10th you found the patent  (c) for each year in responsor the 11th, 12th or 13th of the patent  (d) for each year in responsor the 14th, 15th or 16th	82.50 215 300 387.50	Patents Form 15
50. Payment of renewal fe under section 53 or 54  (a) for each year in resp of the 5th, 6th or 7th ye the patent  (b) for each year in resp of the 8th, 9th or 10th y of the patent  (c) for each year in resp of the 11th, 12th or 13th of the patent  (d) for each year in resp of the 14th, 15th or 16th of the patent	82.50 215 300 387.50	Patents Form 15
50. Payment of renewal fe under section 53 or 54  (a) for each year in resp of the 5th, 6th or 7th ye the patent  (b) for each year in resp of the 8th, 9th or 10th y of the patent  (c) for each year in resp of the 11th, 12th or 13th of the patent  (d) for each year in resp of the 14th, 15th or 16th of the patent  (e) for each year in resp	82.50 215 300 387.50	Patents Form 15
50. Payment of renewal feunder section 53 or 54  (a) for each year in responsor the 5th, 6th or 7th year the patent  (b) for each year in responsor the 8th, 9th or 10th you found the patent  (c) for each year in responsor the 11th, 12th or 13th of the patent  (d) for each year in responsor the 14th, 15th or 16th of the patent  (e) for each year in responsor the 17th, 18th or 19th	82.50 215 300 387.50	Patents Form 15
50. Payment of renewal fe under section 53 or 54  (a) for each year in resp of the 5th, 6th or 7th ye the patent  (b) for each year in resp of the 8th, 9th or 10th y of the patent  (c) for each year in resp of the 11th, 12th or 13th of the patent  (d) for each year in resp of the 14th, 15th or 16th of the patent  (e) for each year in resp of the 17th, 18th or 19th of the patent	82.50 215 300 387.50	Patents Form 15
50. Payment of renewal feunder section 53 or 54  (a) for each year in responsor the 5th, 6th or 7th year the patent  (b) for each year in responsor the 8th, 9th or 10th you found the patent  (c) for each year in responsor the 11th, 12th or 13th of the patent  (d) for each year in responsor the 14th, 15th or 16th of the patent  (e) for each year in responsor the 17th, 18th or 19th	82.50 215 300 387.50	Patents Form 15

(g) for each year after t	690	
51. Payment, where item 5		Patents Form 15
applies, of an additional		
for renewal under section		
36(3)		
(a) not exceeding one mon	50	
(b) each succeeding month	100	
not exceeding 6 months)		
52.Application to extend	950	Patents Form 54
term of a patent under se		
36A		
53.[Deleted]		
54. Filing a declaration	120	Patents Form 57
rule 9(2) or a request un		
rule 9(3)		
55. Filing a request unde	250	Patents Form 57
rule 9A(2)		
56. Notice of opposition		Patents Form 58
(a) to an application und	40	
section 24		
(b) to an amendment of a	480	
specification after grant		
(c) to an offer to surren	250	
patent under repealed sec		
40(2) in force immediatel		
before 14th February 2014		
(d) to an application und	90	
section 54(1) or (3) for		
cancellation of an entry		
the register		
(e) to the correction of	100	
error, clerical error or		
mistake		
57. [Deleted]		
58. Purchasing of a copy		
(a) Patents Journal A	10	
(b) Patents Journal B	36	
59. Request for correctio	50	Form CM4

error in name or other		
particular of a person in		
register or other documen		
60. [Deleted]		
61. [Deleted]		- C1/4
62. Request for correctio		Form CM4
an error in respect of a		
patent or an application		
patent, for	5.0	
(a) each correction of an	50	
error in the register or		
connected document		
(b) each correction of an	50	
error of translation or		
transcription, or of a		
clerical error or mistake		
any document (other than		
specification of a patent		
the specification of an		
application for a patent)		
filed in connection with		
patent or an application		
for a patent		
(c) each correction of an	120	
error of translation or		
transcription, or of a		
clerical error or mistake		
the specification of a pa		
or in the specification o		
application for a patent		
63. Application to regist	60	Form CM6
amend or terminate a lice		
64. Application to regist	50	Form CM7
amend or terminate a secu		
interest		
65. Application to regist	70	Form CM8
transfer of ownership		
66. [Deleted]		
67. Request for file	30	Form CM10
inspection		

