Examination Handbook for Designs

Revised in December 2023

Design Examination Standards Office, Design Division, Patent and Design Examination Department (Physics, Optics, Social Infrastructure and Design), Japan Patent Office

Preface

The Examination Handbook for Designs is a compilation of procedural matters and points to note which are required for examiners in undertaking examination of applications for design registration. Since its full-fledged revision in January 2002, the Examination Handbook for Designs has been partially revised in line with the revision of laws and regulations, the revision of the Examination Guidelines for Design, etc.

In line with the enforcement of the revised Patent Act under the "Act for Partial Revision of the Unfair Competition Prevention Act, etc." (Act No. 51 of June 14, 2023) on January 1, 2024, we reviewed the statement concerning the priority right under the Paris Convention of this Examination Handbook for Designs.

We will continue to revise it as needed in accordance with the revision of the design system, changes in the procedures for examination practices, etc.

> December 2023 Design Examination Standards Office, Design Division, Patent and Design Examination Department (Physics, Optics, Social Infrastructure and Design), Japan Patent Office

Explanatory Notes

1. Classification numbers

One-hundred two-digit numbers from 00 to 99 are defined as the basic classification numbers. Each of the basic classification numbers represents a group of information on the same subject.

Two-digit numbers following the basic classification numbers are the sub-classification numbers. If you read these sub-classification numbers in order, which are hierarchically developed under the basic numbers, you can smoothly understand the explanations. One classification number consists of a two-digit basic classification number, one dot (".") and a two-digit sub-classification number in sequence.

2. The note "(→Classification number XX)" in the text of this handbook refers you to other relevant sections. For example, if you find a note "(→Main classification number)..... e.g., (→Main 10.05)," this means that the section referred to by the number is the main section explaining the information concerned.

As well, if you find a note showing a two-digit basic classification number alone in parentheses as described above, this note refers you to the entire section indicated by the basic classification number.

Furthermore, if the Examination Guidelines for Design, the Formality Examination Manual or other documents are/is mentioned as a reference, the title is shown in the section concerned together with a classification number.

3. Abbreviations (Examples)

Design Act 1(1)(iii)		Article 1, paragraph (1), item (iii) of the Design Act		
Design Enforcement Act 3		Article 3 of the Act for Enforcement of the Design Act		
Design Enforcement Order		Order for Enforcement of the Design Act		
Design Regulat	tion 2	Article 2 of the Regulation for Enforcement of the		
		Design Act		
Design Registration		Design Registration Order		
Design	Registration	Regulation for Enforcement of the Design Registration		
Regulation		Order		
Patent Act 4		Article 4 of the Patent Act		
Patent Enforce	ment Order	Order for Enforcement of the Patent Act		
Patent Regulati	on 5	Article 5 of the Regulation for Enforcement of the		
		PatentAct		
Patent Registra	ition	Patent Registration Order		
Patent	Registration	Regulation for Enforcement of the Patent Registration		
Regulation		Order		
Utility Act 6		Article 6 of the Utility Model Act		
Paris Convention				
Paris Conventio	on	Paris Convention for the Protection of Industrial		
Paris Conventio	on	Paris Convention for the Protection of Industrial Property		
Paris Conventio				
		Property		
	Act	Property Act for Establishment of the Ministry of Economy, Trade		
Establishment A	Act	Property Act for Establishment of the Ministry of Economy, Trade and Industry		
Establishment A	Act	Property Act for Establishment of the Ministry of Economy, Trade and Industry Order for Organization of Ministry of Economy, Trade		
Establishment A	Act rder	Property Act for Establishment of the Ministry of Economy, Trade and Industry Order for Organization of Ministry of Economy, Trade and Industry		
Establishment A Organization O Civil Code 7	Act rder	Property Act for Establishment of the Ministry of Economy, Trade and Industry Order for Organization of Ministry of Economy, Trade and Industry Article 7 of the Civil Code		
Establishment A Organization O Civil Code 7 Civil Procedure	Act rder	Property Act for Establishment of the Ministry of Economy, Trade and Industry Order for Organization of Ministry of Economy, Trade and Industry Article 7 of the Civil Code Article 8 of the Code of Civil Procedure		
Establishment A Organization O Civil Code 7 Civil Procedure	Act rder	Property Act for Establishment of the Ministry of Economy, Trade and Industry Order for Organization of Ministry of Economy, Trade and Industry Article 7 of the Civil Code Article 8 of the Code of Civil Procedure		
Establishment A Organization O Civil Code 7 Civil Procedure [m.m.]	Act rder	Property Act for Establishment of the Ministry of Economy, Trade and Industry Order for Organization of Ministry of Economy, Trade and Industry Article 7 of the Civil Code Article 8 of the Code of Civil Procedure		

