



*PPH User Seminar
2nd July 2010 in
Tokyo*

Outline of Patent Prosecution Highway (PPH)

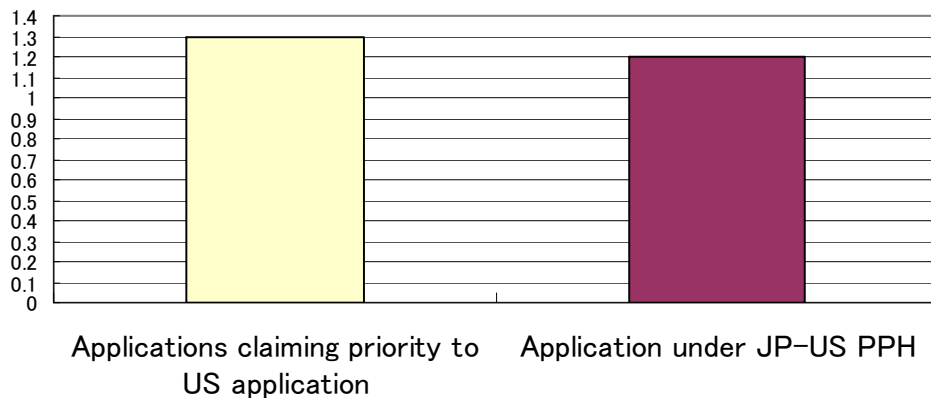
-Reference materials-

TENTATIVE TRANSLATION

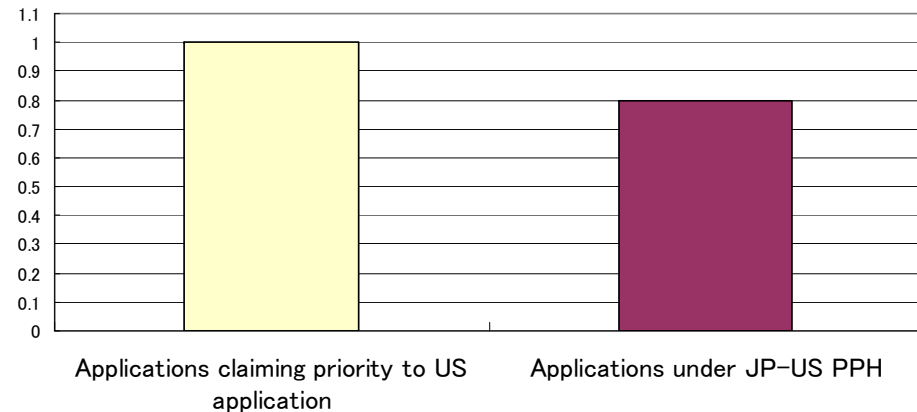


Number of Office Action for Applications under JP-US PPH (OFF: USPTO, OSF: JPO)

Number of Office Action (CY 2009)



Number of notice of rejection with article 29(2) (CY 2009)





Average Pendency from PPH Request to First Action (Months)

CY2009

		OSF														
OFF		JPO	US PTO	KIPO	UK IPO	DPMA	CIPO	IP-AU	DK PTO	EPO	IPOS	NBPR	ROS PATENT	APO	HPO	
	JPO			2.1	2.4			-	3.0							
	USPTO	1.9			1.0		1.7						-	-		
	KIPO	3.8						-		-	-			-	-	
	UK-IPO	2.1				-	-	-	-	-	-	-	-	-	-	
	DPMA	1.4				-	-	-	-	-	-	-	-	-	-	
	CIPO	N/A				-	-	-	-	-	-	-	-	-	-	
	IP-AU	-		-	-	-	-	-	-	-	-	-	-	-	-	
	DKPTO	3.2				-	-	-	-	-	-	-	-	-	-	
	EPO	N/A		-	-	-	-	-	-	-	-	-	-	-	-	
	IPOS	N/A		-	-	-	-	-	-	-	-	-	-	-	-	
	NBPR	1.6				-	-	-	-	-	-	-	-	-	-	
	ROS PATENT	N/A	-			-	-	-	-	-	-	-	-	-	-	
	APO	N/A	-	-	-	-	-	-	-	-	-	-	-	-	-	
HPO	N/A		-	-	-	-	-	-	-	-	-	-	-	-		

