

**11—01 PUDT****Notification of Trial and Appeal Numbers, etc.**

1. Commissioner of the Japan Patent Office shall assign a case number to a trial (an appeal), a retrial or an advisory opinion, or an opposition to grant of patent (an opposition to registration of trademark) when they are requested.

2. Commissioner of the Japan Patent Office shall notify a case number for a trial/appeal, a retrial or an advisory opinion to a person concerned according to Form 1 shown below when they are requested. Commissioner of the Japan Patent Office shall notify a case number for an opposition to grant of patent or an opposition to registration of trademark to an opponent and a right holder according to Form 2 shown below when they are requested (Enforcement Regulations of the Patent Act Article 48(1), Enforcement Regulations of the Patent Act Article 50-16, Enforcement Regulations of the Utility Model Act Article 23(10), Enforcement Regulations of the Design Act Article 19(8), Enforcement Regulations of the Trademark Act Article 22(6), Enforcement Regulations of the Patent Act Article 40, Enforcement Regulations of the Utility Model Act Article 23(7), Enforcement Regulations of the Design Act Article 19(5), Enforcement Regulations of the Trademark Act Article 22(4), Enforcement Regulations of the Patent Act Article 45-6, Enforcement Regulations of the Trademark Act Article 22(5)).

Regarding an appeal against examiner's decision of refusal, Form 3 will be transmitted when an appellant receives it via online.

A request for exclusion or recusation of the examiner, or a request for preservation of evidence is filed, a number of the related case shall be notified to a requester.

3. A trial number assigned to a related trial case, and names of administrative judges and a trial clerk consisting of a panel (→ 12-01) shall be notified to a demandee (a right holder) for a trial for invalidation (including, a trial for invalidation for registration of an extension of the term and a trial for rescission) when a duplicate of a written request for trial is served (the Patent Act Article 134(1), the Utility Model Act Article 39(1), the Design Act Article 52, the Trademark Act Article 56(1), the Trademark Act Article 68(4)). An opposition number shall be notified to a patent administrator in case a patentee is an overseas resident, or in other cases a patentee and a patent attorney performed the last procedure of the case, and also an opponent for filing the opposition.

A Table for Systems of Trial/Appeal Numbers

Types	2007~		~1993	1994~ 1995	1996	1997	1998~ 1999	2000	2001~ 2003	2004~
Appeal against examiner's decision of refusal	000001~199999		00001~ 50000	00001~40000	00001~30000					
Trial for rescission	300001~349999				30001~35000					
Trial for invalidation (patent, former utility model)	800001~849999				35001~39000 (reception until the end of March, 2004)					80001~8 8000
Trial for invalidation (design)	880001~889999									88001~8 9000
Trial for invalidation (trademark)	890001~899999									89001~9 0000
Trial for correction	390001~399999				39001~40000					
Trial for invalidation (new utility model)	400001~409999				40001~50000					
Appeal for against examiner's decision to dismiss amendment	500001~509999		50001~60000							
Advisory opinion	600001~609999		60001~70000				60001~65000			

the Madrid Protocol	Appeal against examiner's decision of refusal	650001~669999			65001~66000
	Trial for rescission	670001~679999			66001~67000
	Trial for invalidation	680001~684999			67001~68000
	Opposition to registration of trademark	685001~689999			68001~69000
	Appeal against examiner's decision to dismiss amendment	690001~694999			69001~69500
	Advisory opinion	695001~695999			69501~69600
	Retrial	696001~696999			69601~69700
	Exclusion	697001~697999			69701~69800
	Recusation	698001~698999			69801~69900
	Preservation of evidence	699001~699999			69901~69999
Opposition to grant of patent (Patent/Former Utility Model) (Jan., 1996 ~ Dec., 2003)			70001~95000	70001~90000	
Opposition to grant of patent (April, 2015 ~)	700001~749999				
Opposition to registration of trademark	900001~909999			90001~95000	
Retrial	950001~960000	70001~80000		95001~96000	
Exclusion	960001~970000	80001~85000		96001~97000	
Recusation	970001~980000	85001~90000		97001~98000	
Preservation of Evidence	980001~999999	90001~95000	98001~99000		98001~99999

## Form 1 Notice of Trial/Appeal Number (Trial/Appeal, Advisory Opinion)

Notice of Trial/Appeal Number	Y/M/D	NOTE
<p style="text-align: center;">Commissioner of the Japan Patent Office</p> <p>(Patent No. 1234567)</p>	1.	<p>When proceeding a trial case or an opposition case, please be sure to enter this trial number or opposition number.</p>
<p>Date of Request Y/M/D</p> <p>Since a request for trial (advisory opinion) is filed, a trial number shall be notified.</p>	2.	<p>For processing <i>Kanji</i> characters with electric computer, please note some characters may be replaced with other <i>Kanji</i> characters.</p>
<p style="text-align: center;">Remarks</p> <p>Invalidation(Advisor Opinion) No. 20XX-X00001</p>		
		<hr style="width: 20%; margin-left: auto; margin-right: 0;"/> <p>If you have any query, please contact us below.</p>
		Trial and Appeal Div. (name)
		Telephone (number)
		Facsimile (number)

## Form 2 Notice of Opposition Number

Notice of Opposition Number		NOTE
Y/M/D	Commissioner of the Japan Patent Office ( Trademark Registration No. 1234567 )	1. When proceeding a trial case or an opposition case, please be sure to enter this trial number or opposition number.
Date of Request Y/M/D	Since an opposition to registration of trademark is filed, an opposition number shall be notified.	
Remarks	Opposition No. 20XX-900001 Request No. 01	2. For processing <i>Kanji</i> characters with electric computer, please note some characters may be replaced with other <i>Kanji</i> characters.
		<hr/> If you have any query, please contact us below. Trial and Appeal Div. (name) Telephone (number) Facsimile (number)

(Note) Under the description of “Remarks”, “Opposition No. 20XX-X00001” indicates an opposition number. Underneath of the opposition number, it indicates a “request number” which is taken in series on every request to the same patent (trademark) right and it is not an opposition number.