CO [D-] - 13		
68. [Deleted]		
69. Request for a certifi		Form CM12
copy of an entry in the		
register or a certified		
extract from the register		
a request for a certified		
document relating to a pa		
or an application for a p		
(a) where the certified c	28	
extract or document is in		
copy		
(b) where the certified c	35	
extract or document is in		
сору		
70. Attending a hearing u	1,000	Form HC1
rule 88A and obtaining		
decision		
71. [Deleted]		
72. Request for extension		Form HC3
time under rule 108A		
(a) for first extension o		
that period	-	
(b) for second or subsequ		
extension of that period	120	
73. Request for hearing u	100	Form HC4
rule 88 at which only the		
party making the request		
present		
74. Request for grounds o	700	Form HC5
decision for hearing unde		
rule 88		
75. Filing a counter-stat	360	Form HC6
under rule 10(3), 11(3),		
15(2A), 16(2A), 52(4), 58		
65(3), 69(2A), 71(3) or (		
74(3A), 80(3) or 91(7A)		
(,,()		

## SECOND SCHEDULE (Rule 4(3)) DESCRIPTIONS OF FORMS (omitted)

## THIRD SCHEDULE (Rules 5 and 7) SCALE OF COSTS

TIITIO	THIRD SCHEDULE (Rules 5 and 7) SCALE OF COSIS			
Item	Matter	Amount		
1	Drawing and filing notice of	\$300		
	opposition or application for			
	revocation together with state			
	of case			
2	Drawing and filing a counter-	\$300		
	statement			
3	Preparing and filing evidence	\$300-\$1600 per statuto		
	notice of opposition, an	declaration		
	application for revocation or			
	counter-statement			
4	Reviewing any document referre	\$150-\$800 per document		
	in item 1, 2 or 3			
	Interlocutory proceedings and			
	management conferences			
5	Preparing for all interlocuto	\$50-\$500		
	proceedings and case managemen			
	conferences			
6	Attending all interlocutory	\$50-\$500		
	proceedings and case managemen			
	conferences			
	Other hearings			
7	Preparing for hearing	\$500-\$2000		
8	Attendance at hearing	\$200-\$800		
	Assessment			
9	Drawing bill of costs	\$5 per folio		
10	Attending assessment	\$100-\$300		
· ·		·		

#### FOURTH SCHEDULE (Rules 20, 28 and 108) MICRO-ORGANISMS

#### 1. Applications

- (1) The specification of an application for a patent, or of a patent, for an
- invention which requires for its performance the use of a  $\operatorname{micro-organism}$  -
- (a) which is not available to the public at the date of filing of the application; and
- (b) which cannot be described in the specification in such a manner as to enable the invention to be performed by a person skilled in the art,
- shall, in relation to the micro-organism itself, be treated for the purposes of the Act as disclosing the invention in such a manner only if one of the conditions set out in subparagraph (2), is satisfied.
- (2) The conditions referred to in sub-paragraph (1) are -
- (a) a condition that -
- (i) not later than the date of filing of the application, a culture of the micro-organism has been deposited with any international depositary authority which is able to furnish a sample of the micro-organism; and
- (ii) the name of the international depositary authority, the date when the culture was deposited and the accession number of the deposit are given in the specification of the application; and
- (b) a condition, in the case of an international application for a patent (Singapore) which is treated, by virtue of section 85 as a patent under the Act, or, as the case may be, an application for a patent under the Act, that the corresponding provisions of the Implementing Regulations to the Patent Co-operation Treaty have been complied with, and where a new deposit is made under paragraph 4, a further condition that the applicant or proprietor makes a new deposit in accordance with that paragraph.
- (3) Where the condition of sub-paragraph (2)(a)(ii) is not satisfied at the time the application for a patent is filed, it shall be satisfied -
- (a) within 16 months from -

- (i) the declared priority date; or
- (ii) the date of filing the application where there is no declared priority date;
- (b) where, on a request made by the applicant, the Registrar publishes the application before the end of the period prescribed for the purposes of section 27(1), before the date of the request; or
- (c) where the Registrar sends notification to the applicant that, in accordance with section 108(4), he has received a request by any person for information and inspection of documents under subsection (1) of that section, before the end of one month after his sending to the applicant notification of his receipt of the request, whichever is the earliest.
- (4) The giving of the information specified in sub-paragraph (2) (a) (ii) shall constitute the unreserved and irrecoverable consent of the applicant to the international depositary authority with which a culture (including a deposit which is to be treated as having always been available by virtue of paragraph 4(2)) is from time to time deposited making the culture available on receipt of the Registrar's certificate authorising the release to the person who is named therein as a person to whom the culture may be made available and who makes a valid request therefor to the authority.

