Procedures to file a request to the Japan Patent Office (JPO) for Patent Prosecution Highway Pilot Program

between the JPO and the Moroccan Office of Industrial and Commercial Property (OMPIC)

Applicants can request accelerated examination by a prescribed procedure including submission of relevant documents on an application which is filed with the JPO and satisfies the following requirements under the JPO-OMPIC Patent Prosecution Highway pilot program based on the OMPIC application.

When filing a request for the PPH pilot program, an applicant must submit a request form "The Explanation of Circumstances Concerning Accelerated Examination" based on the procedure prescribed in "the Guidelines of the Accelerated Examination and Appeal." Under the PPH pilot program, an applicant is not required to fill in the section "2. the disclosure of prior arts and comparison between the claimed invention and prior art" in "The Explanation of Circumstances Concerning Accelerated Examination".

The PPH pilot program between the JPO and the OMPIC will commence on April 1st, 2024 and will end with mutual consent. The offices may terminate the PPH pilot program if the volume of participation exceeds manageable level, or for any other reason. Ex ante notice will be published if the PPH pilot program is terminated.

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¹ https://www.jpo.go.jp/system/laws/rule/guideline/patent/document/index/guideline.pdf

PPH using the national work products from the OMPIC

1. Requirements

- (a) Both the JPO application on which PPH is requested and the OMPIC application(s) forming the basis of the PPH request shall have the same earliest date (whether this be a priority date or a filing date).
 - For example, the JPO application (including PCT national phase application) may be either:
 - (i) an application which validly claims priority under the Paris Convention from the OMPIC application(s) (examples are provided in ANNEX, Figures A, B, C, H, I and J), or
 - (ii) an application which provides the basis of a valid priority claim under the Paris Convention for the OMPIC application(s) (including PCT national phase application(s)) (examples are provided in ANNEX, Figures D and E), or
 - (iii) an application which shares a common priority document with the OMPIC application(s) (including PCT national phase application(s)) (examples are provided in ANNEX, Figures F, G, L, M and N), or
 - (iv) a PCT national phase application where both the JPO application and the OMPIC application(s) are derived from a common PCT international application having no priority claim (an example is provided in ANNEX, Figure K).

The JPO application, which validly claims priority to multiple OMPIC or direct PCT applications, or which is the divisional application validly based on the originally filed application that is included in (i) to (iv) above, is also eligible.

(b) At least one corresponding application exists in the OMPIC and has one or more claims that are determined to be patentable/allowable by the OMPIC.

The corresponding application can be the application which forms the basis of the priority claim, an application which derived from the OMPIC application which forms the basis of the priority claim (e.g. a divisional application of the OMPIC application), or an OMPIC national phase application of a PCT application.

Claims are "determined to be allowable/patentable" when the OMPIC examiner clearly identifies the claims to be allowable/patentable in the latest office action, even if the application is not granted for patent yet. The office action includes one of the following:

- (i) Preliminary Search Report with Patentability Opinion
- (ii) Final Search Report with Patentability Opinion
- (c) All claims on file, as originally filed or as amended, for examination under the PPH

must sufficiently correspond to one or more of those claims indicated as allowable in the OMPIC.

Claims are considered to "sufficiently correspond" where, accounting for differences due to translations and claim format, the claims in the JPO are of the same or similar scope as the claims in the OMPIC, or the claims in the JPO are narrower in scope than the claims in the OMPIC.

In this regard, a claim that is narrower in scope occurs when an OMPIC claim is amended to be further limited by an additional feature that is supported in the specification (description and/or claims).

A claim in the JPO which introduces a new/different category of claims to those claims indicated as allowable in the OMPIC is not considered to sufficiently correspond. For example, where the OMPIC claims only contain claims to a process of manufacturing a product, then the claims in the JPO are not considered to sufficiently correspond if the JPO claims introduce product claims that are dependent on the corresponding process claims.

If the PPH request is granted, any claims amended or added after a First office action need not to sufficiently correspond to the claims indicated as patentable in the OMPIC application.

- (d) The JPO has not begun examination of the application at the time of request for the PPH (an example is provided in ANNEX, figure O).
- (e) A "Request for Substantive Examination" must have been filed at the JPO either at the time of the PPH request or previously.

2. Documents to be submitted

Documents (a) to (d) below must be submitted by attaching to "The Explanation of Circumstances Concerning Accelerated Examination".

Note that even when it is not needed to submit documents below, the name of the documents must be listed in "The Explanation of Circumstances Concerning Accelerated Examination" (Please refer to the Example form for the detail).

