

DAS User Guide

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CONTENTS
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About DAS	3
Participating Offices	3
Documents Which Can Be Made Available	
Overview of DAS Use	4
DAS and the PCT	5
DAS Applicant Portal	5
Creating a WIPO Account	5
Selecting a Browser and Language	6
Supported Browsers	6
Languages	6
Logging In	6
Managing your Workbench	7
Tracking Document	
Viewing Access History	
Downloading Certificate (Of Availability)	
Manage Notification PreferenceS	
Viewing or Clearing NotificationS	
Unavailability of system components	
Lost access control codes	
Support	

# ABOUT DAS

The WIPO Digital Access Service ("DAS"), available at <u>https://www.wipo.int/das/en/</u>, allows you to meet requirements for providing priority and similar documents in respect of participating Offices, without the need to obtain and send multiple certified paper copies of an application. Instead, you ask the Office where you are claiming priority ("Office of Second Filing" or OSF) to download a copy of the document directly from a digital library, normally held by or on behalf of the Office where the earlier application was filed from which priority is claimed ("Office of First Filing" or OFF).

DAS provides a secure, trusted mechanism which allows Offices both to transmit and to access unpublished documents with confidence that the transmission has been permitted by the applicant or other authorized person.

It is essential that users properly understand what is required to give permission for this transfer. Because the system is designed to work between pairs of Offices, which may have no other working relationship, there are steps involved, which are different from, or additional to those in other priority document exchange systems in operation between certain Offices.

As of January 1, 2019, there are 20 DAS participating Offices in total.

### **Participating Offices**

An up-to-date list of participating Offices is maintained on the WIPO DAS website at: <u>https://www.wipo.int/das/en/participating\_offices.html</u>. Other Offices are at various stages of preparation to join the system and are expected to join in the future.

### **Documents Which Can Be Made Available**

The service is intended for use with documents related to patents, utility models, industrial designs and trademarks. At present, the service is operational for exchange of patent, utility model and design priority documents. The scope of documents which can be made available also depends on the policy of the Office of First Filing. Some Offices will allow any patent application filed at their Office to be entered into the system; other Offices offer the service only for a limited range of applications, such as those which have been filed in electronic form. The relevant scope for each participating Office is outlined in each Office's notification on WIPO's Digital Access Service (DAS) website at:

#### https://www.wipo.int/das/en/participating offices.html

The service will be extended to trademarks, once the participating Offices have made the necessary operational and technical changes.

### **Overview of DAS Use**

There are essentially two steps to using the system:

### Step 1. Ensure that the document is available to DAS

Request the Office of First Filing to deposit a copy of the earlier application to DAS.

The exact means of doing this will depend on the requirements of the particular Office with which the earlier application is/was filed. See the DAS home page at <a href="https://www.wipo.int/das/en/">https://www.wipo.int/das/en/</a> and the PCT Applicant's Guide, Annex B <a href="https://www.wipo.int/pct/en/appguide/index.jsp">https://www.wipo.int/das/en/</a> and the PCT Applicant's Guide, Annex B <a href="https://www.wipo.int/pct/en/appguide/index.jsp">https://www.wipo.int/das/en/</a> and the PCT Applicant's Guide, Annex B <a href="https://www.wipo.int/pct/en/appguide/index.jsp">https://www.wipo.int/pct/en/appguide/index.jsp</a> for the procedure to be followed at each DAS depositing Office, or contact that Office directly.

Links to detailed instructions provided by each Office can be found on the DAS website at:

https://www.wipo.int/das/en/participating\_offices.html

To make an earlier PCT application filed at WIPO's receiving Office RO/IB available to DAS, refer to a separate User Guide "PCT and DAS", available at <a href="https://www.wipo.int/das/en/documentation.html">https://www.wipo.int/das/en/documentation.html</a>

Following your request to the Office, you will either receive an access code, or else a code which was given to you as part of the application process (for example, in the case of a USPTO application, the 4-digit confirmation number indicated on the original filing receipt) will become available for use as a DAS access code.

#### Step 2. Ask the Office of Second Filing to retrieve the document

The Office of Second Filing will retrieve the priority document from DAS only if you specifically request them to and indicate the access code on the application form.