### 2. Availability of cultures

- (1) Subject to paragraph 3, a request that the Registrar certify a person as a person to whom an international depositary authority may furnish a sample of a micro-organism
- (a) before publication of the application for a patent, to a person who has made a request under section 108(1) in the circumstances mentioned in paragraph 1(3)(c); and (b) at any later time, to any person, shall be made on the form provided for by the Regulations under the Budapest Treaty.
- (2) The Registrar shall send a copy of any form lodged with him under sub-paragraph (1) and of his certificate authorising the release of the sample —

- (a) to the applicant for, or proprietor of, the patent;
- (b) to the international depositary authority; and
- (c) to the person making the request.
- (3) A request under sub-paragraph (1) shall comprise, on the part of the person to whom the request relates, undertakings for the benefit of the applicant for, or proprietor of, the patent -
- (a) not to make the culture, or any culture derived from it, available to any other person; and
- (b) not to use the culture, or any culture derived from it, otherwise than for experimental purposes relating to the subject matter of the invention, and -
- (i) subject to sub-paragraph (iii), both undertakings shall have effect during any period before the application for a patent has been withdrawn, has been treated as having been abandoned, has been refused or is treated as having been refused (including any further period allowed under rule 100 or 108(1) or (5) but excluding, where an application is reinstated under either of those rules, the period before it is reinstated);
- (ii) if a patent is granted on the application, the undertaking set out in sub-paragraph (a) shall also have effect during any period for which the patent is in force and during the period prescribed under section 36(3); and (iii) the undertaking set out in sub-paragraph (b) shall not have effect after the date of publication in the journal of a notice that the patent has been granted, and, in this sub-paragraph, references to a culture derived from a deposited culture of a micro-organism are references to a culture so derived which exhibits those characteristics of the deposited culture essential for the performance of the invention.
- (4) For the purpose of enabling any act specified in section 57 to be done in relation to the culture for the services of the Government, the undertakings specified in sub-paragraph (3) -
- (a) shall not be required from any Government department or person authorised in writing by a Government department for

the purposes of this paragraph; and

- (b) shall not have effect in relation to any such person who has already given them.
- (5) An undertaking given pursuant to sub-paragraph (3) may be varied by way of derogation by agreement between the applicant or proprietor and the person by whom it is given.
- (6) Where, in respect of a patent to which the undertaking set out in sub-paragraph (3)(a) has effect -
- (a) an entry is made in the register under section 53 to the effect that licences are to be available as of right; or
- (b) a compulsory licence is granted under section 55, that undertaking shall not have effect to the extent necessary for effect to be given to any such licence.

#### 3. Availability of cultures to experts

- (1) Subject to sub-paragraph (3), where, before the preparations for publication under section 27 of an application for a patent have been completed, the applicant gives notice in writing to the Registrar of his intention that a sample of the micro-organism should be made available only to an expert, the provisions of this paragraph shall have effect.
- (2) The Registrar -
- (a) shall, at the time of publication of the application under section 27, publish a notice in the journal that the provisions of this paragraph have effect; and
- (b) notwithstanding paragraph 2, shall not, until the patent is granted or the application has been withdrawn, has been treated as having been abandoned, has been refused or is treated as having been refused, issue any certificate authorising release of a sample otherwise than under this paragraph.
- (3) In the case of an international application for a patent (Singapore), the applicant may, for the purpose set out in sub-paragraph (1), give notice in writing to the International Bureau under rule 13 bis 3 of the Regulations under the Patent Co-operation Treaty before the technical

preparations for international publication of the application are complete of his intention that a sample of the micro-organism should be made available only to an expert and he shall be treated by the Registrar for the purposes of this paragraph as having complied with the conditions in subparagraph (1) and sub-paragraph (2) (a) shall not apply.