(a) Copies of all office actions (which are relevant to substantial examination for patentability in the OMPIC), which were sent for the corresponding application by the OMPIC, and translations of them².

Either Japanese or English is acceptable as translation language.

² Machine translations will be admissible, but if it is impossible for the examiner to understand the outline of the translated office action or claims due to insufficient translation, the examiner can request the applicant to resubmit translations.

(b) Copies of all claims determined to be patentable/allowable by the OMPIC, and translations of them².

Either Japanese or English is acceptable as translation language.

(c) Copies of references cited by the OMPIC examiner

If the references are patent documents, the applicant doesn't have to submit them because the JPO usually possesses them. When the JPO does not possess the patent document, the applicant has to submit the patent document at the examiner's request. Non-patent literature must always be submitted.

The translations of the references are unnecessary.

(d) Claim correspondence table

The applicant requesting PPH must submit a claim correspondence table, which indicates how all claims in the JPO application sufficiently correspond to the patentable/allowable claims in the OMPIC application.

When claims are just literal translation, the applicant can just write down that "they are the same" in the table. When claims are not just literal translation, it is necessary to explain the sufficient correspondence of each claim based on the criteria 1. (c) (Please refer to the Example form).

When the applicant has already submitted above documents (a) to (d) to the JPO through simultaneous or past procedures, the applicant may incorporate the documents by reference and does not have to attach them.

3. Example of "The Explanation of Circumstances Concerning Accelerated Examination" for filing request an accelerated examination under the PPH pilot program

(1) Circumstances

When an applicant files a request for an accelerated examination under the PPH pilot program to the JPO, an applicant must submit a request form "The Explanation of Circumstances Concerning Accelerated Examination" based on the procedure prescribed in "the Guidelines of the Accelerated Examination and Appeal"³.

The applicant must indicate that the application is included in (i) to (iv) of 1. (a), and that the accelerated examination is requested under the PPH pilot program. The application

³ https://www.jpo.go.jp/system/laws/rule/guideline/patent/document/index/guideline.pdf

number, publication number, or a patent number of the corresponding OMPIC application(s) also must be written.

*In the case that the application which has one or more claims that are determined to be patentable/allowable is different from the OMPIC application(s) included in (i) to (iv) of 1. (a) (for example, the divisional application of the basic application), the application number, publication number, or a patent number of the application(s) which has claims determined to be patentable/allowable and the relationship between those applications also must be written.

(2) Documents to be submitted

The applicant must list all required documents mentioned above 2. in an identifiable way, even when applicant omits to submit certain documents.

(3) Notice

Forms of "The Explanation of Circumstances Concerning Accelerated Examination" are different between on-line procedure and paper procedure. Please refer to the examples of forms when filling in ("Form 1 for Accelerated Examination" for on-line procedures, and "Form 2 for Accelerated Examination" for paper procedures.).

Example form of the Explanation of Circumstances Concerning Accelerated Examination

(Example of the request based on the claims indicated patentable/allowable in the written opinion of the report on the state of the art)

	_	
【書類名】	早期審査に関する事情説明書	
The name of t	his paper	
【提出日】	令和00年00月00日	Bibliographical items
Date of filing	/	Disnograpinoar items
【あて先】	特許庁長官殿	
Destination		
【事件の表示】 【出願番号】	特願 0000-000000	
Application nu	umber	
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The name and	address of who submit this	
【住所又は居民 【氏名又は名和	000000000 所】 〇〇県〇〇市〇丁目 称】 〇〇 〇〇	
【早期審査に関する	5事情説明】	
The explanati	on of circumstances concerning acce	elerated examination
1. 事情 特許審査ハイウ 本出願はモロッコ	ェイに基づく早期審査の申請を行う。 コ産業商業財産権庁への出願(特許出原 関とする出願である。当該モロッコ出願(願番号00000000)をパリ条約に基づ

The accelerated examination is requested under the PPH program.

This application is an application validly claiming the priority under the Paris Convention to the corresponding OMPIC application (the application number is 0000000000), and the Decision of Grant a Patent has been issued by the OMPIC.

以下において、「引用非特許文献1」とは、「村岡洋一著、「コンピュータサイエンス大学講座(第 11 巻)コンピュータ・アーキテクチャ」、第 2 版、株式会社近代科学者、1985 年 11 月、p. 123 - 127」である。

In what follows, "non-patent literature1" is "Yoichi Muraoka, Lecture of Computer Science (vol.11) computer architecture, 2nd edition, Scientist com, Nov. 1985, p.123-127."

