Information on Procedures before the Office of the designated Contracting party for Madrid Protocol Applicants

		December
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		s to be noted when filling an MM2 format
	olicant (Item 2 of MM2)	
1.1.1	Points to be noted when	The USPTO requires an applicant to indicate its legal nature and citizenship in 2(f) of the MM2.
	writing information about	
	an applicant (Item $2(a)$,	
	(b) and (f) of MM2 or of	
	any equivalent form or	
	equivalent electronic form)	
2 Cla	im of priority (Item 6 of MM	
	Is partial priority allowed,	Z) Yes.
.2.1	which is a claim of	ies.
	priority based on part of a	
	basic application?	
	(Yes/No)	
.2.2	Are multiple priorities	Yes.
.4.4	allowed, which is a claim	165.
	of priority based on	
	multiple basic	
	applications? (Yes/No)	
.3 Ma	rk (Item 7 to 9 of MM2)	
.3.1	Category of marks which	In addition to trademarks and service marks, the USPTO registers certification marks, collective marks, and collective membership
	can be registered (e.g.	marks but not guarantee marks.
	collective mark,	
	certification mark	
	guarantee mark)	
.3.2	Are there certificates	For certification marks, the USPTO requires submission of the certification standards.
	required according to	
	category specified in Item	
	1.3.1? (Yes/No) If a	
	required certificate exists,	
	time and method of	
	submission	
.3.3	Types of non-traditional	Due to the United States' broad definition of a "trademark," any type of mark is <i>eligible</i> for registration with the USPTO." See <u>15</u>
	trademarks that are	U.S.C. § 1127. In the past, the USPTO has registered three-dimensional marks, sound marks, color marks, position marks, trade

1.3.4	eligible for registration (three-dimensional, sound, single color, position, trade dress, etc) and their requirements. Is description of a mark required? (Yes/No) If yes, examples of such a description	dress marks, motion marks, tactile marks, and scent marks. Yes, a description of the mark is required unless the mark is a standard character mark. Please see TMEP § 808 (http://tmep.uspto.gov/RDMS/detail/manual/TMEP/current/TMEP-800d1e349.xml#/manual/TMEP/current/TMEP-800d1e2078.xml) for examples of acceptable mark descriptions.
1.3.5	What an applicant should do if he/she is required to provide a description of his/her mark in question, but such a description cannot be specified in a MM2 format?	As soon as the applicant receives a filing receipt, the applicant may visit the USPTO's website at <u>www.uspto.gov</u> and use the Trademark Electronic Application System to submit the mark description by filing a "Voluntary Amendment." The form is located here: <u>http://teas.uspto.gov/office/pra</u> .
1.3.6	Is there a standard character system? (Yes/No) If yes, what characters belong to the system? (Item 7(c) of MM2)	Yes. The USPTO's standard characters may be viewed here: <u>http://www.uspto.gov/custom-page/standard-character-set</u> .
1.3.7	Points to be noted for Item 8 of MM2 (COLOR CLAIMED)	For color marks, the USPTO recommends that an applicant make the color location statement and the mark description the same.
1.3.8	Points to be noted for Item 9 of MM2 (MISCELLANEOUS INDICATIONS)	 For marks containing non-English wording, the USPTO requires a translation into English. If a mark contains non-Latin characters, the USPTO requires a transliteration of the mark. For marks that are three-dimensional ("3D"), e.g., configuration marks, the USPTO recommends checking the "3D" box if the base is for a 3D mark. If mark only has a 3D appearance the 3D box should NOT be checked. For sound marks, the USPTO requires an audio recording of the mark, as well as a detailed description of the sound. Although this audio file cannot be part of the international application, the USPTO will require an audio recording of the mark during examination.
1.4 Des	cription of goods and service	es (Item 10 of MM2)
1.4.1	Points to be noted for describing designated goods and services	The USPTO generally does not accept Nice Class headings.
1.4.2	A link to a website to verify whether indications	The U.S. Acceptable Identification of Goods and Services Manual (ID Manual) may be viewed here: <u>http://tess2.uspto.gov/netahtml/tidm.html</u> .