Form 3

## Receipt

Y/M/D

Commissioner of  
the Japan Patent Office

Identification No. 100XXXXXX

Name (Appellation)

The documents below have been received.

Item	Document Name	Ref. No.	Receipt No.	Submitted Date	Appl.No. (Case No.)
1	Request for Trial	R-X-XXX-XX	XXXXXXXXXXXX	Y/M/D	Fufuku 20XX-XXXXXX (P Appl.No.20XX-XXXXXX)
1	Request for Trial	R-X-XXX-XX	XXXXXXXXXXXX	Y/M/D	Fufuku 20XX-XXXXXX (P Appl.No.20XX-XXXXXX)
1	Request for Trial	R-X-XXX-XX	XXXXXXXXXXXX	Y/M/D	Amendment 20XX-XXXXXX (T Appl.No.20XX-XXXXXX)

(Revised June 2019)

**11—02 PUDT**  
**Notification of Request (Motion) to a Person Having**  
**a Registered Right**

When a trial for invalidation (including, a trial for invalidation for registration of an extension of the term and a trial for rescission) is requested, or an opposition to grant of patent (an opposition to registration of trademark) is requested, the Chief Administrative Judge dispatches a notice of request for trial according to Form 1, or a notice of opposition to grant of patent according to Form 2 (or a notice of opposition to registration of trademark according to Form 3) (Note 2) to an exclusive right holder and other right holders of the registered right (Note 1) (the Patent Act Article 123(4), the Patent Act Article 115(4), the Patent Act Article 125-2(2), the Utility Model Act Article 37(4), the Design Act Article 48(4), the Trademark Act Article 46(4), the Trademark Act Article 43-4(5), the Trademark Act Article 55, the Trademark Act Article 68(4)).

(Note 1) Registered pledgee, etc.

(Note 2) The same applies to a request for a retrial for invalidation (including a retrial for invalidation for registration of an extension of the term and a retrial for rescission)

(Revised June 2019)



## Form 1 Notice for Trial (Inter partes trial: Licensee)

Notice for Trial	Y/M/D	NOTE
<p style="text-align: center;">Commissioner of the Japan Patent Office</p> <p>(Patent No. 1234567)</p>		<p>1. When proceeding a trial case or an opposition case, please be sure to enter this trial number or opposition number.</p>
<p>Date of Request Y/M/D</p> <p>Since a request for trial is filed, a trial number shall be notified.</p>		<p>2. For processing <i>Kanji</i> characters with electric computer, please note some characters may be replaced with other <i>Kanji</i> characters.</p>
<p style="text-align: center;">Remarks</p> <p>Invalidation No. 20XX-X00001</p> <p>This notifies a trial number to Mr. xxx, an exclusive right holder of the patent.</p>		
		<hr/> <p>If you have any query, please contact us below.</p> <p>Trial and Appeal Div. (name)</p> <p>Telephone (number)</p> <p>Facsimile (number)</p>

## Form 2 Notice of Opposition to Grant of Patent (Opposition : Licensee)

Notice of Opposition Number	Y/M/D	NOTE
<p style="text-align: center;">Commissioner of the Japan Patent Office</p> <p>( Patent No. 1234567)</p>	1.	<p>When proceeding a trial case or an opposition case, please be sure to enter this trial number or opposition number.</p>
<p>Date of Request Y/M/D</p> <p>Since an opposition to grant of patent is filed, an opposition number shall be notified.</p>	2.	<p>For processing <i>Kanji</i> characters with electric computer, please note some characters may be replaced with other <i>Kanji</i> characters.</p>
<p style="text-align: center;">Remarks</p> <p>Opposition No. 20XX-700001</p> <p>Request No. 01</p> <p>This notifies an opposition number to Mr. xxx, an exclusive right holder of the patent.</p>		
		<hr style="width: 20%; margin-left: auto; margin-right: 0;"/> <p>If you have any query, please contact us below.</p> <p>Trial and Appeal Div. (name)</p> <p>Telephone (number)</p> <p>Facsimile (number)</p>

Form 3 Notice of Opposition to Registration of Trademark (Opposition :  
Licensee)

Notice of Opposition Number

Y/M/D

NOTE

Commissioner of  
the Japan Patent Office

( Trademark Registration No. 1234567 )

Date of Request Y/M/D

Since an opposition to registration of trademark is  
filed, an opposition number shall be notified.

Remarks

Opposition No. 20XX-900001

Request No. 01

This notifies an opposition number to  
Mr. xxx, an exclusive right holder of the trademark.

1. When proceeding a trial case or  
an opposition case, please be  
sure to enter this trial number or  
opposition number.

2. For processing *Kanji* characters  
with electric computer, please  
note some characters may be  
replaced with other *Kanji*  
characters.

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If you have any query, please  
contact us below.

Trial and Appeal Div. (name)

Telephone (number)

Facsimile (number)