- (4) Any person wishing to have a sample of the micro-organism made available ("the requester") -
- (a) shall make a request to the Registrar on the form provided for by the Regulations under the Budapest Treaty nominating the person ("the expert") to whom he wishes the sample to be made available; and
- (b) shall at the same time file undertakings by the expert as set out in paragraph 2(3) in accordance with the provisions of that paragraph.
- (5) The Registrar shall send a copy of the form filed under sub-paragraph (4) to the applicant for the patent and shall specify the period within which the applicant may object, in accordance with sub-paragraph (6), to a sample of the microorganism being available to the expert.
- (6) Unless, within the period specified by the Registrar under sub-paragraph (5) (or within such longer period as the Registrar may, on application made to him within that period, allow), the applicant for the patent files a notice of objection in writing and a statement setting out fully the reasons for his objection to the release of a sample of the micro-organism to an expert, the Registrar shall send a copy of any form lodged with him under sub-paragraph (4)(a) and of his certificate authorising the release of the sample —
- (a) to the applicant for the patent;
- (b) to the international depositary authority concerned;
- (c) to the requester; and
- (d) to the expert.
- (6A) The applicant for the patent shall, at the time the notice of objection and the statement are filed, serve on the requester a copy of the notice of objection and a copy of the statement.

- (7) Where, in accordance with sub-paragraph (6), the applicant for the patent sends notice to the Registrar of his objection to the issue of a certificate in favour of the expert, the Registrar —
- (a) shall decide, having regard to the knowledge, experience and technical qualifications of the expert and to any other factors he considers relevant, whether to issue his certificate in favour of the expert; and
- (b) if he decides to authorise the release of the sample to the expert, shall send to the persons referred to in subparagraph (6) a copy of any form lodged with him under subparagraph (4) (a) and of his certificate authorising the release of the sample to the expert.
- (8) Before making a decision in accordance with sub-paragraph
- (7), the Registrar shall afford the applicant and the requester the opportunity of being heard.
- (9) If the Registrar decides under sub-paragraph (7) not to issue his certificate in favour of the expert, the requester may, by notice in writing to the Registrar and the applicant, nominate another person as the expert for the purposes of this paragraph; and the Registrar shall give such directions as he may think fit with regard to the subsequent procedure.
- (10) Nothing in this paragraph shall affect the rights under section 56 of any Government department or of any person authorised in writing by a Government department.

#### 4. New deposits

- (1) Where the international depositary authority with which a deposit or a new deposit of a culture has been made under this Schedule -
- (a) notifies the applicant or proprietor that it -
- (i) cannot satisfy a request made in accordance with paragraph 2(1) or 3(4); or
- (ii) is not able lawfully, to satisfy such a request, for the culture to be made available;
- (b) ceases temporarily or permanently to carry out the functions of an international depositary authority; or
- (c) ceases for any reason to conduct its activities as an

international depositary authority in an objective and impartial manner,

subject to sub-paragraph (3), the applicant or proprietor may, unless the culture has been transferred to another international depositary authority which is able to make it available, make a new deposit of a culture of the microorganism.

- (2) For the purposes of paragraph 1 and this paragraph, the deposit shall be treated as always having been available if, within 3 months of the receipt of such notification or of the international depositary authority ceasing to perform the functions of an international depositary authority or to conduct its activities as such an authority in an objective and impartial manner, the applicant or proprietor —
- (a) in a case where the deposit has not already been transferred, makes the new deposit;
- (b) furnishes to the international depositary authority with which the new deposit is made a declaration that the culture so deposited is of the same micro-organism as was the culture originally deposited; and
- (c) requests amendment of the specification under section 31 or 38, as the case may be, so as to indicate the accession number of the transferred or new deposit and, where applicable, the name of the international depositary authority with which the deposit has been made.
- (3) The new deposit referred to in sub-paragraph (1) -
- (a) shall, subject to sub-paragraph (b), be made with the same international depositary authority as was the original deposit; or
- (b) in the cases referred to in sub-paragraphs (1)(a)(ii),
- (b) and (c), shall be made with another international depositary authority which is able to satisfy the request.