	of goods and services are	
	acceptable to an Office	
1.5 Dec	laration of intention to use m	narks
1.5.1	Is a declaration of	Yes, the USPTO requires applicants to submit the MM18 form at the time of filing the international application and subsequent
	intention to use a mark in	designation.
	question required?	
	(Yes/No) If required, how	
	to submit such a	
	declaration	
1.6 Add	litional features	
1.6.1	(If any [ex. Seniority])	The USPTO accepts disclaimers under Rule 9(4)(b)(v).
		the territorial extension is received at an Office of a Contracting Party
	ettes published by an Office	
2.1.1	Are gazettes published by an Office? (Yes/No)	Yes, the USPTO publishes a Trademark Official Gazette once a week.
2.1.2	If yes, what are included	The USPTO's Trademark Official Gazette contains an illustration of each mark published for opposition on the Principal Register,
	in gazettes?	marks registered on the Principal Register under 15 U.S.C. §1051(d), and marks registered on the Supplemental Register on the date
		of the particular issue in which the marks appear. In addition, the Official Gazette includes the listing of goods/services and the
		basis for registration.
2.1.3	If yes, is there a link to a	Yes, the Official Gazette is located here:
	website?	http://www.uspto.gov/learning-and-resources/official-gazette/trademark-official-gazette-tmog.
	stantive examination	
2.2.1	Does an Office conduct	Yes.
	substantive examination?	
	(Yes/No)	
2.2.2	If substantive	Yes.
	examination is conducted,	
	will there be an <i>ex officio</i>	
	examination under i)	
	absolute and ii) relative	
	grounds for refusal be	
	examined by ex officio?	
2.2.3	Does an Office issue	Yes.
	partial refusals?	
2.2.4	Information on	http://tmep.uspto.gov/RDMS/detail/manual/TMEP/current/d1e2.xml
	examination standards (a	
	link to a website)	
2.3 Opp		
2.3.1	i) A starting date and ii) a	Any person who believes that he or she would be damaged by the registration of a mark on the Principal Register may oppose
	period to file an	registration by filing a notice of opposition with the Board, and paying the required fee, within thirty days after the date of

	opposition	publication, or within an extension period granted by the Board for filing an opposition. The opposition is period is extendable up to 180 days after the mark is published.
2.3.2	Who can raise an opposition?	Any person who believes it is or will be damaged by registration of a mark has standing to file a complaint.
2.3.3	Can an opposition be filed before or after a statement of grant of protection is sent? Such a statement is based on Rule 18ter of the Common Regulations.	An opposition may be filed with the USPTO prior to registration of the mark, but an opposition proceeding may not be filed before the mark has been published for opposition.
2.4 Thi	rd party observations/ Inform	nation submission system
2.4.1	Is there third party observations/ information submission system?	The USPTO accepts letters of protest. A letter of protest is an informal procedure created by and existing at the discretion of the USPTO, whereby third parties may bring to the attention of the USPTO evidence bearing on the registrability of a mark. The letter of protest procedure applies only to pending applications and is intended to aid in examination without causing undue delay and without compromising the integrity and objectivity of the ex parte examination process. See <u>TMEP § 1715</u> .
2.4.2	If yes, i) A starting date and ii) a period to submit observations/ Information?	Letters of protest may generally be filed anytime between the filing date of the application and publication of the mark for opposition. When a letter of protest is filed on the date of publication or within 30 days after the date of publication, the letter of protest will be accepted only where publication of the mark constituted clear error, because the evidence included with the letter of protest establishes a prima facie case for refusal of registration.
2.4.3	If yes, Person who can submit observations/information?	Anyone may file a letter of protest.
	tification of provisional refus	
2.5.1	Languages used to notify a provisional refusal	English.
2.5.2	A period between a day when a notification of territorial extension was sent to an Office and a day when a notification of a provisional refusal is sent (12/18 months)	18 months.
2.5.3	Is there a declaration made in accordance with Article 5(2)(c) of the Madrid Protocol? (Yes/No) Note: Notification of that such a provisional refusal	Yes.