# DAS and the PCT

You can use DAS to meet PCT priority document requirements, by requesting the International Bureau to retrieve an earlier <u>national</u> or <u>regional</u> application that has been filed with a participating Office, or an earlier <u>international</u> application filed with RO/AU, RO/BR, RO/CL, RO/CN, RO/DK, RO/EA, RO/ES, RO/FI, RO/IB, RO/IN, RO/MA, RO/NL and RO/SE.

In terms of giving permission, the International Bureau is treated like any other Office of Second Filing. Even though the International Bureau runs the core systems of DAS, it cannot access your applications for use as PCT priority documents, unless you have provided it with the access code. A separate detailed User Guide on how to use DAS for PCT purposes, named "PCT and DAS", is available on the WIPO DAS web site at <a href="https://www.wipo.int/das/en/documentation.html">https://www.wipo.int/das/en/documentation.html</a>.

In short, the steps for furnishing priority documents for PCT purposes are as follows:

After you have taken the necessary steps with the Office of First Filing to make your priority application available via DAS, you can request the International Bureau to obtain it by marking the corresponding check-box in the Priority details of the request form PCT/RO/101 and indicating the access code in the relevant space.

If you filed a PCT application without DAS indications, it is also possible to provide them after filing. This can be done in the ePCT service (<u>https://pct.wipo.int</u>) as an Online Action or via the Upload Documents feature. For detailed instructions on how to use ePCT, please refer to the HELP topics accessible via the ePCT home page.

### DAS APPLICANT PORTAL

This section describes the main features of the DAS portal available at <u>https://www3.wipo.int/dasapplicant</u>. The portal requires a WIPO account. If you already have a WIPO account used for an online service such as ePCT, the same account can be used here.

# **CREATING A WIPO ACCOUNT**

The creation of a WIPO account is a self-registration process. Fill in the form to create a WIPO account at the URL <u>https://www3.wipo.int/myaccount/en/</u>.

An automatic e-mail will immediately be sent to you giving a link to confirm the email address associated with your WIPO account. After your confirmation, you may use your WIPO account to log in to the DAS portal.

# SELECTING A BROWSER AND LANGUAGE

### **Supported Browsers**

The applicant portal supports the following browsers:

- Mozilla Firefox 29.0+
- Microsoft Internet Explorer 8.0+
- Google Chrome 32.0+

The system may not work correctly with other browsers and the Help Desk will not be able to give assistance in such cases.

### Languages

You can switch between different language versions of the portal by clicking on the links at the top right of the page. The portal is currently available in the following languages:

- English
- French
- Japanese
- Korean
- Spanish

**Note**: Some shortcuts that are supported by the portal application itself may not be available in all languages.

### LOGGING IN

The Applicant portal of DAS is available via WIPO web site at:

https://www3.wipo.int/dasapplicant

A demo version allows you to try it out before you use it for real applications.

After clicking "Access the WIPO Digital Access Service" or "Access the demo version", a screen appears requesting the following information to sign in:

Sign in		
Username	Forgot your username?	Don't have a WIPO Account? Create account
Password		Why create a WIPO Account?
	Forgot your password? Sign in	Using just one user name and password, you can access your profiles for any of the following services: PCT Madrid system Hague system WIPO Academy WIPO Arbitration and Mediation Center WIPO Green
		Registration for the WIPO account is open to all users and free of charge.
Having difficulties		
Read our WIPO Acc	count neip guide .	



To log in to the DAS Applicant portal, enter the username and password of your WIPO account or create an account if you don't have one.

## MANAGING YOUR WORKBENCH

After successful login, a workbench screen is displayed and it allows you to add priority documents for which you wish to check the access history by Offices of Second Filing. When using the portal for the first time and no document is associated with your account, there is no **Workbench** content.

The following screenshot is a Workbench example in which two priority documents have been added. A few key elements of the Workbench screen are highlighted and explained in red labels from **WB 1** to **WB10**.