#### 5. Definitions

In this Schedule -

"Budapest Treaty" means the Treaty on the International Recognition of the Deposit of Micro-organisms for the purposes of Patent Procedure done at Budapest in 1977; "depositary institution" means an institution which, at all relevant times -

- (a) carries out the functions of receiving, accepting and storing micro-organisms and the furnishing of samples thereof; and
- (b) conducts its affairs in so far as they relate to the carrying out of those functions in an objective and impartial manner;

"international depositary authority" means a depositary institution which has acquired the status of international depositary authority as provided in Article 7 of the Budapest Treaty.

#### SIXTH SCHEDULE (Rule 107) REMUNERATION OF SCIENTIFIC ADVISERS

- ${f 1.}$  A person appointed as a scientific adviser to assist the Registrar in proceedings under the Act and who attends the proceedings before the Registrar shall be paid -
- (a) a reasonable amount for expenses incurred for transport between his usual place of residence and the place where the proceedings take place; and
- (b) if the scientific adviser is required to be absent overnight from his usual place of residence, a reasonable amount as allowance for meals and accommodation up to a daily maximum of \$250.
- 2. A person appointed as a scientific adviser to assist the Registrar in proceedings under the Act shall, apart from the expenses and allowances referred to in paragraph 1, be paid an amount of not less than \$650 but not more than \$2,000 for each day or part thereof for which he hears the case or works on the report pursuant to an inquiry referred to him under rule 107(1)(b).
- 3. A person appointed as a scientific adviser to sit with the Registrar at the hearing of any proceedings may, apart from the expenses and allowances referred to in paragraph 1, be paid an amount not less than \$650 but not more than \$2,000 if he is subsequently not required to hear the proceedings with the Registrar.

# SEVENTH SCHEDULE (Rule 120) MODIFIED APPLICATION OF THE ACT AND RULES TO UNITED KINGDOM AND EUROPEAN PATENT OFFICE APPLICATIONS

- 1. In this Schedule, "foreign application" means -
- (a) an application for a patent under the United Kingdom Patents Act 1977; or
- (b) an application designating the United Kingdom filed at the European Patent Office.
- 2. Where a foreign application is pending on 23rd February 1995, and the applicant makes an application under section 116(6) of the Patents Act (Cap. 221, 1995 Ed.) for the grant of a patent, the provisions of the Act and these Rules shall apply to the foreign application with the following modifications:
- (a) where the application under section 116(6) of the Patents Act (Cap. 221, 1995 Ed.) is filed after the 16-month period prescribed in rule 9(2) or 18(1) -
- (i) all the requirements of rules 9 and 18, except for the prescribed period of 16 months, shall be complied with within the same day of filing the application; and
- (ii) the requirements of paragraph 1(2)(a)(ii) and (3) of the Fourth Schedule, in a case to which they apply, shall be complied with on or before the time ascertained under the said paragraph 1(3) or the time of filing the application, whichever is the later;
- (b) the application for the patent shall, notwithstanding rule 19, be treated as having been abandoned unless —
- (i) the description, claims and drawings required to be filed are identical with those as filed with the foreign application;
- (ii) the application is accompanied by a certified copy of the description, claims and drawings as filed with the foreign application; and
- (iii) a declaration stating that the foreign application was pending on 23rd February 1995 is made within the same day of filing the application;
- (c) the requirements of section 26(1) are modified to the extent that the application shall be accorded, under section 116(6) of the Patents Act (Cap. 221, 1995 Ed.), the same date

of filing as the date of filing of the foreign application, when the following considerations are satisfied in relation to the application:

- (i) the considerations of section 26(1)(a) and (b); and
- (ii) the documents filed at the Registry contain a description of the invention which is identical with that as filed with the foreign application as of its filing date;
- (d) the period prescribed for the purposes of section 28(1) shall be the period which expires on the actual date of filing of the application;
- (e) the requirements of rule 29 are modified to the extent that the 18-month period under rule 29(1) or (2) shall commence from the actual date of filing the application;
- (f) the requirements of rule 33(1) are modified to the extent that the requirements of rule 19 as modified by sub-paragraph
- (b) also apply;
- (g) the requirements of rule 38 in force immediately before 1st July 2004 shall apply and are modified to the extent that the 16-month period commences from the actual date of filing the application;
- (h) the requirements of repealed section 29(1)(a) in force immediately before 1st July 2004 shall apply and are modified to the extent that instead of filing a request for a search report, the applicant may file a copy of the search report which is issued in respect of the foreign application, provided that the application in Singapore relates to the same or substantially the same invention as the foreign application at the time of search;
- (i) the requirements of repealed section 29(1)(b) in force immediately before 1st July 2004 shall apply and are modified to the extent that instead of filing a request for a search and examination report, the applicant may file a copy of the search report and the examination report resulting from an examination as to substance which is issued in respect of the foreign application, provided that the application in Singapore relates to the same or substantially the same invention as the foreign application at the time of search and at the time of examination, respectively;
- (j) repealed section 29(12) in force immediately before 1st July 2004 shall apply and is modified to the extent that "corresponding application" includes the foreign application;