	may be notified based on opposition after the lapse of period of 18-month	
2.5.4	An average processing time between a day when territorial extension is notified and a day when a First Office Action is made	First action pendency averages .7 months or 21 days from date of notification.
2.5.5	 i) A starting date, ii) a period and iii) an ending day of a time limit to respond to a notification of a provisional refusal. Note: Examples of "i) a day on which the computation begins" are a day when an Office sent a notification of a provisional refusal; a day when the International Bureau sent a notification of a provisional refusal; and a day when a holder received a notification of a provisional refusal. Note: Examples of "iii) an ending day" are a day when a holder sent his/her response; and a day when an Office received a 	Applicants must respond to an Office action within 6 months from the day the USPTO transmits the Office action to the International Bureau.
2.5.6	response. Is it possible to extend a time limit to respond to a notification of a provisional refusal? (Yes/No) If yes, how long such a time limit can be extended, and how can such an extension be	No, the USPTO's response date is set by statute. 15 U.S.C. §1062(b).

	requested?	
2.5.7	Is it always necessary to	No. However, if an applicant chooses to have legal representation, that representative must be a qualified practitioner authorized
	arrange a local	under 37 C.F.R. §11.14 to practice in trademark cases. 37 C.F.R. §§2.11 and 11.14(e). See TMEP §§602–602.03(e).
	representative when	
	responding to a	
	notification of a	
	provisional refusal?	
	(Yes/No)	
2.5.8	Can a holder directly	Yes.
	submit his/her response to	
	an Office when	
	responding to a	
	notification of a	
	provisional refusal?	
	(Yes/No)	
2.5.9	Can submitting a MM6	No, the USPTO would not consider this a response to an Office action.
	form to request a	
	limitation be regarded as	
	a domestic procedure for	
	amendment? (Yes/No)	
2.5.10	If a MM6 submission is	N/A
	regarded as a response to	
	a provisional refusal, are	
	there any points to be	
	noted such as a time limit	
	for submission?	
	(For example, such points	
	may include that a MM6	
	form must be filled and	
	submitted to the IB within	
	a time limit to respond to	
	a notification of a	
	provisional refusal, or	
	that a notification of a	
	limitation must be sent	
	from the IB to an Office	
	within a time limit of	
	response.	
2.6 Tria	ll/Appeal against examiner's	
2.6.1	When can demand for an	The applicant must file the notice of appeal and appeal fee within six months of the date of issuance of the final refusal.

	appeal against examiner's	
	decision of refusal be	
	made?	
2.6.2	What is the competent	The USPTO's Trademark Trial and Appeal Board.
2.0.2	authority for such appeal?	The USPTUS Hademark That and Appear Board.
262		http://www.uspto.gov/trademarks-application-process/trademark-trial-and-appeal-board
2.6.3	Information on appeal	http://www.uspto.gov/trademarks-application-process/trademark-trial-and-appeal-ooard http://www.uspto.gov/trademarks-application-process/appealing-trademark-decisions/tbmp-preface
	against examiner's decision of refusal (a link	http://www.uspto.gov/trademarks-apprication-process/appearing-trademark-decisions/tomp-preface
	to a website)	
2 D		
		grant of protection in designated contracting parties
	istration	
3.1.1	Other than a statement of	No.
	grant of protection which	
	is a notification based on	
	Rule 18ter of the	
	Common Regulations, is	
	any notification of a	
	decision of registration	
	sent based on domestic	
	laws? (Yes/No) If yes, to	
	whom (a holder/an IB	
	representative) will it be	
2.1.2	sent?	
3.1.2	i) Is a registration	Yes, the USPTO will automatically issue a registration certificate and send it to whoever is listed on as the correspondent of record.
	certificate automatically	
	issued? (Yes/No) If yes,	
	to whom (a holder/ an IB	
	representative) will it be	
	sent?	
	ii) If a certificate is not	
	issued automatically, is it	
	possible to make a	
	request so that a	
	certificate will be issued?	
	(Yes/No) If yes, how will	
3.1.3	it be requested? Points to be noted for	The LICDTO does not charge a fee for the invence of a resistantian sort firsts
3.1.3		The USPTO does not charge a fee for the issuance of a registration certificate.
	registration	
	(For example, payment of fac)	
	fee)	