If the name of the document is long (over than 50 letters), it is impossible to write it down directly to the column "【物件名】." Please write down the full name of the document in the column "【早期審査に関する事情説明】" and name it properly. Then write the name in the column "【物件名】."

【提出物件の目録】

List up the documents to be submitted

The list of submitted documents

【物件名】PPH申請書 1

【物件名】**年**月**日付の拒絶理由通知書の写し及びその翻訳文 1

【物件名】 **年**月**日付の特許査定の写し及びその翻訳文 1

【物件名】 特許可能と判断された請求項の写し及びその翻訳文 1

【物件名】引用非特許文献1 1

(The name of the document) PPH request form 1

(The name of the document) Copy and translation of Notification of Reasons for Refusal in the OMPIC on (date) $\ 1$

(The name of the document) Copy and translation of grant in the OMPIC on (date) 1

(The name of the document) Copy and translation of the claims indicated patentable in the report on the state of the art and written opinion in the OMPIC on (date) 1

(The name of the document) Cited non patent literature 1

Use the same name as "【物件名】" under "【提出物件の目録】."

【添付物件】

The list of attached documents

【物件名】 PPH 申請書

PPH request form

【内容】

(REQUEST FOR PARTICIPATION	ррн申詞 Attach the document here as image file on in the patent protext.
A. 書誌事項 (Bibliographic Data))
出願番号 (Application Number)	特願0000-000000
B. 必要事項 (Request)	
出願人による以下に基づく特許審査ハイ(Applicant requests participation in	イウェイの申請: the Patent Prosecution Highway (PPH) pilot program based on:)
先行庁 (国際調査機関又は国際予備審査機関を含む) (Office of Earlier Examination (OEE))	モロッコ産業商業財産権庁(OMPIC)
先行庁の審査書類形式	■ 国内出願の審査結果を利用(PPH又はPPH MOTTAINAI) (National/Regional Office Action(s))
(OEE Work Products Type)	□ PCT国際段階成果物を利用(PCT-PPH) (WO-ISA, WO-IPEA or IPER)
先行庁の対応出願番号(国際出願番号含む) (OEE Application Number) (Incl. PCT Application Number)	000000000
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i.	□ 上記において「添付する」とチェックした書 (If any of the above mentioned documents	▼類のうち、先に提出した書類を援用する s have been submitted before, please specify:)
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+	(引用特許文献)	
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\top	日本国特許第00000号公報	
). 請	青求項の対応関係 (Claims Corresponde	nce)
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	請求項の対応関係は、以下の表に記載(Cla	aims correspondence is explained in the following table)
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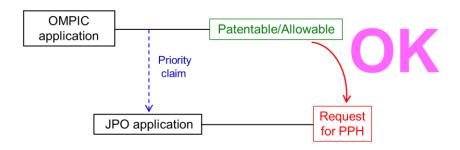
Copy a	and translation of Notification of Reasons for Refusal in the OMPIC on (date)
【内容】	Attach the copy of the document. Use the same name as "【物件名】" und "【提出物件の目録】."
	】**年**月**日付の特許査定の写し及びその翻訳文
Copy	and translation of grant in the OMPIC on (date)
【内容】	Attach the copy of the document.
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Note that in the case of paper procedure, the pendency period (the period between the request for PPH and the first office action) tends to be longer than on-line procedure.

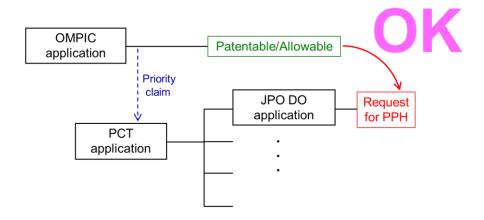




A case meeting requirement (a) (i) - Paris route -



B A case meeting requirement (a) (i) - PCT route -

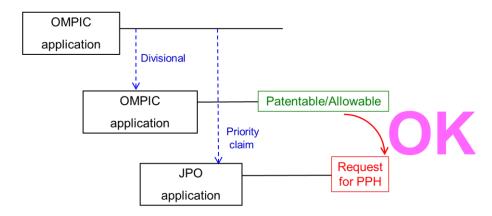






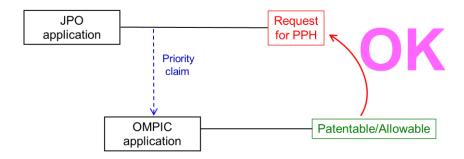
A case meeting requirement (a) (i)