- (k) the conditions of repealed section 30(2)(c) in force immediately before 1st July 2004 shall apply and are modified to the extent that the search report and the substantive examination report which is issued in respect of the foreign application can be substituted for -
- (i) the search and examination report referred to in repealed section 30(2)(c)(i) in force immediately before 1st July 2004; or
- (ii) the search report and the examination report referred to in repealed section  $30\,(2)\,(c)\,(ii)$  or (iii) in force immediately before 1st July 2004,
- as the case may be;
- (1) the requirements of rule 43 in force immediately before 1st July 2004 shall apply and are modified to the extent that the period prescribed under paragraph (1) or (2) of that rule shall be 22 months from the actual date of filing the application;
- (m) the requirements of rule 46(8) in force immediately before 1st July 2004 shall apply and are modified to the extent that the period referred to in that provision shall be 39 months from the actual date of filing the application under section 116(6) of the Patents Act (Cap. 221, 1995 Ed.); (n) the requirements of rule 47(1) in force immediately before 1st July 2004 are modified to the extent that the period prescribed under that provision shall be 42 months from the actual date of filing the application; and (o) the date prescribed for the purposes of section 36(1) shall be the date of filing of the application accorded under section 116(6) of the Patents Act (Cap. 221, 1995 Ed.).
- **3.** Rule 108(2) and (3) shall, when applied to any foreign application referred to in paragraph 2, be modified to read as follows:
- "(2) The provisions referred to in paragraph (1) are rules 9(1), 19(2), 26(5) and (6), 28 (so far as it relates to rule 9(1)), 46(4), (5), (8) and (10), 49, 51(1), 52(2), 52A(8), 53(1), 54(2), 73(1), 74(1), 75, 85(1), 91(3) and (5) and paragraph 4(2) of the Fourth Schedule.
- (3) A time or period prescribed in rules 9(2), (2A) and (5) (including the period therein prescribed as substituted by rule 2(a) of the Seventh Schedule), 18(1) (including the

period therein prescribed as substituted by paragraph 2 (a) of the Seventh Schedule), 26(2) and (4), 28 (except so far as it relates to rule 9(1)), 38(1), 43 (including the period therein prescribed as substituted by paragraph 2(1) of the Seventh Schedule), 47(1) and (2), 53(4), 86(1), (6) and (8) and rule 1(3) of the Fourth Schedule shall, if not previously extended, be extended for a period not exceeding 3 months upon filing Patents Form 45 before the end of the period for which extension is sought.".

4. Sections 26(6), 27(1), 29, 30, 31, 36(2), 36A and 69 shall not apply to any foreign application referred to in paragraph 2, and instead sections 26(6), 27(1), 29, 30, 31, 32, 36(2), 69 and 79 in force immediately before 1st July 2004 shall apply to that application with the modifications referred to in paragraph 2. 5. Rules 27(1), 36, 37, 38, 40(1), 41, 42, 42A, 43, 44, 45(1), (3) and (4), 46, 47(1), (2) and (4A), 47A, 49(2), 51(2A) and 51A shall not apply to any foreign application referred to in paragraph 2, and instead -(a) rules 27(1), 36, 37, 38, 39, 40(1), 41, 42, 43, 44, 45(1) and (3), 46, 47(1) and (2), 49(2) and 79 in force immediately before 1st July 2004 shall apply to that application with the modifications referred to in paragraph 2; and (b) any reference in these Rules to rules 27(1), 36, 37, 38, 40(1), 41, 42, 43, 44, 45(1) and (3), 46, 47(1) and (2) and 49(2) shall be construed in accordance with sub-paragraph (a).