3.1.4	Points to be noted for the purpose of maintaining the registration such as submitting a affidavits of continued use in commerce	The USPTO requires the applicant to submit §71 affidavits between the 5 th and 6 th year of registration and every 10 years after the date of registration. See <u>TMEP § 1613</u> for more information. As of January 2015, the USPTO issues courtesy reminder notices via email to those registrants that have provided a valid email address to receive such notices. <u>http://www.uspto.gov/trademark/trademark-updates-and-announcements/uspto-now-issuing-courtesy-email-reminders</u>
	lacement	
3.2.1	Does an Office require a request to operate procedure for 'taking note' of an international registration?	 Yes. Once the registration based on the request for extension of protection issues, the holder can file the request to note replacement of the United States national registration. TMEP §1904.12. Legally, replacement takes place automatically, by operation of law. However, the USPTO will note the replacement in its records (and notify the IB accordingly) only if the holder of a registered extension of protection files a request that it do so. A request to note replacement of a United States national registration with a registered extension of protection must include: (1) The serial number or registration number of the extension of protection to the United States (i.e., the §66(a) application or registered extension of protection); (2) The registration number of the replaced United States registration; and (3) The fee required by 37 C.F.R. §7.28(b).
3.2.2	Timing when a request to operate procedure for 'taking note' of an international registration is allowed (while an application being processed, post-registration, etc.)	Any time after the extension of protection has been granted.
3.2.3	Does it cost to "take note"?	\$100 per international class.
3.2.4	When will it be decided to replace a registration in question?	The USPTO only decides to note the replacement if the request is received and complies with the requirements detailed in response to question 3.2.1, above.
3.2.5	Can a replaced national registration and an international registration coexist?	Yes.
4. Other		
4.1 Tria	l/Appeal for invalidation/can	ncellation

4.1.1	Time period for filing or	Please note that the United States offers cancellation proceedings rather than invalidation proceedings. Once a mark is cancelled,
4.1.1	Time period for filing an invalidation	the USPTO will notify the IB that the U.S. designation has been invalidated.
		15 U.S.C. & 10(4 acts the time maried for filling one calletion means dings with the USPTO
		<u>15 U.S.C. § 1064</u> sets the time period for filing cancellation proceedings with the USPTO. It states:
		A petition to cancel a registration of a mark, stating the grounds relied upon, may, upon payment
		of the prescribed fee, be filed as follows by any person who believes that he is or will be
		damaged, including as a result of a likelihood of dilution by blurring or dilution by tarnishment under section 1125(c) of this title, by the registration of a mark on the principal register established
		by this chapter, or under the Act of March 3, 1881, or the Act of February 20, 1905:
		(1) Within five years from the date of the registration of the mark under this chapter.
		(2) Within five years from the date of publication under section 1062(c) of this title of a mark registered under the Act of March 3,
		1881, or the Act of February 20, 1905.
		(3) At any time if the registered mark becomes the generic name for the goods or services, or
		a portion thereof, for which it is registered, or is functional, or has been abandoned, or its
		registration was obtained fraudulently or contrary to the provisions of section 1054 of this title or of subsection (a), (b), or (c) of section 1052 of this title for a registration under this chapter,
		or contrary to similar prohibitory provisions of such said prior Acts for a registration under such
		Acts, or if the registered mark is being used by, or with the permission of, the registrant so as
		to misrepresent the source of the goods or services on or in connection with which the mark is used. If the registered mark becomes the generic name for less than all of the goods or services for which it is registered, a petition to cancel the registration for only those
		goods or services may be filed. A registered mark shall not be deemed to be the generic name of goods or services solely because
		such mark is also used as a name of or to identify a unique product or service. The primary significance of the registered mark to the
		relevant public rather than purchaser motivation shall be the test for determining whether the registered mark has become the generic
		name of goods or services on or in connection with which it has been used.
		(4) At any time if the mark is registered under the Act of March 3, 1881, or the Act of February
		20, 1905, and has not been published under the provisions of subsection (c) of section 1062 of this title.
		(5) At any time in the case of a certification mark on the ground that the registrant (A) does not
		control, or is not able legitimately to exercise control over, the use of such mark, or (B)
		engages in the production or marketing of any goods or services to which the certification mark is applied, or (C) permits the use of the certification mark for purposes other than to
		certify, or (D) discriminately refuses to certify or to continue to certify the goods or services of
		any person who maintains the standards or conditions which such mark certifies:
		Provided, That the Federal Trade Commission may apply to cancel on the grounds specified in paragraphs (3) and (5) of this section
		any mark registered on the principal register established by this chapter, and the prescribed fee shall not be required. Nothing in
		paragraph (5) shall be deemed to prohibit the registrant from using its certification mark in advertising or promoting recognition of $\frac{9}{9}$

