

11—01 PUDT

Notification of Trial and Appeal Numbers, etc.

1. Commissioner of the Japan Patent Office assigns a case number to a trial (an appeal), a retrial or Hantei (advisory opinion), or an opposition to grant of patent (an opposition to registration of trademark) when they are requested. A system of trial/appeal, etc. numbers is as listed in the “Table for Systems of Trial/Appeal Numbers”.

2. Commissioner of the Japan Patent Office notifies the parties concerned of a case number of a trial/appeal, a retrial or Hantei (advisory opinion) according to Form 1 shown below when they are requested (Enforcement Regulations of the Patent Act Article 48(1), Enforcement Regulations of the Patent Act Article 50-16, Enforcement Regulations of the Utility Model Act Article 23(12), Enforcement Regulations of the Design Act Article 19(8), Enforcement Regulations of the Trademark Act Article 22(6), Enforcement Regulations of the Patent Act Article 40, Enforcement Regulations of the Utility Model Act Article 23(9), Enforcement Regulations of the Design Act Article 19(5), Enforcement Regulations of the Trademark Act Article 22(4)).

3. Commissioner of the Japan Patent Office notifies the party concerned of a case number of an opposition to grant of patent or an opposition to registration of trademark according to Form 2 shown below when they are filed (Enforcement Regulations of the Patent Act Article 45-6, Enforcement Regulations of the Trademark Act Article 22(5)).

A “Petition Number” is assigned as a series of numbers for each opposition to the same patent (trademark) right. In the case of the first petition (Petition

Number 01), the one who was the agent at the time of registration will be also notified of the opposition number.

4. Regarding an appeal against examiner's decision of refusal, if the appellant is to receive a receipt via online, that under Form 3 is transmitted.

5. When a petition for exclusion or recusation of an administrative judge or a trial/appeal clerk or a petition for preservation of evidence is filed, the case number of the petition is notified to the parties concerned.

6. In the case of an invalidation trial (including an invalidation trial for registration of an extension of the term and a trial for rescission) the demandee is served with a duplicate of a demand for trial (Patent Act Article 134(1), Utility Model Act Article 39(1), Design Act Article 52, Trademark Act Articles 56(1), 68(4)), and concomitantly notified of a trial number and names of administrative judges constituting a panel and a trial clerk (→ 12-01).

A Table for Systems of Trial/Appeal Numbers

Types	2007~		~1993	1994 ~ 1995	1996	1997	1998	1999	2000	2001 ~ 2003	2004 ~ 2006
Appeal against examiner's decision of refusal	000001~ 199999		00001~ 50000	00001~40000			00001~30000				
Trial for rescission	300001~ 349999						30001~35000				
Trial for invalidation (patent, former utility model)	800001~ 849999						35001~39000 (reception until the end of March 2004)				8000 1~8 8000
Trial for invalidation (design)	880001~ 889999										8800 1~8 9000
Trial for invalidation (trademark)	890001~ 899999										8900 1~9 0000

Trial for correction		390001~ 399999				39001~40000
Trial for invalidation (new utility model)		400001~ 409999				40001~50000
Appeal for against examiner's decision to dismiss amendment		500001~ 509999		50001~60000		
Hantei (Advisory opinion)		600001~ 609999		60001~70000		60001~65000
the Madrid Protocol	Appeal against examiner's decision of refusal	650001~ 669999				65001~66000
	Trial for rescission	670001~ 679999				66001~67000
	Trial for invalidation	680001~ 684999				67001~68000
	Opposition to registration of trademark	685001~ 689999				68001~69000
	Appeal against examiner's decision	690001~ 694999				69001~69500

	to dismiss amendment						
	Hantei (Advisory opinion)	695001~ 695999					69501~69600
	Retrial	696001~ 696999					69601~69700
	Exclusion	697001~ 697999					69701~69800
	Recusation	698001~ 698999					69801~69900
	Preservation of evidence	699001~ 699999					69901~69999
Opposition to grant of patent (Patent/Former Utility Model) (January 1996 ~ December 2003)					70001~ 95000	70001~90000	
Opposition to grant of patent (April 2015 ~)		700001~ 749999					
Opposition to registration of trademark		900001~ 909999				90001~95000	
Retrial		950001~ 959999		70001~80000	95001~96000		

