

**02—02.2 U**  
**Classification Table for**  
**Trial and Appeal Decisions: Utility Models**

1. Classifications Under Types of Cases (Utility model, Level of Instance, Types of Trial/Appeal)

(from January 1, 1994)

U . - ( ) ○

First digit		Second digit		Third – Fifth digits	
U	Utility Model	1	Trial/Appeal (including Advisory Opinion)		(Invalidation)
		2	_____	111	Invalidation for the claims/inventions demanded
		3	_____	114	Invalidation for the claims/inventions demanded (Demand from 2004)
		4	_____	121	Partial invalidation
		5	Retrial	124	Partial invalidation (Demand from 2004)
		6	_____		
		7	_____	15	
		8	_____	16	
		9	Others	2	Advisory Opinion
				3	_____
		41			
		42	_____		
		43			
		49			

					(Exclusion • Recusation)
				51	Exclusion
				52	Recusation
				6	_____
				7	
				8	Appel against the examiner's decision of refusal (interlocutory decision)
				91	Decision of approval/disapproval of intervention
				92	
				93	
				94	Decision of preservation of evidence
				95	Decision of approval/disapproval of succession

## 2. Classification Table Under Types of Holdings

## (1) Invalidation for registration

(from January 1, 1994)

U . - ( ) ○

Classification	Holdings
0	General proceedings (Appended table)
1	Utility Model Act Article 3 Requirements for registration (New) _____
111	(1)(i) Publically known
112	(1)(ii) Publically worked
113	(1)(iii) Disclosed in a publication
12	_____
121	(2) Inventive step
13	The body Articles
14	The body Industrial applicability
15	The body Inventor • Applicant
151	Utility Model Act Article 11 Joint application
152	Utility Model Act Article 37 Utility model registration by non-inventor, (1) (v) by a person who has not succeeded to the right
16	Utility Model Act Article 3-2
161	Same device
162	Same inventor
163	Same applicant
2	Utility Model Act Article 4 Device which cannot be registered
21	_____
22	_____
23	_____
24	Utility Model Act Article 4 Public order, morality, public health
25	_____

26		_____
3		_____
31		_____
32		_____
33		_____
4	Utility Model Act Article 7	Prior application
5		Others
51	Utility Model Act Article 2-5 (3)	Enjoyment of rights by foreign nationals
	Utility Model Act Article 37 (1)(iii)	Utility model registration in violation of any relevant treaty
	Utility Model Act Article 37 (1)(vi)	Violation of any relevant treaty after registration
52		_____
	(Improper description in the specification)	
531	Utility Model Act Article 5 (4)	Improper description in the detailed description of the invention (until June 30, 1995)
532		_____
533		_____
534	(5) (i) (ii) and (6)	Improper description formality in the claims (until June 30, 1995)
535	(5)(iii) and (6)	Improper description formality in the claims (until June 30, 1995)
536	(4)	Improper description in the detailed description of the invention (from July 1, 1995)
537	(6)(i)(ii) and (iii)	
538	(6)(iv)	Improper description formality in the claims
55	Utility Model Act Article 2-2	Amendment of addition of new matters

	(2)	
6		_____
61		_____
62		_____
63		_____
64		_____
641		
642		
643		_____
644		_____
645		
81		
811		
812		

## (2) Advisory opinion (from January 1, 1994)

Classification	Holdings
0	General proceedings (Appended table)
1	Same
2	Use
9	Others

(3) Appended table (Sub-classification of general proceedings)  
(from January 1, 1994)

Classification	Holdings
0	General proceedings
01	Indication in a written request, Request
02	Interest, Eligibility of a party, Benefit of request
03	Application date, Priority date, Request date
04	Subject matter
05	Method of proceedings, Procedure of proceedings
06	Evidence
07	Prohibition of double jeopardy
08	Finding the gist
081	Right
082	Not Right
09	Others
091	Intervention
092	Opposition

A definition of classification or an example of general proceedings →02-03IV

3. Classification Table Under “Conclusion” (per Type of Trial/Appeal)  
(Utility Model) (from January 1, 1994)

Classification	“Conclusion” under types of trial/appeal, etc.		
	Invalidation	Advisory opinion	Exclusion Recusation, Approval/ disapproval of intervention
X	Dismissal of the trial decision	Dismissal of the advisory opinion (Article 135)	
XX	Dismissal of the decision	Dismissal of the decision (Article 133)	Dismissal of the decision
XA	Necessary for correction Dismissal of a request for a trial (delete all of the claims under dispute due to correction)		
Y	Do not invalidate		Approve, Permit
YA	Necessary for correction Do not invalidate	Belong (a request is approved).	
YB		Belong (a request is not approved).	
Z	Invalidate (the whole request is approved)		Do not approve, Do not permit
ZA	Necessary for correction Invalidate (the whole	Do not belong (a request is approved).	

<p>ZB</p> <p>ZC</p> <p>ZD</p>	<p>request is approved)</p> <p>Invalidate (A request is partially approved)</p> <p>Necessary for correction</p> <p>Invalidate (A request is partially approved)</p>	<p>Do not belong (a request is not approved).</p>	
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(Revised Nov 2010)