		the certification program or of the goods or services meeting the certification standards of the registrant. Such uses of the certification mark shall not be grounds for cancellation under paragraph (5), so long as the registrant does not itself produce, manufacture, or sell any of the certified goods or services to which its identical certification mark is applied
4.1.2	Person who can demand a trial/appeal for invalidation	A petition for cancellation may be filed by any person who believes that he is or will be damaged by the registration of a mark on the principal register established by the Lanham Act, or under the Trademark Act of March 3, 1881, or the Trademark Act of February 20, 1905.
4.1.3	What is the competent authority for such trial/appeal?	The USPTO's Trademark Trial and Appeal Board.
4.1.4	Procedure relating to a trial/appeal for invalidation (a link to a website)	http://www.uspto.gov/trademarks-application-process/appealing-trademark-decisions/tbmp-preface
4.2 Tria	al/Appeal for cancellation of	registered trademark not in use
4.2.1	Time period for filing a non-use cancellation	As noted above, <u>15 U.S.C. § 1064</u> sets for the time period for filing cancellation proceedings with the USPTO. In particular, it states:
		A petition to cancel a registration of a mark, stating the grounds relied upon, may, upon payment of the prescribed fee, be filed as follows by any person who believes that he is or will be damaged, including as a result of a likelihood of dilution by blurring or dilution by tarnishment under section 1125(c) of this title, by the registration of a mark on the principal register established by this chapter, or under the Act of March 3, 1881, or the Act of February 20, 1905:
4.2.2	Person who can demand a trial/appeal for cancellation of registered trademark not in use	(3) At any time if the registered mark has been abandoned" A petition for cancellation may be filed by any person who believes that he is or will be damaged by the registration of the mark.
4.2.3	What is the competent authority for such trial/appeal?	The USPTO's Trademark Trial and Appeal Board.
4.2.4	Procedure relating to a trial/appeal for cancellation of registered trademark not in use (a link to a website)	http://www.uspto.gov/trademarks-application-process/appealing-trademark-decisions/tbmp-preface
		trademark registration due to unfair use by owner of a trademark right or licensee
4.3.1	Time period for filing an	As noted above, 15 U.S.C. § 1064 sets for the time period for filing cancellation proceedings with the USPTO. Please see above.

	unfair use cancellation	
4.3.2	Person who can demand a	A petition for cancellation may be filed by any person who believes that he is or will be damaged by the registration of the mark.
	trial/appeal for	
	cancellation of trademark	
	registration due to unfair	
	use by owner of a	
	trademark right or	
	licensee	
4.3.3	What is the competent	The USPTO's Trademark Trial and Appeal Board.
	authority for such	
	trial/appeal?	
4.3.4	Procedure relating to a	http://www.uspto.gov/trademarks-application-process/appealing-trademark-decisions/tbmp-preface
	trial/appeal for	
	cancellation of trademark	
	registration due to unfair	
	use by owner of a	
	trademark right or	
	licensee	
4.4 Oth	er information relating to a tr	rial/appeal
4.4.1	Other information	N/A
	relating to a trial/appeal	
		international registration in part or in whole
4.5.1	Where the effects of an	Due to reinstatement procedures at USPTO, USPTO waits approximately 6-8 months to notify the IB of invalidation. However, if
	international registration	the holder would like to expedite the notification of invalidation to the IB, they may the contact the USPTO.
	in a designated	
	Contracting Party are	
	cancelled in part or in	
	whole because of a	
	trial/appeal for	
	invalidation etc, the	
	timing when a	
	notification of	
	invalidation per Rule 19	
	of the Common	
	Regulations will be sent	
	to the International	
	Bureau	
		al registration into national or regional applications under Article 9quinquies of Madrid Protocol
4.6.1	Points to be noted for	A transformation with the USPTO must be done within 3 months of the cancellation of the international registration by the IB.
	transformation	Another filing basis must be asserted and the applicant must satisfy the requirements for that filing basis. TMEP §1904.09.