1) Average pendency is 165.4 days when USPTO is OSF (As of 31st Jan. 2009)
 As a rule, applications will be examined within 2 months from grant of PPH request

2) N/A: No FA was made



Average Pendency from First Action to Final Action (Months)

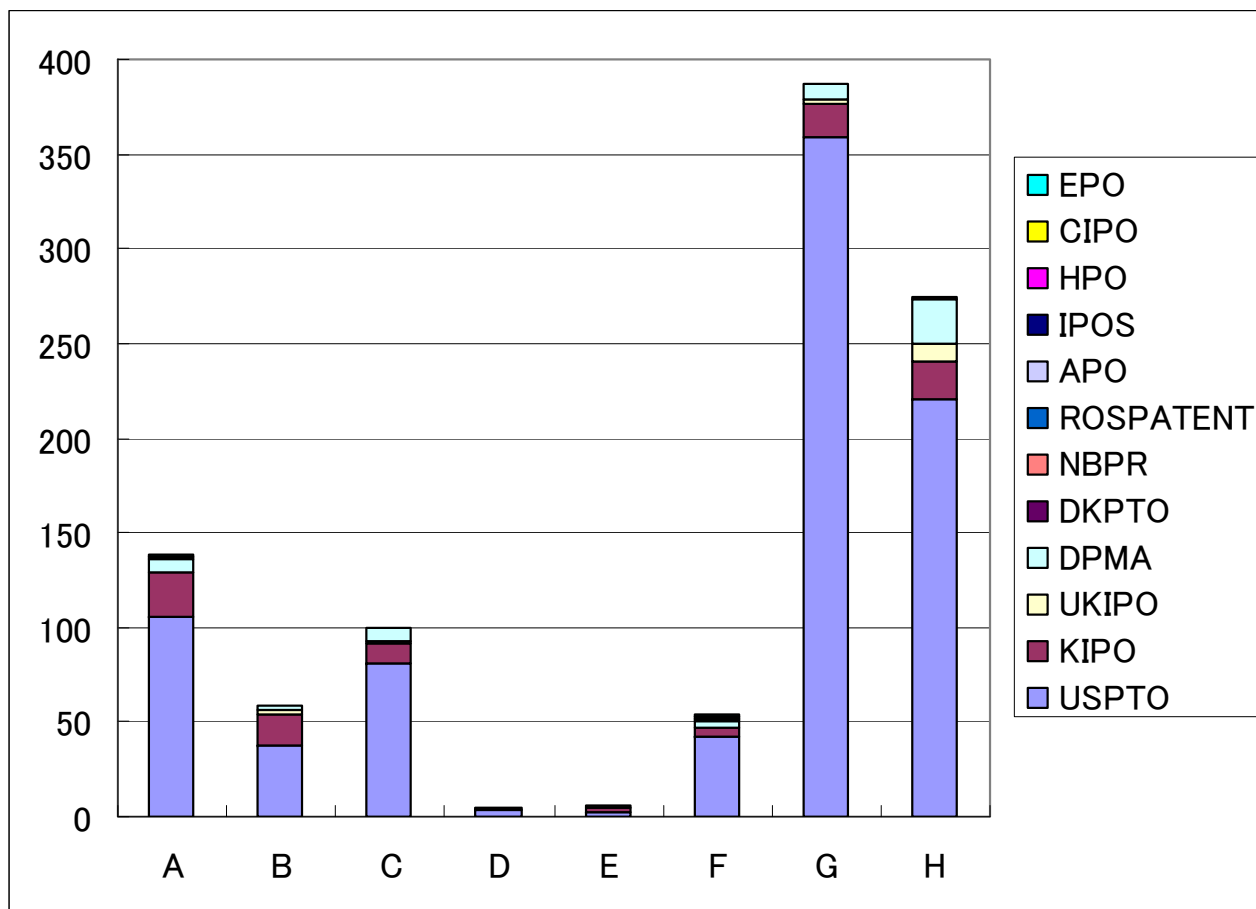
CY2009

		OSF														
O F F		JPO	US PTO	KIPO	UK IPO	DPMA	CIPO	IP-AU	DK PTO	EPO	IPOS	NBPR	ROS PATENT	APO	HPO	
	JPO			2.9	5.7			-								
	USPTO	6.4		3.8	6.6		5.3						-	-		
	KIPO	6.6						-		-	-			-	-	