 Act 15(1):
 mutandis pursuant to Article 15, paragraph (1) of the

 Design Act

Table of Classification Numbers of the Examination Handbook for Designs

			Joigilio	
00 General	10 Procedures for filing an application	20 Examination	30 Amendment	40 Notice of reasons for rejection
01	11 Application	21	31 Change of the gist	41
02	12 Creator and applicant for design registration	22	32 Dismissal of amendment	42 Novelty
03	13 Representation	23	33 New application for amended design	43
04 Period	14 Filing date	24	34 Handling of amendment	44 Prior and subsequent applications, and co-pending applications filed on the same date
05	15 Priority claim	25	35	45
06	16 Withdrawal, invalidation or waiver of an application for design registration	6	36	46
07	17 Division	27	37	47
08	18 Conversion	28	38	48
09	19	29	39	49

50 Decision	60 Gazette	70 Examination material	80	90 Other information
51	61	71	81	91
52	62	72	82	92
53	63	73	83	93
54	64	74	84	94
55	65	75	85	95
56	66	76	86	96
57	67	77	87	97
58	68	78	88	98
59	69	79	89	99

Table of Contents

- <04 Period>
- <10 Procedures for filing an application>
- <11 Application>
- <12 Creator and applicant for design registration>
- <13 Representation>

<14 Filing date>

- 14.03 Filing date of a new application for design registration resulting from division and the handling of the filing date in a case where the gist of the new application's design goes beyond the scope of the design stated in the earliest application and the drawings attached thereto of the application for design registration before the division
- 14.03.01 Filing date of a new application for design registration resulting from conversion and the handling of the filing date in a case where the new application resulting from conversion changes the gist of the original application's design
- <15 Priority claim>
 - 15.02 Period of priority under the Paris Convention or in accordance with the Paris Convention
 - 15.03 Procedure for a priority claim under the Paris Convention, etc.
 - 15.06 Handling of the period of priority in a case where an application for design registration is a result from conversion of a patent application or an application for utility model registration containing a priority claim under the Paris Convention, etc.
 - 15.07 Determination on identicalness of designs in approval or disapproval of the effect of priority claim under the Paris Convention, etc.

<16 Withdrawal, invalidation or waiver of an application for design registration>

<17 Division>

- 17.02 Handling of the original application for design registration in a case where the original application is not amended at the time of filing the new application for design registration resulting from division
- 17.03 Handling of an amendment required to the original application for design registration in a case where an application for design registration is divided
- 17.16 Handling of an application for design registration resulting from division in a case where an application for design registration filed for a single design as provided by Order of the Ministry of Economy, Trade and Industry is divided by each constituent of articles, buildings or graphic images of the design

<18 Conversion>

- 18.01.03 Application of the provisions on exception to lack of novelty to a new application for design registration resulting from conversion of its original application
- 18.01.04 Application of the provisions on a priority claim under the Paris Convention, etc. to a new application for design registration resulting from conversion of its original application
- 18.02 Handling of the retroactive effect of the filing date in converting an application
- 18.11 Handling of a case where a patent application or an application for utility model registration is converted into two or more applications for design registration

<30 Amendment>

<31 Change of the gist>

- 31.02 Determination on a change of the gist in a case where an application for design registration which has been converted from a different legal domain is amended
- 31.03 Determination on a change of the gist in a case where an application for design registration containing a priority claim under the Paris Convention, etc. is amended

<32 Dismissal of amendment>

- 32.01.02 Article embodying the design to be stated in the decision to dismiss an amendment
- 32.02 Actions taken by an applicant for design registration in response to the decision to dismiss an amendment
- 32.06 Handling of an amendment in response to the order to amend procedures in a case where the amendment changes the gist of the statement in the application or the drawings, etc. attached to the application as originally filed
- 32.07 Handling of an application for design registration in a case where the applicant for design registration does not reply to the decision to dismiss an amendment
- <33 New application for amended design>

<34 Handling of amendment>

34.01 Specific handling of an amendment made to the statement in the application or the drawings, etc. attached to the application

<42 Novelty>

- 42.01 Handling of a design that was publicly known
- 42.02 Handling of a design that was contained in a distributed publication or design that was made publicly available through a telecommunications line
- 42.47 Case where the application of the provisions of Article 4, paragraph (2) of the Design Act is sought based on a pattern which has been published prior to the filing of an application for design registration

<44 Prior and subsequent applications, and co-pending applications filed on the same date>

44.04	Relationship between the conversion of an application for design registration and Article 9 of the Design Act
44.05	Application of the provisions of Article 9 and Article 10, paragraph (1) of the Design Act to two or more applications for design registration filed by the same applicant on the same date
44.06	Application of the provisions of Article 9 and Article 10, paragraph (1) of the Design Act to two or more applications for design registration filed by the same applicant on different dates

<50 Decision>