	WIPO	TUAL PROPERTY ORGANIZATIO	DN			с	ontact Us	Yinghua CHEN1 S	Sign out   English ·
Hom			Applicant Portal	oplicant l	Portal (DEMO	)	WB8	WB9	WB10 User Guide
W	orkbench Notifica			JWB4		Filter Priority Documents:	All in Workbench	WB3	~
		hing the filter criteria.							
	IP Right	Priority Number	Filing date	Access Code	Date of Availability	Last Accessed	Tracked By	Comments	
	Patent	GB 1200780.3	2012-01-18	7B9B	2013-04-30 👱	2013-10-31 16:50:12.0 🖻	22	Ø	
	Utility Model	ES U 201230131	2012-02-08	E82D	2013-04-18 生	2014-07-25 15:50:39.0 🖻	12	Ø	
					« « » »» »»»»	`````			
			WB2	Add Trackin	g Remove Tracking	Чwв5	Тумве	5 <b>1 WB7</b>	

Figure 2 – Workbench Screen

Description of the key elements:

- **WB1** This is the active tab of the screen which shows the list of priority documents that are associated with your WIPO account.
- **WB2** Tracking of documents can be added to or removed from your workbench (see Adding Document to Workbench screenshot).
- WB3 This dropdown box offers several filtering options of your Workbench.
- WB4 Details of each priority document are displayed in a row.
- **WB5** This link allows you to download the certificate of availability from DAS.
- WB6 This link allows you to view the access history of Office(s) of Second Filing.
- WB7 This link indicates whether the document is also being tracked by other WIPO account(s).
- WB8 This links to your WIPO account details.
- WB9 Logging out of the portal.
- WB10 The language switch to use the DAS portal in other languages

## TRACKING DOCUMENT

To add a priority document to your workbench, enter the document details as follows:

Add Priority Number To Your Workbench	n <b>X</b>
Please fill in the priority number which should code of the Office of the First Filing for nation (e.g. ES U201230131). For PCT applications PCT/ES2013/000001. All fields marked with	nal and regional applications s enter the number in format
IP Right *	Select -
Priority Number *	
Filing Date *(dd/MM/yyyy)	
Access Code *	
Add	

Figure 3 – Add Tracking Screen

It should be noted that this operation is allowed if all of the provided details match one of the priority documents registered in DAS. Tracking of the same priority document can be associated with different WIPO accounts. When tracking a PCT application, enter the number following the format PCT/ES2013/000001.

# VIEWING ACCESS HISTORY

After adding a priority document to your workbench, you can view the access history of Offices of Second Filing. The following screenshot shows typical responses by the system.

DATE	OFFICE	COMMENTS
2013-10-31 16:50:12	IB	Priority document withdrawn from DAS by depositing Office.
2013-10-31 16:50:10	IB	Retrieved successfully
2013-05-06 12:24:39	IB	Access denied due to mismatch of access code 7B9B or priority filing date 2012-01-18 - Please supply the correct access code or priority filing date to the accessing Office
2013-05-06 11:44:47	IB	Access denied due to mismatch of access code 7B9B and priority filing date 2012-01-18 - Please supply the correct access code and priority filing date to the accessing Office
2013-05-06 10:45:37	IB	Access denied due to mismatch of priority filing date 2012-01-18 - Please supply the correct priority filing date to the accessing Office
2013-05-06 10:42:32	IB	Access denied due to wrong access code 7B9B - Please supply the correct access code to the accessing Office
2013-05-06 10:39:34	IB	Certified copy cannot be made available due to incomplete document - Please contact the depositing Office
2013-05-06 10:32:20	IB	Document cannot be disseminated due to missing national security clearance - Please contact the depositing Office
2013-05-03 12:31:36	IB	Access not authorized - Please supply the access code to the accessing Office



# DOWNLOADING CERTIFICATE (OF AVAILABILITY)

The system allows you to view or download a certificate of availability to confirm that the copy of the application is available in DAS. This may be useful either as confirmation in your files, or to provide evidence to an Office that you have taken all the necessary steps to make the document available to them by the appropriate date and that any delays due to system problems, such as the digital library of

the Office of First Filing being temporarily unavailable, were not your fault. You can select whether such a certificate covers one, some or all of the Offices to which access has been granted. The system permits Offices to retrieve a similar certificate for themselves covering only the access which has been given to that Office.