	UK-IPO	6.6				-	-	-	-	-	-	-	-	-	-	
	DPMA	3.9				-	-	-	-	-	-	-	-	-	-	
	CIPO	N/A				-	-	-	-	-	-	-	-	-	-	
	IP-AU	-		-	-	-	-	-	-	-	-	-	-	-	-	
	DKPTO	N/A				-	-	-	-	-	-	-	-	-	-	
	EPO	N/A				-	-	-	-	-	-	-	-	-	-	
	IPOS	N/A				-	-	-	-	-	-	-	-	-	-	
	NBPR	N/A				-	-	-	-	-	-	-	-	-	-	
	ROS PATENT	N/A	-			-	-	-	-	-	-	-	-	-	-	
	APO	N/A	-	-	-	-	-	-	-	-	-	-	-	-	-	
	HPO	N/A				-	-	-	-	-	-	-	-	-	-	

N/A: No final action have been completed



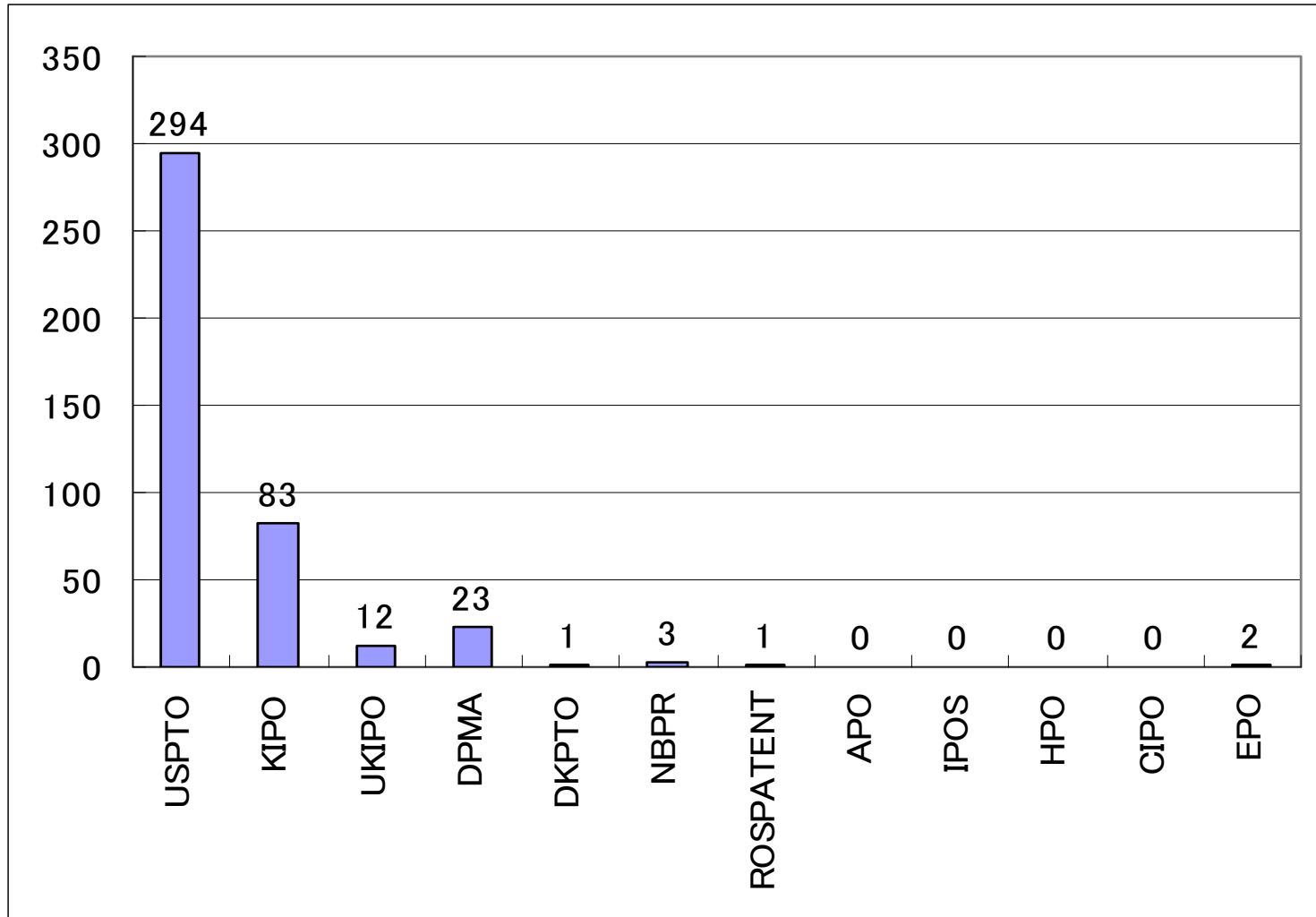
Number of PPH Requests to JPO in each IPC section