Exclusion	960001~ 969999		80001~85000	96001~97000
Recusation	970001~ 979999		85001~90000	97001~98000
Preservation of evidence	980001~ 989999		90001~95000	98001~99000
Expert opinion	990001~ 999999			99001~99999

Form 1 Notice of Trial/Appeal Number (Trial/Appeal, Hantei (Advisory Opinion))

Notice of Trial Number	NOTE
<p style="text-align: center;">Y/M/D</p> <p style="text-align: center;">Commissioner of the Japan Patent Office</p> <p>(Patent No. 1234567)</p>	<p>1. When proceeding with a trial case or an opposition case, please be sure to enter this trial number or opposition number.</p>
<p>Date of Filing Y/M/D</p> <p>Since a demand for trial (Hantei (advisory opinion)) has been filed, a trial number is notified below.</p>	<p>2. For processing <i>Kanji</i> characters with an electronic computer, please note some characters may be replaced with other <i>Kanji</i> characters.</p>
<p style="text-align: center;">Remarks</p> <p>Invalidation (Hantei (Advisory Opinion)) No. 20XX-X00001</p>	<p>3. In the case of electronically receiving documents submitted by the other party using a special electronic application, the consent of the person receiving the documents is required in advance (Act on Special Provisions for Procedures Related to Industrial Property Right Article 10(2)). Please see the JPO's website for the consent (Systems and procedures →Trials/Appeals →Special electronic applications in trial/appeal procedures).</p>

If you have any inquiries regarding
this notification, please contact us
below.

Trial and Appeal Div. (name)

Telephone (number), Ext.(number)

Facsimile (number)

Form 2 Notice of Opposition Number

Notice of Opposition Number	NOTE
<p style="text-align: right;">Y/M/D</p> <p style="text-align: center;">Commissioner of the Japan Patent Office (Patent (Trademark Registration) No.1234567)</p>	<p>1. When proceeding with a trial case or an opposition case, please be sure to enter this trial number or opposition number.</p>
<p>Date of Filing: Y/M/D</p> <p>We would like to inform you of an (patent, trademark) opposition number related to the above patent (trademark registration) as shown below.</p>	<p>2. For processing <i>Kanji</i> characters with an electronic computer, please note some characters may be replaced with other <i>Kanji</i> characters.</p>
<p style="text-align: center;">Remarks</p> <p>Opposition No. 20XX-X00001</p> <p>Petition No. 01</p>	<p>3. In the case of electronically receiving documents submitted by the other party using a special electronic application, the consent of the person receiving the documents is required in advance (Act on Special Provisions for Procedures Related to Industrial Property Right Article 10(2)). Please see the JPO's website for the consent (Systems and procedures →Trials/Appeals →Special electronic applications in trial/appeal procedures).</p>

If you have any inquiries regarding
this notification, please contact us
below.

Trial and Appeal Div. (name)

Telephone (number) Ext. (number)

Facsimile (number)

(Note) Under the description of “Remarks”, “Opposition No. 20XX-X00001” indicates an opposition number. Underneath of the opposition number, it indicates a “petition number” which is taken in series on every petition to the same patent (trademark) right and it is not an opposition number.

Form 3 Online Receipt

Receipt

Y/M/D

Commissioner of
the Japan Patent Office

Identification No. 100XXXXXX

Name (Appellation)

The documents below have been received.