- PCT route, Divisional application -





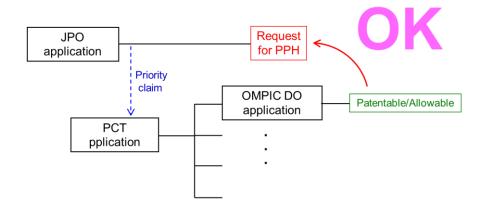
A case meeting requirement (a) (ii) - Paris route -







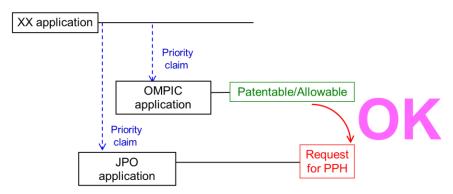
A case meeting requirement (a) (ii) - PCT route -





A case meeting requirement (a) (iii)

- Paris route, but the first application is from the third country -



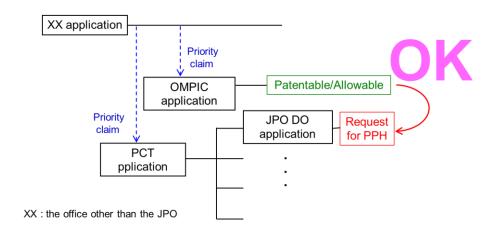
XX : the office other than the JPO





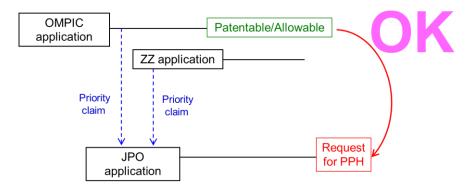
A case meeting requirement (a) (iii)

- PCT route, but the first application is from the third country -



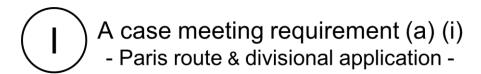


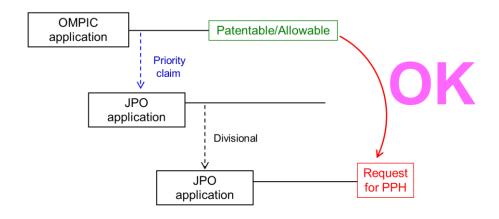
A case meeting requirement (a) (i) - Paris route & Complex priority -



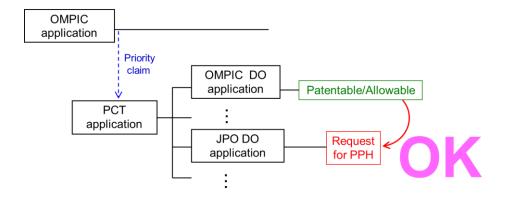
ZZ: any office



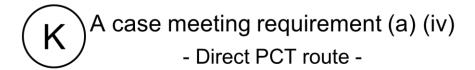


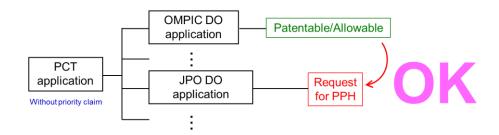


J A case meeting requirement (a) (i) - PCT route -

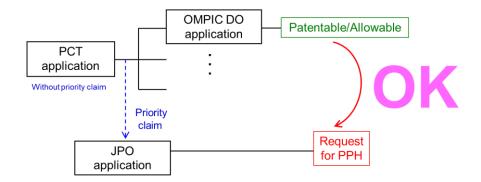






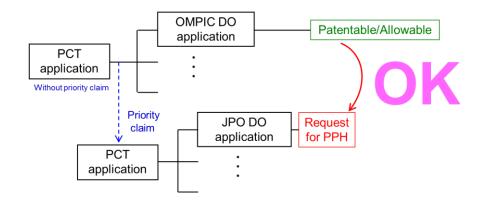


A case meeting requirement (a) (iii) - Direct PCT & Paris route -

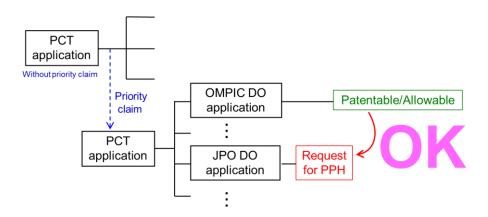




A case meeting requirement (a) (iii) - Direct PCT & PCT route -



N A case meeting requirement (a) (iii) - Direct PCT & PCT route -





A case not meeting requirement (d) - Examination has begun before a request for PPH -