Digital Access Service (DAS)	WORLD INTELLECTUAL PROPERTY ORGANIZATION
	To:
CERTIFICATE OF AVAILABILITY OF A DIGITAL LIBRARY	A CERTIFIED PATENT DOCUMENT IN A
The International Bureau certifies that a below has been available to the WIPO D availability indicated.	copy of the patent application indicated igital Access Service since the date of
Document details: Country/Offic	ce:
Filing da	te:
Application numb	er:
Date of availability of document:	
The following Offices can retrieve	this document by using the access code:
Date of issue of this certificate:	02 Jun 2015 (02.06.2015)
	34, chemin des Colombettes 1211 Geneva 20, Switzerland WWW.WIPO, Int

Figure 5 – Example of DAS Certificate

# MANAGE NOTIFICATION PREFERENCES

You can setup some notification options to receive from the DAS system alerts relating to the priority documents that are in your workbench. Possible options are:

You	may choose to receive any of the following notifications by ticking the corresponding boxes.	×
	Receive notifications when another user associates any documents listed in my workbench with his/her account.	
	Receive notifications when an accessing office first successfully retrieves a document listed in my workbench.	
	Receive notifications when an accessing office fails to retrieve any documents listed in my workbench.	
	Receive the selected notifications also to my WIPO account email address my.email@example.com	
	Save Cancel	

Figure 6 – Notification Preference Screen

# VIEWING OR CLEARING NOTIFICATIONS

Notifications can be sent to the email address which is associated with your WIPO account and are made available in the DAS portal as follows:

W	orkbench Notifications							
Items 20								
1 not	1 notification(s) found.							
	Subject	IP Right	Priority Number	Received (1)	Read (0)			
	User with email address 'demo.gboffice@gmail.com' also tracking	Patent	GB 1200780.3	2014-01-24 00:00:00.0				
	this priority document.							
	Remove Notification							

Figure 7 – Notification Screen

## UNAVAILABILITY OF SYSTEM COMPONENTS

The DAS system as a whole depends on the IT systems of many different Offices, over which the International Bureau has no control. However, the system is designed to cope with situations where a library in one Office is not available for a period, and in such cases requests are placed in a queue until the relevant systems are available again. This may cause delays, therefore it is important to register the application and take the appropriate steps as earlier as possible. It may also delay the Office of Second Filing from successfully retrieving a copy of your application, but this should only affect you in extreme cases and you should always be given an opportunity to supply a paper certified copy, in the unlikely event that the system fails completely for any particular case.

# LOST ACCESS CONTROL CODES

If you lose your DAS access code for an earlier application filed at CN, EP, FI, JP, KR, NZ or US, please contact the Office where you filed that application. If the application was filed at another DAS participating Office, contact the PCT eServices Help Desk for the access code to be resent to the e-mail address that you have provided for DAS purposes.

## SUPPORT

For any DAS related questions, please contact the PCT eServices Help Desk, available Monday to Friday from 09:00 until 18:00 Central European Time. Every attempt will be made to respond to questions within one business day.

Web Form contact: <u>https://www3.wipo.int/contact/en/area.jsp?area=das</u> is available by clicking on the 'Contact Us' link at the top right corner of any DAS webpage.

Contact Us		
	mplete this form in Chrome, Firefox or Safari.	
Торіс	Patents	
Sub-topic	Digital Access Service (DAS) for priority documents	
Question		
Attachment	Browse No file selected.	
	(File size limited to 2 MB - Accepted formats: pdf, txt, jpeg, jpg, gif, png)	
First name (optional)		
Last name (optional)		
Company/Organization (optional)		
Phone number (optional)		
E-mail address		
Verification	I'm not a robot	
	If you cannot tick the verification box, please ensure you are using Chrome, Firefox or Safari. In Internet Explorer the box may not function correctly.	
	Submit	

The Help Desk can also be contacted by telephone at +41 22 338 9523.

The Help Desk is closed on Saturdays and Sundays, as well as on non-working days at WIPO which are listed at: <u>https://www.wipo.int/contact/en/holidays.html</u>.

**Note:** The participating Offices' websites also provide useful DAS information relevant to that particular Office, please see <u>https://www.wipo.int/das/en/participating\_offices.html</u>.

[End of Document]