Item	Document Name	Ref. No.	Receipt No.	Submitted Date	Application No.
					(Indication of the case.)
1	Request for Appeal	R-X-XXX-XX	XXXXXXXXXXXX	Y/M/D	Appeal 20XX-XXXXXX (P Appl.No.20XX-XXXXXX)
1	Request for Appeal	R-X-XXX-XX	XXXXXXXXXXXX	Y/M/D	Appeal 20XX-XXXXXX (P Appl.No.20XX-XXXXXX)
1	Demand for Trial	R-X-XXX-XX	XXXXXXXXXXXX	Y/M/D	Correction 20XX-XXXXXX (T Appl.No.20XX-XXXXXX)

(Revised December 2023)

11—02 PUDT

**Notification of Request (Motion) to a Person Having
a Registered Right**

When a trial for invalidation, etc. (including a retrial) is filed, a chief administrative judge notifies a trial number, etc. to an exclusive licensee (an exclusive right holder to use) and other holders of registered right (such as pledgees).

- When a demand for an invalidation trial (including a trial for invalidation for registration of an extension of the term and a trial for rescission) is filed, a notice of trial (Form 1).

- When a patent (trademark registration) opposition is filed, a notice of opposition (Form 2, Form 3).

(Patent Act Article 123(4), Patent Act Article 115(4), Patent Act Article 125-2(3), Utility Model Act Article 37(4), Design Act Article 48(4), Trademark Act Article 46(4), Trademark Act Articles 43-4(5), Article 55, Article 68(4)).

(Revised December 2023)

Form 1 Notice of Trial (Inter partes trial: licensee)

Notice of Trial

Y/M/D

NOTE

Commissioner of
the Japan Patent Office

(Patent No. 1234567)

Filing Date: Y/M/D

A trial number shall be provided to you since a
demand for trial is submitted.

Remarks

Invalidation No. 20XX-X00001

This notice notifies a trial number to Mr. xxx, an
exclusive licensee of the patent.

1. When carrying out procedures related to proceedings of a trial case or an opposition case, please be sure to enter this trial number or opposition number.
2. For processing *Kanji* characters with an electronic computer, please note some characters may be replaced with other *Kanji* characters.

If you have any inquiries regarding
this notice, please contact us below.

Trial and Appeal Div. (name)

Telephone (number)

Facsimile (number)

Form 2 Notice of Opposition to Grant of Patent (Opposition : licensee)

Notice of Opposition

Y/M/D

NOTE

Commissioner of
the Japan Patent Office

(Patent No. 1234567)

Filing date: Y/M/D

An opposition number shall be provided to you
since a petition for opposition has been filed.

Remarks

Opposition No. 20XX-700001

Petition No. 01

This notice notifies an opposition number to Mr.
xxx, an exclusive licensee of the patent.

1. When carrying out procedures related to a trial case or an opposition case, please be sure to enter this trial number or opposition number.
2. For processing *Kanji* characters with an electronic computer, please note some characters may be replaced with other *Kanji* characters.

If you have any inquiries regarding
this notice, please contact us below.

Trial and Appeal Div. (name)

Telephone (number)

Facsimile (number)

Form 3 Notice of Opposition to Registration of Trademark

(Opposition : an exclusive right holder to use)

Notice of Opposition

Y/M/D

NOTE

Commissioner of 1. When carrying out procedures

the Japan Patent Office related to a trial case or an

(Trademark Registration No. 1234567)

opposition case, please be sure to

Filing date: Y/M/D

enter this trial number or

An opposition number shall be provided to you

opposition number.

since a petition for opposition has been filed.

2. For processing *Kanji* characters

with an electronic computer,

Remarks

please note some characters may

Opposition No. 20XX-900001

be replaced with other *Kanji*

Petition No. 01

characters.

This notice notifies an opposition number to Mr.

xxx, an exclusive right holder to use.

If you have any inquiries regarding
this notice, please contact us below.

Trial and Appeal Div. (name)

Telephone (number)

Facsimile (number)