A: Human Necessities **B:** Performing Operations; Transporting **C:** Chemistry; Metallurgy
D: Textiles; Paper **E:** Fixed Constructions
F: Mechanical engineering; Lighting; Heating; Weapons; Blasting **G:** Physics **H:** Electricity



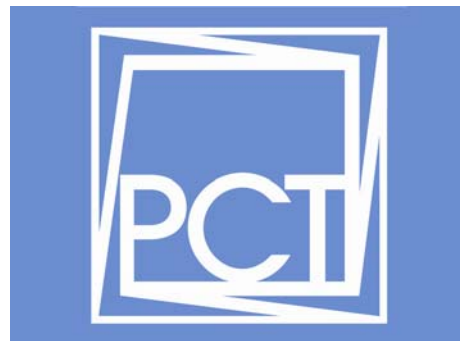
Number of companies using PPH (Request to JPO)



As of 25th June 2010



Current situation of examination of PPH application using PCT international work products (PCT-PPH)





Number of Request for PCT-PPH

From January 29, 2010 to May 1, 2010 (* As of June 25, 2010)

	Office of Filing			
		JPO	USPTO	EPO
ISA/PEA	JPO	25 (54*)	46	0
	USPTO	0 (1*)	0	0
	EPO	57 (82*)	35	

JPO and NBPR started PCT-PPH pilot program since July 1, 2010



First Action (1/2)

➤ ISA / IPEA: EPO, Office of Filing: JPO

✓ First action have been completed on 61 applications.

Decision to grant a patent	<u>10</u>
Notifications of reasons for refusal	<u>8</u>

Applied provision	Number of applications
Description requirement (Section 36)	<u>46</u>
Novelty (Section 29(1)) or Inventive step (Section 29(2))	<u>26</u>
Correspondence to “invention” (Section 29(1) main paragraph)	<u>3</u>
Addition of new matter (Section 17-2(3), 49(6))	<u>2</u>



First Action (2/2)

➤ ISA / IPEA: USPTO, Office of Filing: JPO

✓ First action have been completed on 0 applications.

➤ ISA / IPEA: JPO, Office of Filing: JPO

✓ First action have been completed on 34 applications.

Decision to grant a patent	<u>22</u>
Notifications of reasons for refusal	<u>12</u>

Applied provision	Number of applications
Description requirement (Section 36)	<u>11</u>
Novelty (Section 29(1)) or Inventive step (Section 29(2))	<u>4</u>



Final Action

➤ ISA / IPEA: **EPO**, Office of Filing: **JPO**

✓ Final action have been completed on 10 applications.

- 8 decision to grant a patent
- 1 decision of refusal
- 1 withdrawal / abandonment / conversion

➤ ISA / IPEA: **USPTO**, Office of Filing: **JPO**

✓ Final action have been completed on 0 application.

➤ ISA / IPEA: **JPO**, Office of Filing: **JPO**

✓ Final action have been completed on 2 applications.
(Both received decision to grant a patent)

As of 25th June 2010