4.7 Add	(If any) An amount of a fee if such a fee needs to be paid ditional features	The filing fee is \$275 per class if you select the TEAS Reduced Fee filing option, \$325 if you select the TEAS regular filing option, or \$375 for a paper application. For further information on transformation, see TMEP §1904.09 <i>et seq</i> .
<mark>4.7.1</mark>	(If any [ex. Conversion])	N/A
5. Mad	rid Protocol declarations	
5.1	Article 5(2)(b) of the Protocol (extension to 18 months of the refusal period) (Yes/No)	Yes. See <u>http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html</u> .
5.2	Article 5(2)(c) of the Protocol (possible notification of refusals based on an opposition after the 18-month time limit) (Yes/No)	Yes, if the USPTO sends the Rule 16 notice. See <u>http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html</u> .
5.3	Article 8(7)(a) of the Protocol (individual fees) (Yes/No)	Yes, the USPTO selected the individual fee, which is the class fee. See http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html .
5.4	Article 9quater of the Agreement and that of the Protocol (Common Office of several Contracting States) (Yes/No)	No. See <u>http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html</u> .
5.5	Article 14(2)(d) of the Agreement (in respect of international registrations effected under the Agreement prior to the date of accession of the Contracting Party concerned, no subsequent designation) (Yes/No)	No. See http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html.
5.6	Article 14(5) of the Protocol (in respect of international registrations effected under the Protocol prior to the date of accession of the	No. See <u>http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html</u> .

	Contracting Party	
	concerned, no subsequent designation) (Yes/No)	
5.7	Rule 7(2) of the Common	Yes, the USPTO requires the MM18 form. See <u>http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html</u> .
	Regulations (declaration of intention to use the	
	mark) (Yes/No)	
5.8	Rule 17(5)(d) of the	No. See http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html.
	Common Regulations	10. Soo <u>http://www.wipo.inc.natina.org/incartagazotto/reinarto/dooratations.natin</u> .
	(notwithstanding the fact	
	that all procedures before	
	the Office may not have	
	been completed,	
	notification to the	
	International Bureau by	
	the Office of decisions	
	concerning refusals) (Yes/No)	
5.9	Rule 17(5)(e) of the	No. See http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html.
5.9	Common Regulations (<i>ex</i>	international international international internation in the internation in the internation in the international i
	officio provisional	
	refusals not open to	
	review before the Office)	
	(Yes/No)	
5.10	Rule 20 <i>bis</i> (6)(a) of the	No. See http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html.
	Common Regulations	
	(recording of licenses not	
	provided for in the domestic law, so that the	
	recording of licenses in	
	the International Register	
	has no effect) (Yes/No)	
5.11	Rule 20 <i>bis</i> (6)(b) of the	No. See http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html.
	Common Regulations	
	(recording of licenses	
	provided for in the	
	domestic law, but the	
	recording of licenses in	
	the International Register	
	has no effect) (Yes/No)	

5.12	Rule $34(2)(b)$ of the	No. See http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html.
	Common Regulations	
	(the Office accepts to	
	collect and forward fees	
	to the International	
	Bureau) (Yes/No)	
5.13	Rule $34(3)(a)$ of the	No, the USPTO requires the full application fee to be submitted with the application. See
	Common Regulations	http://www.wipo.int/madrid/en/madridgazette/remarks/declarations.html.
	(individual fee payable in	
	two parts) (Yes/No)	